



SOCIETY OF CORPORATE
COMPLIANCE AND ETHICS

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Southwest

Regional Compliance & Ethics Conference December 5, 2014 | Dallas, TX

Questions? jennie.nguyen@corporatecompliance.org



Meyerson Symphony Center Dallas, TX

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8:00 – 8:25 AM

Registration & Breakfast

8:25 – 8:30 AM

Opening Remarks

8:30 – 9:30 AM

Retaliation? It's about the culture!



Andrea Falcione
Managing Director
PricewaterhouseCoopers LLP



Steve Priest
President
Integrity Insight International

- Culture is everything. Retaliation is a symptom of a corporate culture gone bad.
- When your organization - and people - suffer from retaliation, it's time to start anew by focusing on the real root cause: Culture.
- Technology and social media pose retaliation risks and true cultural rewards.

9:30 – 9:45 AM

Break

9:45 – 10:45 AM

Cyber Threats

Speaker from the Federal Bureau of Investigation, Dallas Field Office

- Cyber as an FBI priority
- Priority of cyber threats
- Emerging threats
- Ongoing initiatives

10:45 – 11:00 AM

Break

11:00 AM – 12:00 PM

Compliance 2.0: The role of the compliance function into the future



Page Motes, Director
Global Ethics & Compliance
Strategic Programs Office, Dell, Inc.



Scott Sullivan, Vice President
Ethics, Compliance, & Legal
Flowerserve Corporation

- Centralized vs. decentralized compliance
- Compliance "Accountability" in the wake of the GM story
- Where should the Compliance function sit?
- Can't we all just get along? How does Compliance leverage and work with its sister functions?
- Should Compliance be striving to work itself out of a job?

12:00 – 1:00 PM

Lunch

1:00 – 2:00 PM

The Ethics of Big Data



Dale Fodness, Associate Professor
University of Dallas, Satish & Yasmin
Gupta College of Business



Mark Hargreaves
Director-Privacy, AT&T

- While the commercial benefits of Big Data are compelling, significant risks exist from information being used in ways that weren't intended.
- Our ability to reveal patterns and new knowledge from ever-increasing streams of data is moving faster than our current legal and ethical guidelines can manage.
- The onus is on business leaders to ensure their Big Data programs are implemented with the ethical implications of Big Data in mind.

2:00 – 2:15 PM

Break

2:15 – 3:15 PM

Compliance education is more than training



Blair Marks, Director Ethics
Awareness & Operations,
Lockheed Martin

- "Training" vs "education"
- Risk-based training updates—content and audience priorities
- Affordability considerations

3:15 – 3:30 PM

Break

3:30 – 4:30 PM

Inside the mind of a whistleblower



Steve Kardell
Partner,
Clouse Dunn LLP



Richard Bowen
Professor of Accounting
University of Dallas at Texas and
former Citigroup Whistleblower

- Incentives vs. disincentives for whistleblowing
- Responsible vs. irresponsible whistleblowing
- Impact of compliance environment on whistleblowing

Connect with compliance professionals in your area



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HOTEL/CONFERENCE LOCATION:

Westin Galleria Dallas

13340 Dallas Parkway
Dallas, Texas, USA 75240
Tel: +1 972 450 2957

No reserved block of sleeping rooms has been set aside for this conference, but reservations may be made by calling the hotel at +1 972 450 2957 or online at <http://www.thewestingalleriadallas.com/>.

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