

Compliance & Ethics Professional

March
2015



A PUBLICATION OF THE SOCIETY OF CORPORATE COMPLIANCE AND ETHICS

www.corporatecompliance.org



How Ernst & Young is training its Brazilian team

by Adam Turteltaub

Vice President of Membership Development at SCCE

See page 14

17

Eight steps in launching an information governance program

Mark Diamond

27

The dominant CEO: Great for business and terrible for compliance?

Ted Banks

37

Myths of anti-corruption compliance

Alison Taylor

41

Compliance dilemma: It takes disruption to beat corruption

Geert Aalbers

by Roy Snell, CHC, CCEP-F

Corporate Compliance & Ethics Week is moving

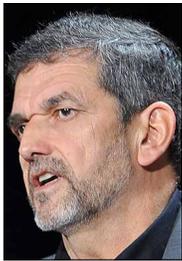
Please don't hesitate to call me about anything any time.

612 709-6012 Cell • 952 933-8009 Direct

roy.snell@corporatecompliance.org

[@RoySnellSCCE](https://twitter.com/RoySnellSCCE) [in /in/roysnell](https://www.linkedin.com/in/roysnell)

We have been celebrating a week in the spring called Corporate Compliance & Ethics Week, for some time. The week has been moved from the spring to the fall (November 1-7 in 2015) to coincide with the anniversary of the creation of Chapter 8 of the Federal Sentencing Guidelines. It may cause a little confusion this year, but it should be fine over the next couple years. Everyone should feel free to celebrate compliance and ethics whenever they want. However, we will benefit from respecting the document that is generally credited for the creation



Snell

of our profession, as well as respecting a document that suggests there are financial incentives to hiring a compliance officer and implementing a compliance program. We will continue to offer Corporate Compliance & Ethics Weeks products (posters, pens, mugs, etc.) to help you with your celebration.

For those of you who are new to Corporate Compliance & Ethics Week, it is intended to help promote a better understanding of compliance and ethics programs. It is celebrated by individual institutions and is typically coordinated by the compliance

officer. Some compliance officers get other departments involved. Corporate Compliance & Ethics Week is helpful to send a message to all employees that leadership believes compliance and ethics is important. Celebrating the week can be as simple as just putting up a few posters, or as elaborate as holding all-staff meetings with leadership speaking and giving compliance and ethics awards. It's really just another excuse to make a little fuss to help the organization maintain their gains, and perhaps make a little more progress toward a compliant and ethical culture.

For those of you that are new to Corporate Compliance & Ethics Week, it is intended to help promote a better understanding of compliance and ethics programs.

If you have any questions don't hesitate to call our office or check out the website (bit.ly/CCEW2015). *