



SOCIETY OF CORPORATE  
COMPLIANCE AND ETHICS

Network locally  
and earn CEUs

# Alaska

## Regional Compliance & Ethics Conference June 26–27, 2014 | Anchorage, AK

Questions? [jennie.nguyen@corporatecompliance.org](mailto:jennie.nguyen@corporatecompliance.org)



## Get the latest on key challenges facing the Alaska Compliance community

SCCE Regional Conferences provide a forum to interact with local compliance professionals, share information about your compliance successes and challenges, and create educational opportunities for compliance professionals to strengthen the industry.

[www.corporatecompliance.org/regionals](http://www.corporatecompliance.org/regionals)  
+1 952 933 4977 or 888 277 4977

### Thursday, June 26

**8:00–8:25 AM**

#### Registration & Breakfast

**8:25–8:30 AM**

#### Opening Remarks

**8:30–9:30 AM**

#### Prevention is Better than Prosecution



Karen Loeffler, United States Attorney, Department of Justice District of Alaska

- The importance of compliance from a prosecutor's view
- How not to be a victim
- How not to be a defendant

**9:30–9:45 AM**

#### Break

**9:45–10:45 AM**

#### 7 Elements Roundtable



Michele Christiansen, CCEP Consultant  
Crystal Clear Compliance

- Network with your compliance colleagues
- Learn best practices for the Seven Elements

**10:45–11:00 AM**

#### Break

**11:00 AM–12:00 PM**

#### Effectively Managing the Work Environment for Raising Concerns



Mel Jessee, CCEP, Employee Concerns Program Coordinator, Alyeska Pipeline Service Company



Jessica Linquist, Speak Up Alaska Program Manager  
BP Exploration Alaska Inc.

- Learn more about developing the right work environment
- Share ideas for how to identify and address impacts to the work environment for raising concerns
- Recognize potential chilling effects and how to minimize the risk of whistleblowers

**12:00–1:00 PM**

#### Lunch

**1:00–2:00 PM**

#### The Medium is the Message: Marketing Compliance & Ethics to your Workforce



Barbara Harmon, CCEP Compliance & Ethics Lead  
Alyeska Pipeline Service Co.

- Channel surfing the program schedule: Develop a compliance and ethics communication plan using a multitude of media
- Work smarter, not harder: engage employees outside of the C&E group to get the message out
- Less is more: tips and examples on how to keep your messages simple

**2:00–2:15 PM**

#### Break

**2:15–2:45 PM**

#### Records Management: Cyber Security or Cyber Insecurity



Robert Pence, CBCP, CCEP  
Sr. Manager of Emergency Management, Compliance & Ethics  
Alaska Communications Systems

- What we don't know, can hurt us
- Insecurity comes from knowledge, security comes from action
- Best practices: records security and lessons learned
- What to know about securing records and what level of security

**2:45–3:15 PM**

#### Bring Your Own Device (“BYOD”)



Ubon Boutsomsi  
Chief Information Officer  
Sitnasuak Native Corporation

- Strategy
- Policy
- Enforcement

**3:15–3:30 PM**

#### Break

**3:30–4:30 PM**

#### Best Practices Panel



Leslie Williams-Crocker, CCEP  
Chief Financial Officer, MTNT



Melanie Tyska  
Corporate Compliance Manager  
Sealaska Corporation

- Participants will explore the Seven Elements
- Learn best practices from colleagues
- Implement something new

Connect with compliance professionals in your area



corporatecompliance.org/sccenet

## Friday, June 27

**8:00–8:25 AM**

### Registration & Breakfast

**8:25–8:30 AM**

### Opening Remarks

**8:30–9:45 AM**

### The State of the Compliance Profession



Roy Snell, CCEP, CEO  
Society of Corporate Compliance and Ethics

- Code of Conduct
- Coverage of the profession in the press
- What going on in other industries
- What other countries are adopting compliance programs
- The use of social media by compliance professionals

**9:45–10:00 AM**

### Break

**10:00–11:00 AM**

### Social Media and Compliance



Adam Turteltaub, CCEP  
Vice President of Membership Development, Society of Corporate Compliance & Ethics

- Understand the roots and risks of social media
- Learn who is and isn't using it in the workplace
- Explore emerging best practices for managing social media risk

**11:00–11:15 AM**

### Break

**11:15 AM–12:30 PM**

### Contract Compliance: Challenges and Opportunities



Sheryl Vacca, CCEP-F, CCEP-I  
SVP/Chief Comp & Audit Officer  
University of California

- Fundamentals for consideration in contract compliance
- Monitoring suggestions for contract compliance
- Tips for the compliance professional on engagement with contract development

**12:30–1:30 PM**

### Lunch

**1:30–2:45 PM**

### Effective Education Training Techniques



Debbie Troklus, CCEP-F, CCEP-I,  
Managing Director  
Aegis Compliance & Ethics Center

- Providing overview of the value proposition for education training
- Building and managing your education and training program
- Designing and delivering your curriculum

**2:45–3:00 PM**

### Break

**3:00–4:15 PM**

### Influencing Your Leadership Team



Rodney Forsman, Assistant Professor Emeritus of Laboratory Medicine and Pathology  
College of Medicine, Mayo Clinic

- Understanding team dynamics for a healthy organization
- Keys to successfully influencing leadership
- Sharing your experiences and knowledge

### REGISTRATION FEE

- SCCE Member**..... \$175
- Non-Member**..... \$220
- Registration & SCCE membership**..... \$375  
*Save by joining SCCE today (new members only). Annual dues regularly \$295.*
- Registration & membership renewal**..... \$470  
*Current members, register & renew your membership.*

**TOTAL** \_\_\_\_\_

### ATTENDEE INFORMATION (please type or print)

Mr.  Mrs.  Ms.  Dr.

SCCE Member Number \_\_\_\_\_

First Name \_\_\_\_\_ M.I. \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CCEP, CPA, CFE, etc.) \_\_\_\_\_

Title \_\_\_\_\_

Place of Employment \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone \_\_\_\_\_

Fax \_\_\_\_\_

E-mail (required for confirmation and conference information) \_\_\_\_\_

### PAYMENT METHOD

Mail check (including registration form) to:  
SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952 988 0146

Check enclosed (payable to SCCE)

Invoice me

Charge my:  American Express  MasterCard  Visa

Credit Card Account Number \_\_\_\_\_

Credit Card Expiration Date \_\_\_\_\_

Cardholder's Name \_\_\_\_\_

Cardholder's Signature \_\_\_\_\_

*Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call SCCE at +1 952 933 4977 or 888 277 4977 with your credit card information.*

*If your total is miscalculated, SCCE will charge your card the correct amount. Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCAK14)*

Hotel/Conference Location:

## Sheraton Anchorage

401 East 6th Avenue  
Anchorage, AK 99501  
Tel: +1 907 276 8700  
<http://www.sheratonanchorage.com/>

A small number of sleeping rooms have been reserved for this program at a reduced rate of \$239.00 plus applicable tax. The cut-off date is June 11, 2014 or when the group room block is full, whichever comes first. Reservations may be made by visiting <https://www.starwoodmeeting.com/StarGroupsWeb/res?id=1312319668&key=A937A> or by calling hotel directly at (907) 276-8700 or Sheraton Reservations at 888-627-8046 and requesting the group rate for the Society of Corporate Compliance & Ethics.

**TAX DEDUCTIBILITY:** Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

**CANCELLATIONS/SUBSTITUTIONS:** You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid, and are good for 12 months from the date of the cancelled event. Conference credits may be used toward any SCCE service. If you need to cancel your participation, notify us by email at [help@corporatecompliance.org](mailto:help@corporatecompliance.org), or by fax at +1 952 988 0146, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

**GROUP DISCOUNT:** 5 or more: \$25 discount for each registrant.  
10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**AGREEMENTS & ACKNOWLEDGEMENTS:** I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs at the SCCE Compliance & Ethics Institute and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in the SCCE Compliance & Ethics Institute, I grant SCCE the right to use my name, photograph, and biography for such purposes.

**CONTINUING EDUCATION UNITS:** SCCE is in the process of applying for continuing education units. If you have questions, please contact us at +1 952 933 4977 or 888 277 4977 or [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Please visit [www.corporatecompliance.org](http://www.corporatecompliance.org) for updated information.

**COMPLIANCE CERTIFICATION BOARD (CCB):** CCB has awarded a maximum of 14.4 CEUs for these accreditations: Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional–Fellow (CCEP-F), Certified Compliance & Ethics Professional–International (CCEP-I), Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance–Fellow (CHC-F), Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®.

**CLE:** The Society of Corporate Compliance & Ethics is a State Bar of California Approved MCLE provider, a Pennsylvania Accredited Provider, and a Texas Accredited Sponsor. The Alaska Bar Association has approved this event for 12.0 CLE credits including 0 Ethics credits. An approximate maximum of 12 clock hours of CLE credit will be available to attendees of this conference. All CLE credits will be awarded based on individual attendance.

**NASBA/CPE:** The Society of Corporate Compliance & Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors, Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit and may not accept one-half credits. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN 37219-2417. Website: [www.nasba.org](http://www.nasba.org). A recommended maximum of 14.0 credits based on a 50-minute hour will be granted for the entire learning activity. This program addresses topics that are of a current concern in the compliance environment. This is an update, group-live activity. For more information regarding administrative policies such as complaints or refunds, call the SCCE at (888) 277-4977 or (952) 933-4977.

