



Society of Corporate Compliance & Ethics

*Compliance
and Ethics
Magazine*

Volume Four
Number Three
June 2007
Bimonthly

Meet Odell Guyton

Senior Corporate Attorney
Director of Compliance
US Legal-Finance & Operations
Microsoft Corporation

PAGE 16

The New Ethics Enforcers

PAGE 40

Join SCCE!

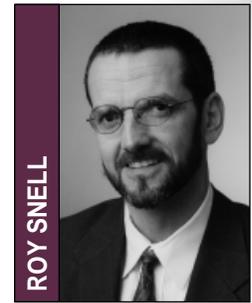
Are you a member of a
professional compliance
and ethics organization?

See pages 24-25



Letter from the CEO

ROY SNELL



The ultimate impact of compliance

We have a challenging job. We focus on finding and fixing problems. We sometimes meet with resistance. People love to complain about the onerous nature of the regulatory process. It is difficult to see the forest through the trees. It's true that if you look at the trees you will see inconvenience and pain. If you look at the forest, you will see an altogether different picture. If you would like to see your job in a different light and see the big picture, you should read Joe Murphy's book *Building a Career in Compliance and Ethics: Find your place in the business world's hottest new field.*

I am a detail guy. I worry about the little things. However, on occasion I take a moment to look at the big picture. To help get across my view of the big picture, please look at the list of countries listed below. Then answer two questions for me.

If you were forced to move from the U.S. to one of the listed countries, what order would you put these countries in?

Kenya
Canada
England
Mexico
Pakistan
Sweden

I want you to answer this question from the perspective of you as a family member. You are making the decision for not only you, but for several of your family members. Put the number 1 next to the most desirable, the number 6 next to the least desirable, and rank the others in between.

Countries that have ineffective enforcement or limited regulations are often not safe, their culture is not positive, and their economy is weak. To have a positive living environment, you need rules and referees.

Now go back and put those countries in order from the most regulated and strongest enforcement of regulations to least. Put a 1 by the country with the strongest regulations and enforcement and a 6 next to the weakest regulations and enforcement.

How close are your two lists? For many it would be a very similar list. Many of us would most likely

prefer to live in a country where regulations are enforced. I don't think this is a coincidence. Countries that have ineffective enforcement or limited regulations are often not safe, their culture is not positive, and their economy is weak. To have a positive living environment, you need rules and referees.

You are in a great profession. What you do impacts our culture. What you do impacts our safety. What you do affects our economy. You are part of a regulatory enforcement system that is one of the strongest in the world. When you are frustrated or you are struggling, remember that you are part of something very important. What you do matters so much that our culture, safety, and economy depend upon it. ■