

CEU Quiz: published in the **July 2016** issue of *Compliance & Ethics Professional Magazine*

To receive 1.0 non-live Compliance Certification Board (CCB) CEU for the following quiz, at least three questions must be answered correctly. Only the first attempt at each quiz will be accepted.

Compliance & Ethics Professional Magazine quizzes are valid for 12 months, beginning with the first month of issue. **This quiz expires June 30, 2017.**

LEARNING OBJECTIVE

After reading **Every company needs a comprehensive confidential data protection program (page 75)**, you should be able to answer the following questions:

- 1. A material non-disclosure policy should protect confidential data, which includes**
 - A. all transactions that have been finalized, but not future forecasting
 - B. any data that could influence a potential stockholder's decision to buy
 - C. intellectual property, except what is protected by copyright or trademark
 - D. only material information that has not already been made public

- 2. Which of the following would be the most effective part of employee training on data confidentiality responsibilities?**
 - A. Giving each employee written copies of the policies and an intranet link for online training modules
 - B. In-person training with examples relevant to the employees' job descriptions, followed by a Q&A session
 - C. Guidelines for discussing special pricing or special sourcing with third-party vendors or associates
 - D. Parameters for discussing company business while traveling, such as using an earphone on public transportation

LEARNING OBJECTIVE

After reading **Essential competences in business ethics and compliance, Part 1: The employee (page 67)**, you should be able to answer the following question:

- 3. According to the author, having an intuitive ability to recognize an ethical challenge and determine the best resolution is based on**
 - A. awareness of expectations
 - B. company training on ethics
 - C. education and experience
 - D. knowing the set standards

LEARNING OBJECTIVE

After reading **The Compliance function within an enterprise risk management framework (page 33)**, you should be able to answer the following question:

- 4. According to the author, to have an effective ERM framework with four levels of defense, the compliance officer should report to**
 - A. a board-level Audit Committee
 - B. the chief risk officer
 - C. the director of Risk Management
 - D. the head of Internal Audit

Compliance & Ethics Professional Continuing Education Form

For correctly answering SCCE's *Compliance & Ethics Professional* magazine quiz, you will receive 1.0 non-live Compliance Certification Board (CCB) CEU.

Read the articles, and the quiz questions on page one. Mark your answers in the "Quiz Answers" section below. Please fax, email or mail the completed form to:

Compliance Certification Board
6500 Barrie Road, Suite 250
Minneapolis, MN 55435

Fax: +1 952 988 0146 | email: ccb@compliancecertification.org | Phone: +1 952 933 4977 or 888 277 4977

CONTACT INFORMATION

Mr. Mrs. Ms. Dr.

SCCE Member ID

Applicant Name

Place of Employment

Work Street Address

Work City Work State Work Zip

Work Phone Work Fax

Work E-mail

Home Street Address

Home City

Home State Home Zip

Home Phone

QUIZ ANSWERS: JULY 2016

Article: Every company needs a comprehensive confidential data protection program (page 75)

Please indicate your answers.

1. A B C D
2. A B C D

Article: Essential competences in business ethics and compliance, Part 1: The employee (page 67)

Please indicate your answer.

3. A B C D

Article: The Compliance function within an enterprise risk management framework (page 33)

Please indicate your answer.

4. A B C D

ATTENDANCE VERIFICATION

By signing below, I certify that I have read the SCCE *Compliance & Ethics Professional* articles that relate to the questions I have answered above. I further certify I will cooperate with the CCB in all administrative functions related to the accreditation of this program and its subsequent recognition as a program fulfilling candidate requirements for CCB certification.

Signature _____ Date _____