

# CEU Quiz published in the **JULY 2017** issue of *Compliance & Ethics Professional* magazine

To receive 1.0 non-live Compliance Certification Board (CCB) CEU for the following quiz, at least three questions must be answered correctly. Only the first attempt at each quiz will be accepted.

*Compliance & Ethics Professional* magazine quizzes are valid for 12 months, beginning with the first month of issue. **This quiz expires JUNE 30, 2018.**

## LEARNING OBJECTIVE

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After reading “**Current trends in corporate internal investigations, Part 1: Maintaining privilege and confidentiality**” (page 33), you should be able to answer the following questions:

1. To protect the attorney-client privilege, if outside counsel chooses to use company personnel to assist in an investigation, he/she should
  - A. allow the non-lawyer personnel to conduct the investigation without guidance
  - B. encourage them to provide business advice as part of the investigation
  - C. memorialize an agreement that they are assisting counsel to provide legal advice
  - D. personally observe and guide everything they do in the investigation
  
2. According to the authors, when memorializing what transpired during an interview, outside counsel should insist that
  - A. documentation shows that the interviewee understood the Upjohn warning
  - B. joint defense counsel have free access to any information they need
  - C. interviews should be recorded and transcripts should be saved verbatim
  - D. the investigative lawyers will represent management employees, if needed

## LEARNING OBJECTIVE

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After reading “**Has corruption given all of us willful blindness?**” (page 49), you should be able to answer the following question:

3. **If your company uses a third-party agent in a country where corruption is commonplace, you should**
  - A. issue an anti-bribery policy, but don't expect employees to follow it
  - B. look the other way if upper management has a conflict of interest
  - C. recognize that this is part of the country's culture and you can't change it
  - D. train employees to report suspicious activity and perform due diligence

## LEARNING OBJECTIVE

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After reading “**Gift giving and entertainment culture in Nigeria**” (page 57), you should be able to answer the following question:

4. **A corporate gifts-and-entertainment policy for use in Nigeria should state that**
  - A. bribes should be reported as a necessary cost of doing business
  - B. gifts that seem culturally appropriate are acceptable, regardless of value
  - C. if a gift “feels” like a bribe, it probably is and you should not accept it
  - D. your ability to reciprocate the gift has no bearing on whether to accept it

# Compliance & Ethics Professional Continuing Education Form

For correctly answering SCCE's *Compliance & Ethics Professional* magazine quiz, you will receive 1.0 non-live Compliance Certification Board (CCB) CEU.

Read the articles, and the quiz questions on page one. Mark your answers in the "Quiz Answers" section below. Please fax, email or mail the completed form to:

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## QUIZ ANSWERS: JULY 2017

Article: **Current trends in corporate internal investigations, Part 1: Maintaining privilege and confidentiality** (page 33)

**Please indicate your answers.**

1.  A  B  C  D

2.  A  B  C  D

Article: **Has corruption given all of us willful blindness?** (page 49)

**Please indicate your answer.**

3.  A  B  C  D

Article: **Gift giving and entertainment culture in Nigeria** (page 61)

**Please indicate your answer.**

4.  A  B  C  D

## ATTENDANCE VERIFICATION

By signing below, I certify that I have read the SCCE *Compliance & Ethics Professional* articles that relate to the questions I have answered above. I further certify I will cooperate with the CCB in all administrative functions related to the accreditation of this program and its subsequent recognition as a program fulfilling candidate requirements for CCB certification.

Signature \_\_\_\_\_ Date \_\_\_\_\_