Keeping
compliance
SIMPLE
(without dumbing it down)

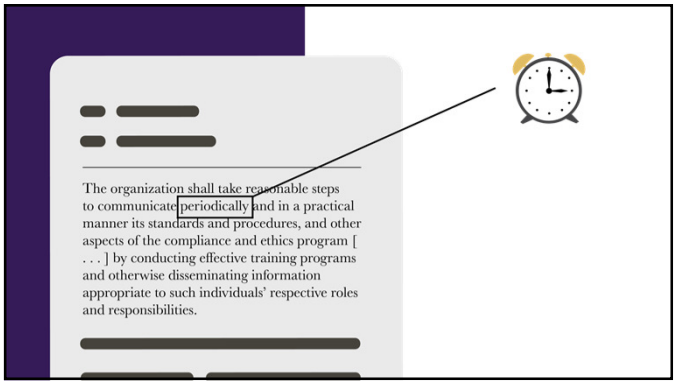
Ricardo Pellafone

BROADCAT

John Partridge

GIBSON DUNN

The organization shall take reasonable steps to communicate periodically and in a practical manner its standards and procedures, and other aspects of the compliance and ethics program [. . .] by conducting effective training programs and otherwise disseminating information appropriate to such individuals' respective roles and responsibilities.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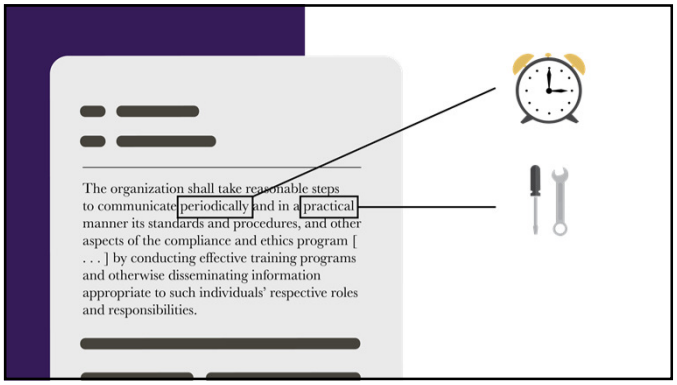
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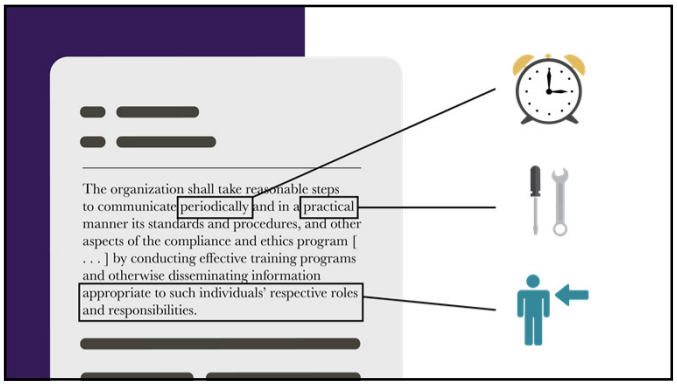
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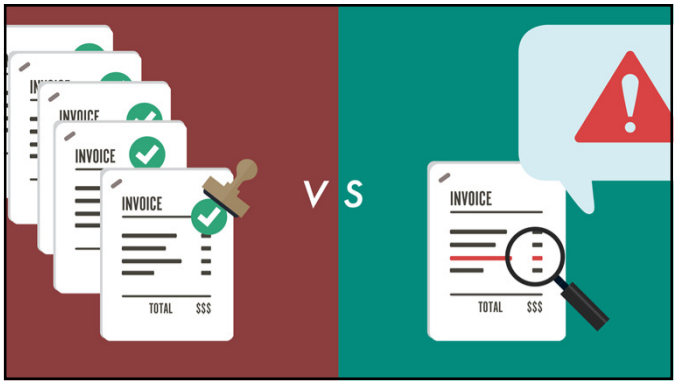
INVOICE
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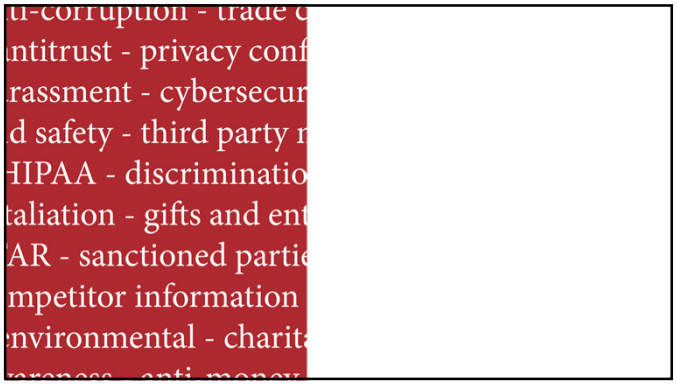
TOTAL $$$
VS
INVOICE
TOTAL $$$

ENGAGE

ENGAGE
RETAIN

ENGAGE
RETAIN
TRANSFER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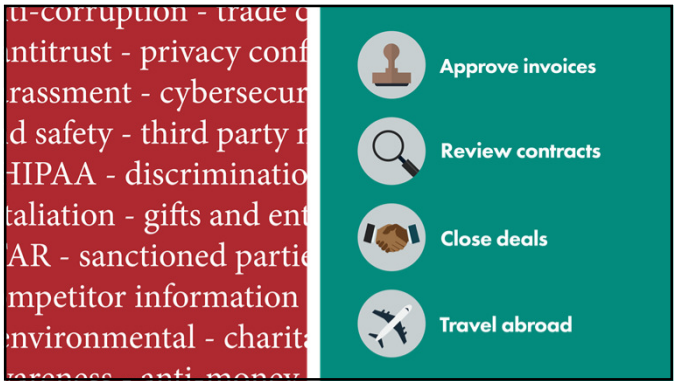
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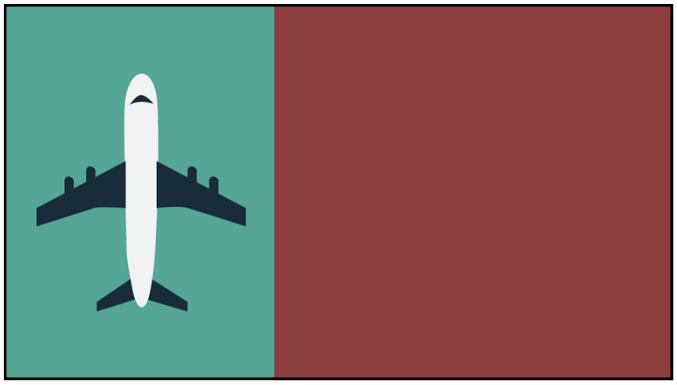
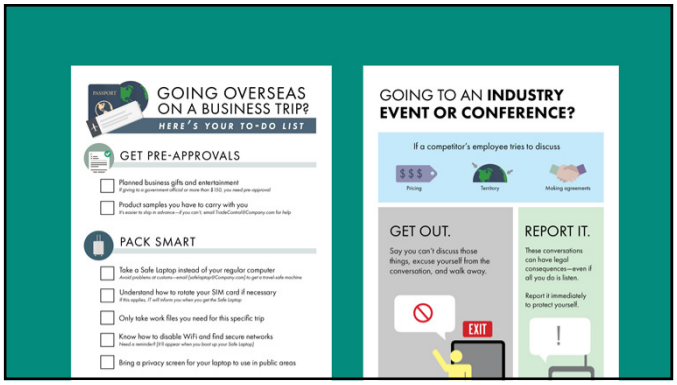
Approve invoices
Review contracts
Close deals
Travel abroad



CONFIDENTIALITY
GIFTS
ANTITRUST
ANTI-CORRUP
CYBERSECURITY
EXPORT C

GOING OVERSEAS ON A BUSINESS TRIP?
HERE'S YOUR TO-DO LIST
GET PRE-APPROVALS
Planned business gifts and entertainment
Product samples you have to carry with you
PACK SMART
Take a Safe Laptop instead of your regular computer
Understand how to rotate your SIM card if necessary
Only take work files you need for this specific trip
Know how to disable WiFi and find secure networks
Bring a privacy screen for your laptop to use in public areas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GOING TO AN INDUSTRY EVENT OR CONFERENCE?
If a competitor's employee tries to discuss
$$$
Pricing
Territory
Making agreements
GET OUT.
Say you can't discuss those things, excuse yourself from the conversation, and walk away.
EXIT
REPORT IT.
These conversations can have legal consequences—even if all you do is listen.
Report it immediately to protect yourself.
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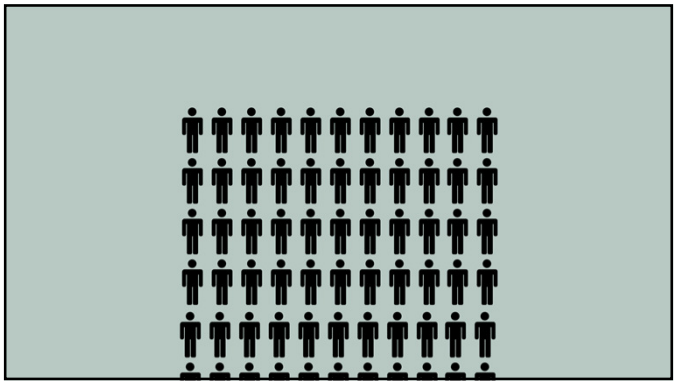

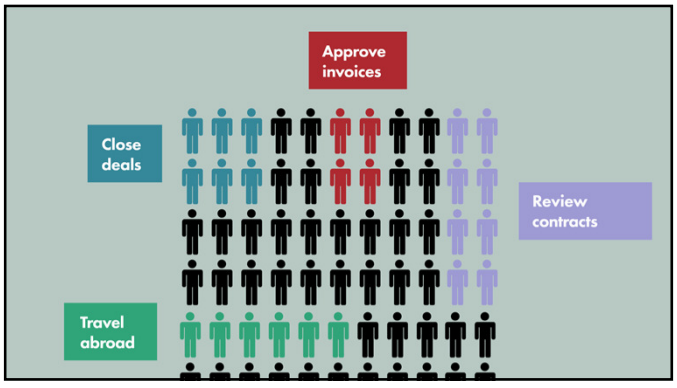
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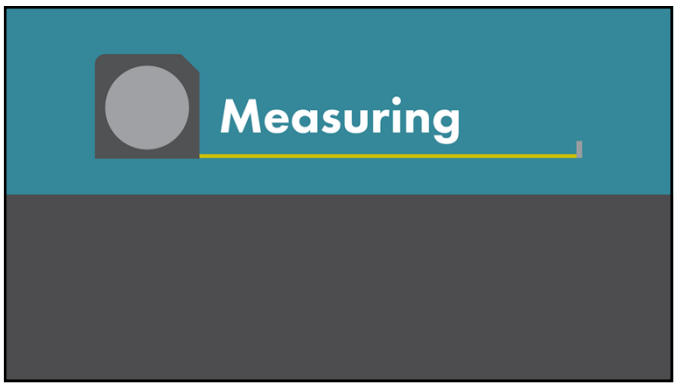
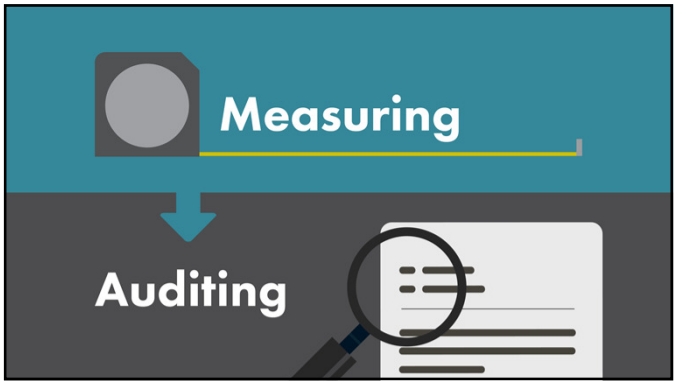
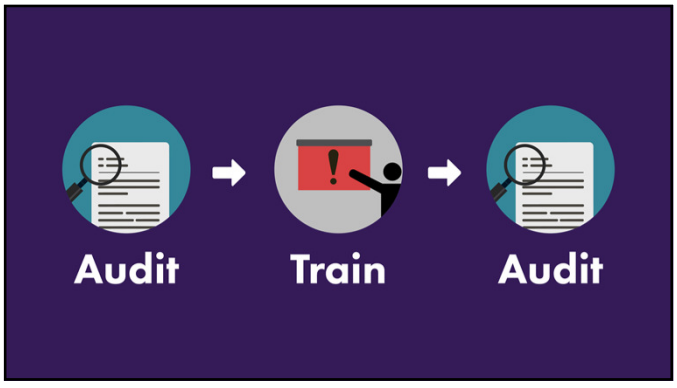
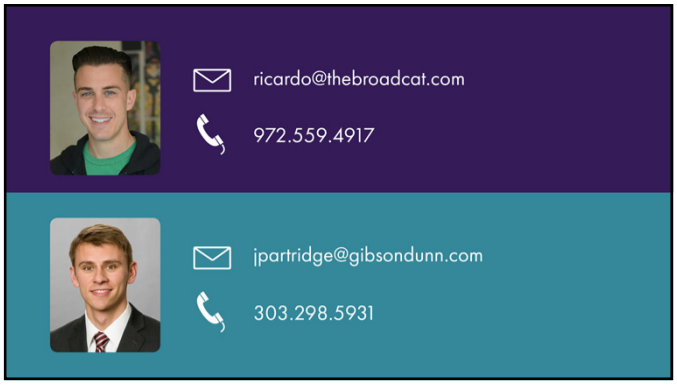

Measuring


Measuring
Auditing


Audit
Train
Audit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