

# CEU Quiz published in the **June 2017** issue of *Compliance & Ethics Professional* magazine

To receive 1.0 non-live Compliance Certification Board (CCB) CEU for the following quiz, at least three questions must be answered correctly. Only the first attempt at each quiz will be accepted.

*Compliance & Ethics Professional* magazine quizzes are valid for 12 months, beginning with the first month of issue. **This quiz expires May 31, 2018.**

## LEARNING OBJECTIVES

After reading “**Export compliance: Challenges and best practices**” (page 73), you should be able to answer the following questions:

**In most situations, the Export Administration Regulations (EAR) require an export license for**

- A. goods and services that have commercial uses but no military applications
- B. low tech and consumer goods being sent to support a prohibited end use
- C. only physical items sent to countries on a list of embargoed destinations
- D. products made with any US-origin parts assembled in a foreign country

**2. Under US sanction regulations, the reach of the US jurisdiction includes**

- A. any person, regardless of nationality, who abets a prohibited transaction
- B. a Cuban company that is owned and operated by a Cuban national
- C. only transactions that occur between the U.S. and another country
- D. only U.S. citizens who reside in one of the 50 states or the US territories

## LEARNING OBJECTIVE

After reading “**Anti-bribery programs: A web of ethical and legal dilemmas**” (page 61), you should be able to answer the following question:

**According to the author, one of the main advantages for organizations that adopt the ISO 37001 standard is that**

- A. compliance departments can easily justify the need for more resources
- B. compliance programs will have greater flexibility during implementation
- C. the definition of bribery will become more uniform around the world
- D. local employees will be able to reject bribes without fear of losing their jobs

## LEARNING OBJECTIVE

After reading “**Political law compliance: Considerations and strategies**” (page 31), you should be able to answer the following question:

**In general, a regulator is most likely to find a violation of a pay-to-play law if he can show that**

- A. all employees were required by policy to preclear their political contributions
- B. a covered employee made a prohibited contribution to a political campaign
- C. the “gift” giver intended to influence the official toward a favorable decision
- D. the timing, amount, and reasons for the exchange are linked to the decision

# Compliance & Ethics Professional Continuing Education Form

For correctly answering SCCE's *Compliance & Ethics Professional* magazine quiz, you will receive 1.0 non-live Compliance Certification Board (CCB) CEU.

Read the articles, and the quiz questions on page one. Mark your answers in the "Quiz Answers" section below. Please fax, email or mail the completed form to:

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Minneapolis, MN 55435

Fax: +1 952 988 0146 | email: [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org) | Phone: +1 952 933 4977 or 888 277 4977

## CONTACT INFORMATION

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Work Phone                      Work Fax

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Home Street Address

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Home City

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Home State                      Home Zip

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## QUIZ ANSWERS: JUNE 2017

Article: **Export compliance: Challenges and best practices** (page 73)

**Please indicate your answers.**

1.  A    B    C    D  
2.  A    B    C    D

Article: **Anti-bribery programs: A web of ethical and legal dilemmas** (page 61)

**Please indicate your answer.**

3.  A    B    C    D

Article: **Political law compliance: Considerations and strategies** (page 31)

**Please indicate your answer.**

4.  A    B    C    D

## ATTENDANCE VERIFICATION

By signing below, I certify that I have read the SCCE *Compliance & Ethics Professional* articles that relate to the questions I have answered above. I further certify I will cooperate with the CCB in all administrative functions related to the accreditation of this program and its subsequent recognition as a program fulfilling candidate requirements for CCB certification.

Signature \_\_\_\_\_ Date \_\_\_\_\_