

# CEU Quiz for the **August 2018** issue of *Compliance & Ethics Professional* magazine

To receive 1.0 non-live Compliance Certification Board (CCB) CEU for the following quiz, at least three questions must be answered correctly. Only the first attempt at each quiz will be accepted.

*Compliance & Ethics Professional* magazine quizzes are valid for 12 months, beginning with the first month of issue. **This quiz expires July 31, 2019.**

## LEARNING OBJECTIVE

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After reading “**Five ways to help reduce a third-party data breach**” (page 67), you should be able to answer the following question:

1. **What step can be taken to help reduce third-party data breach risk?**
  - A. Create an inventory of all third parties, and prioritize them by risk according to their access to sensitive information.
  - B. Require third parties to meet with management on a regular basis to assess their data processing activities.
  - C. Use a “software as a service” solution to help streamline third-party data processing activities and increase transparency.
  - D. Both A and C

## LEARNING OBJECTIVE

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After reading “**Anti-bribery/transparency pitfalls at the local and state level in the United States**” (page 29), you should be able to answer the following question:

2. **What restrictions must you follow when providing gifts to employees of any federal, state or local US government?**
  - A. Provide only gifts less than \$20 per person.
  - B. Provide only nominal gifts.
  - C. Provide only gifts related to a business purpose.
  - D. Restrictions vary by jurisdiction and agency.

## LEARNING OBJECTIVE

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After reading “**ISO 37001 certification: Understanding and navigating the process**” (page 36), you should be able to answer the following questions:

3. **Which of the following are benefits of designing and implementing an anti-bribery and anti-corruption program that aligns with the requirements of ISO 37001?**
  - A. Provides assurances to governing authority and executive team
  - B. Promotes a competitive advantage for the organization
  - C. Manages the risk of bribery and corruption within the organization
  - D. All of the above
4. **While organizations wait to understand better whether their business partners will require ISO 37001 certification as a condition of doing business, some organizations opt to undertake which of the following initiatives in the meantime?**
  - A. Organizational transformation
  - B. Implementation of new internship programs
  - C. ISO 37001 readiness assessments
  - D. Update their codes of conduct

# Compliance & Ethics Professional Continuing Education Form

For correctly answering SCCE's *Compliance & Ethics Professional* magazine quiz, you will receive 1.0 non-live Compliance Certification Board (CCB) CEU.

Read the articles, and the quiz questions on page one. Mark your answers in the "Quiz Answers" section below. Please fax, email or mail the completed form to:

Compliance Certification Board  
6500 Barrie Road, Suite 250  
Minneapolis, MN 55435

Fax: +1 952.988.0146 | email: [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org) | Phone: +1 952.933.4977 or 888.277.4977

## CONTACT INFORMATION

Mr.  Mrs.  Ms.  Dr.

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SCCE Member ID

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Applicant Name

\_\_\_\_\_  
Place of Employment

\_\_\_\_\_  
Work Street Address

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Work City                      Work State                      Work Zip

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Work Phone                      Work Fax

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Work E-mail

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Home Street Address

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Home City

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Home State                      Home Zip

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Home Phone

## QUIZ ANSWERS: AUGUST 2018

**Article:** ISO 37001 certification: Understanding and navigating the process (page 36)

**Please indicate your answers.**

1.  A     B     C     D  
2.  A     B     C     D

**Article:** Anti-bribery/transparency pitfalls at the local and state level in the United States (page 29)

**Please indicate your answer.**

3.  A     B     C     D

**Article:** Five ways to help reduce a third-party data breach (page 67)

**Please indicate your answer.**

4.  A     B     C     D

## ATTENDANCE VERIFICATION

By signing below, I certify that I have read the SCCE *Compliance & Ethics Professional* articles that relate to the questions I have answered above. I further certify I will cooperate with the CCB in all administrative functions related to the accreditation of this program and its subsequent recognition as a program fulfilling candidate requirements for CCB certification.

Signature \_\_\_\_\_ Date \_\_\_\_\_