



SOCIETY OF CORPORATE
COMPLIANCE AND ETHICS

Network locally
and earn CEUs

WASHINGTON, DC

Regional Compliance & Ethics Conference

May 1, 2015



Get the latest on key challenges facing the compliance community in Washington, DC

Questions?

jennie.nguyen@corporatecompliance.org

Learn more and register

www.corporatecompliance.org/regionals

SCCE Regional Conferences provide a forum to interact with local compliance professionals, share information about your compliance successes and challenges, and create educational opportunities for compliance professionals to strengthen the industry.

8:00 – 8:25 AM

Registration & Breakfast

8:25 – 8:30 AM

Opening Remarks

8:30 – 9:30 AM

Strengthening Your Program with Tone from the Middle



Kirsten Liston, CCEP
VP, Learning Content Strategy
SAI Global



Rachel Batykefer, CCEP
Associate Director, Compliance
Teva Pharmaceuticals

- Why “Tone from the Middle” matters
- Common obstacles or roadblocks
- Practical strategies you can use to get started today

9:30 – 9:45 AM

Break

9:45 – 10:45 AM

Risk Based Approaches to Third Party Compliance/Ethics



Mark Ehrlich, Senior Director
Global Ethics & Compliance
Hilton Worldwide



Angela B. Styles
Partner
Crowell & Moring LLP

- Supplier Codes of Conduct (mandatory, discretionary, suggested elements)
- Shifting risk through supplier/vendor contracts and certifications
- Online systems to manage supplier/vendor due diligence
- Auditing suppliers

10:45 – 11:00 AM

Break

11:00 AM – 12:00 PM

Taking Your Program to the Next Level — Crafting and Implementing Your Long-Term Plan



Charlotte Young
Chief Ethics & Compliance Officer
The Nature Conservancy



Grace Wu de Plaza
Deputy Ethics & Compliance Officer
The Nature Conservancy

- How to use simple tools to ensure your E&C program is strategic, forward thinking, and focused
- How to prepare and implement a three year business plan, with an annual review and implementation strategy, including metrics for success
- How to use your business plan to message your ROI to your Board and your organization

12:00 – 1:00 PM

Lunch

1:00 – 2:00 PM

Rating Compliance Risks



Steve Pearlman
Deputy Chief Compliance Officer
Freddie Mac



Jason Lunday
Consultant/Advisor

- Overview of a risk scoring framework that can be used for all types of risks across an organization
- Applying a risk scoring framework to Compliance Risk
- Discuss benefits of a standardized framework

2:00 – 2:15 PM

Break

2:15 – 3:15 PM

Reducing the Burden: Compliance and Customer Experience



Craig N. Cash
Director, Ethics and Business Conduct, Lockheed Martin

Abbott Martin
Research Director
Corporate Executive Board

- Understanding customer experience
- The important connection between employee effort and noncompliance
- Strategies for assessing and improving employee experience of compliance

3:15 – 3:30 PM

Break

3:30 – 4:30 PM

Let's Keep It Private?



Lydia Payne-Johnson
Chief Privacy Officer
Freddie Mac

Heather McAllister, JD, CCEP
Vice President, Legal & Compliance
K12

Ralph Sorrentino, US Chief/Consulting
Chief Confidentiality Officer, Deloitte LLP

Rachel Wolkinson, Attorney, Proskauer
Rose, LLP

- Data protection knowledge and training is critical — real-life privacy scenarios and best practice tips to ensure a basic understanding of practical compliance practices
- Privacy and data protection can have significant consequences if you fail to comply with privacy protection restrictions — learn how to best protect your company
- Companies face significant risks and penalties for non-compliance including fines and criminal sanctions, and a company's reputation can suffer significant damage in the aftermath of a breach — learn the best ways to avoid these problems now

Connect with compliance professionals in your area



corporatecompliance.org/sccenet

NO AUDIO OR VIDEO RECORDING OF SCCE CONFERENCES IS ALLOWED.

Register online at www.corporatecompliance.org/regionals
Tweet #SCCEdc

REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- SCCE Member**..... \$199
- Non-Member**..... \$245
- Registration & SCCE membership**..... \$399
Save by joining SCCE today (new members only). Annual dues regularly \$295.
- Registration & membership renewal**..... \$494
Current members, register & renew your membership.

TOTAL \$ _____

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Mr. Mrs. Ms. Dr.

SCCE Member Number _____

First Name _____ M.I. _____ Last Name _____

Credentials (CCEP, CPA, CFE, etc.) _____

Title _____

Place of Employment _____

Address _____

City _____ State _____ Zip _____

Phone _____

Fax _____

E-mail (required for confirmation and conference information) _____

SPECIAL REQUEST DIETARY ACCOMODATION

Gluten free Kosher Vegetarian Vegan other _____

PAYMENT METHOD

Mail check (including registration form) to:
SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952 988 0146

Check enclosed (payable to SCCE)

Invoice me

I authorize SCCE to charge my credit card (choose below)

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Credit Card Account Number _____

Credit Card Expiration Date _____

Cardholder's Name _____

Cardholder's Signature _____

If your total is miscalculated, SCCE will charge your card the correct amount.

Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCIL15)

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call SCCE at +1 952 933 4977 or 888 277 4977 with your credit card information, fax your registration to 952.988.0146, or visit www.corporatecompliance.org/regionals and register online

HOTEL/CONFERENCE LOCATION:

The Westin Crystal City

1800 Jefferson Davis Highway, Arlington, VA 22202
<http://www.westincrystalcity.com/>

A special rate of \$199 (plus tax) per night has been arranged for this conference. To make reservations, please visit <https://www.starwoodmeeting.com/StarGroupsWeb/res?id=1412015453&key=29829936>. Reservations can also be made by calling 1-888-627-8209 and mentioning "SCCE". The cut-off date is Monday, April 13, 2015. Please note that the room block may sell out prior to the hotel cut-off date.

GROUP DISCOUNT: 5 or more: \$25 discount for each registrant.
10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

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TAX DEDUCTIBILITY: Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

CONTINUING EDUCATION: SCCE is in the process of applying for additional credits. If you do not see information on your specific accreditation and would like to make a request, please contact us at 952 933-4977 or 888 277 4977 or email ccb@compliancecertification.org. Visit SCCE's website, www.corporatecompliance.org for up-to-date information.

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