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COMPLIANCE AND ETHICS

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ATLANTA, GA

Regional Compliance & Ethics Conference

October 30, 2015



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compliance community in Atlanta, GA

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Questions?

katie.burk@corporatecompliance.org

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www.corporatecompliance.org/regionals



8:00 – 8:25 AM

Registration & Breakfast

8:25 – 8:30 AM

Opening Remarks

8:30 – 9:30 AM

The Use of Big Data to Help Your Compliance Program



Douglas J. Dunham
Director of Ethics and Business Conduct
Lockheed Martin Information Systems & Global Solutions



Venyssa N. Brown, CIPP
Sr. Manager Information Technology Audit and Data Analytics
Lockheed Martin Corporation



Jeffrey S. Willingham, CISA, CIA, CFE, CPA
Principal Information Systems Analyst
Lockheed Martin Corporation

- Big Data, Analytics and Enterprise Risk Management
- Case Study: Anti-Corruption Compliance Monitoring
- Advanced Analytics for Compliance Programs, Lessons Learned and Keys to Success

9:30 – 9:45 AM

Break

9:45 – 10:45 AM

Reducing the Total Cost of Third-Party Risk Management



Stephanie Quaranta, Research Consultant, CEB Compliance and Ethics Leadership Council

- Current third-party risk management practices are costly and unsustainable.
- It's possible to reduce the cost of third-party compliance without increasing risk exposure.
- Proven strategies for improving third-party compliance.

10:45 – 11:00 AM

Break

11:00 AM – 12:00 PM

Combatting Modern Day Slavery: One Community at a Time



Richard S. Moultrie, Jr.
Deputy Assistant U.S. Attorney, U.S. Attorney's Office for the Northern District of Georgia



William Shepherd, JD
Partner, Holland & Knight

- What is human trafficking and who is most impacted?
- What are the principal sources of regulation for US businesses with regard to anti-human trafficking?
- Why is it important for companies to develop risk management and compliance policies with regard to human trafficking?

12:00 – 1:00 PM

Networking Lunch (Provided)

1:00 – 2:00 PM

Risk Assessment

Moderator:

Julie Inderlied,
Global Ombuds & Privacy Counsel GE Energy Management

Truman K. Butler
Intercontinental Compliance Counsel
Baxalta Incorporated



Ling-Ling Nie
Senior Counsel Compliance & Ethics
Panasonic Automotive Systems Company of America



Elizabeth Simon
Senior Manager
Corporate Compliance & Ethics Cox Communications, Inc.

Benchmarking Different Businesses on:

- Foundational elements of a compliance risk assessment
- Processes to gather data with minimal/zero resources
- Leveraging outputs with a view on understanding risks, improving training and communications

2:00 – 2:15 PM

Break

2:15 – 3:15 PM

Ensuring Corporate Compliance: Process development and improvement



Bruce Fratto,
Edison Program Manager,
GE Energy Management

- Supplier, Input, Process, Output, Customer
- Discover, Develop, Learn & Act
- Gathering data from the voice of the consumer

3:15 – 3:30 PM

Break

3:30 – 4:30 PM

Security in the Cloud

Aaron Shapiro, Director-Cybersecurity, Privacy and IT Risk,
PwC

Toby Spry, CIPP/US, CISA, CRISC, Cybersecurity and Privacy Director, PwC

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PLEASE NOTE: SESSIONS AND/OR SPEAKERS ARE SUBJECT TO CHANGE.

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 - Registration & SCCE membership**..... \$399
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SPECIAL REQUEST DIETARY ACCOMODATION

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Fax to: +1 952 988 0146

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HOTEL/CONFERENCE LOCATION:

The Westin Buckhead Atlanta

3391 Peachtree Road NE

Atlanta, GA 30326

www.westinbuckheadatlanta.com

Reservation Link: <https://www.starwoodmeeting.com/Book/SCCEAtlanta2015>

A special rate of \$189 (plus tax) per night has been arranged for this conference. Reservations can be made by booking online, or calling 1-888-WESTIN-1 (1-888-937-8461) and mentioning "SCCE Atlanta 2015". A deposit equal to one night's room and tax will be charged at the time of reservation. The cut-off date is Friday, October 9, 2015 at 5:00pm EST. Please note that the room block may sell out prior to the hotel cut-off date.

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