



SOCIETY OF CORPORATE
COMPLIANCE AND ETHICS

Network locally and earn CEUs

ATLANTA

June 9, 2017

Regional Compliance & Ethics Conference



Get the latest on key challenges facing the compliance community in Atlanta

SPONSORS



Questions?

katie.burk@corporatecompliance.org

Learn more and register

www.corporatecompliance.org/regionals

7:30 – 8:25 AM

Registration and Continental Breakfast

8:25 – 8:30 AM

Opening Remarks

8:30 – 9:30 AM

Using Technology to Drive Employee Engagement in Your Ethics and Compliance Program



Emily Anderson
Counsel, Ethics and Compliance - Americas
VF Corporation

- Learn how to use technology to build enthusiasm and awareness and connect employees to your program’s vision
- Discover the “must-have” areas of focus needed to ensure engagement
- Experience techniques and learn first-hand how to use technology in a way that is relevant and effective

9:30 – 9:45 AM

Break

9:45 – 10:45 AM

Tone at the Middle – How to Turn Your Middle Management into your Ethics and Compliance Superhero’s



Jacki Trevino
Senior Director, Advisory Services
SAI Global

- Identifying and overcoming the obstacles and challenges we face when engaging our middle management
- Creative and practical ways to help middle management “own” ethics & compliance to help build and support your organizational culture
- Incentivizing middle management to be your E&C superhero

10:45 – 11:00 AM

Break

Register online at corporatecompliance.org/regionals
Tweet #SCCEat!

11:00 – 12:00 PM

How to Develop and Coordinate a Risk-Based Program



Robin H. Sangston
Vice President
Chief Compliance Officer
Cox Communications, Inc.

Rick Warren, Principal
Risk Management and Compliance Solutions, PricewaterhouseCoopers

- How to assess compliance program maturity levels and determine appropriate end states using a risk based approach
- One model for moving from decentralized/siloed compliance programs to an integrated/federated approach
- Lessons learned one year following implementation

12:00 – 1:00 PM

Lunch

1:00 – 2:00 PM

Acquisitions, Integration, and Planning – Getting a Seat at the Table



Vera Powell, Associate, Special Matters/Government Investigations, King & Spalding LLP

This interactive presentation will cover a wide variety of topics related to the planning, due diligence, and integration of mergers and acquisitions (M&As) and joint ventures, including:

- The role of Compliance in the process
- Key pre- and post-deal compliance risks in the context of M&As and joint ventures
- Pitfalls and questions to ask
- Best practices and resources

2:00 – 2:15 PM

Break

NO AUDIO OR VIDEO RECORDING OF SCCE CONFERENCES IS ALLOWED.

PLEASE NOTE: SESSIONS AND/OR SPEAKERS ARE SUBJECT TO CHANGE.

2:15 – 3:15 PM

Compliance v. Ethics: Tell Me Something I Don’t Already Know!

Michelle Nichols, ARM/CCEP
Director, Compliance, Ethics & Risk Management, Aaron’s, Inc.

This is not a new topic; there have been a number of very insightful articles written on this compelling subject. During this session, I will focus on how this distinction plays out inside a corporate environment and how we track and measure each of these elements separately. I will also invite attendees to re-think who can “help” them implement their programs. I will be demonstrating the tools we are using to implement each of these components.

- What is Compliance? (with a capital C)
- What is compliance (with a lowercase c)
- What is ethics?
- Rethink you allies!

3:15 – 3:30 PM

Break

3:30 – 4:30 PM

Building Capacity for Ethics & Compliance in the Supply Chain



Lauren C. Schultz
Corporate Senior Ethics Analyst
Lockheed Martin Corporation

- What types of supply chain risks do companies face, and what can be done about them?
- How can competitors partner to raise the bar for ethical conduct in their industries?
- How can your company partner with suppliers?

SCCE would like to thank the 2017 Atlanta Regional Planning Committee



Jill U. Edmondson, CCEP
Manager, Corporate Compliance & Ethics
The Home Depot



Blair C. Marks, CCEP
Director, Ethics Awareness & Operations
Lockheed Martin



Rena Moakeh
Energy Management Compliance Officer
GE Energy Management

REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- SCCE Member**..... \$199
- Non-Member**..... \$245
- Registration & SCCE membership**..... \$399
Save by joining SCCE today (new members only). Annual dues regularly \$295.

TOTAL \$ _____

ATTENDEE INFORMATION (please type or print)

Mr. Mrs. Ms. Dr.

SCCE Member Number _____

First Name _____ M.I. _____ Last Name _____

Credentials (CCEP, CPA, CFE, etc.) _____

Title _____

Place of Employment _____

Address _____

City _____ State _____ Zip _____

Phone _____

Fax _____

E-mail (required for confirmation and conference information) _____

SPECIAL REQUEST DIETARY ACCOMODATION

- Gluten free Vegetarian Vegan Other _____
- Kosher (no shellfish, pork or meat/dairy mixed) Kosher (Hechsher certified)

PAYMENT METHOD

Mail check (including registration form) to:
SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952 988 0146

- Check enclosed (payable to SCCE)
- Invoice me

I authorize SCCE to charge my credit card (choose below)

- American Express MasterCard Visa Discover

Credit Card Account Number _____

Credit Card Expiration Date _____

Cardholder's Name _____

Cardholder's Signature _____

If your total is miscalculated, SCCE will charge your card the correct amount.
Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCGA17)

By submitting this registration you agree to the terms and conditions, including the use of your information as stated in opposite column, and our Privacy Statement located at www.corporatecompliance.org/privacy.aspx.

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call SCCE at +1 952 933 4977 or 888 277 4977 with your credit card information, fax your registration to 952.988.0146, or visit www.corporatecompliance.org/regionals and register online

HOTEL/CONFERENCE LOCATION:

Westin Buckhead Atlanta

3391 Peachtree Road, N.E., Atlanta, GA 30326
<http://www.westinbuckheadatlanta.com/>

A small block of rooms has been secured with a reduced rate of \$195 for Thursday, June 8th. Rate is subject to applicable state and local taxes, currently 16%. Georgia State Hotel-Motel Fee of \$5.00 per room per night will be added to final guest folio upon departure. To make your reservation, please call the reservation department at 800-937-8461 by May 25th. Please specify that you're a part of the 'SCCE - Atlanta 2017' room block. Parking will be offered at a discounted rate of \$10 for self-park and \$14 for valet parking. Complimentary wireless internet will be provided in the meeting space.

TERMS AND CONDITIONS

REGISTRATION PAYMENT TERMS: Checks are payable to SCCE. Credit cards accepted: American Express, MasterCard, Visa, or Discover. SCCE will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email helpteam@corporatecompliance.org for instructions.

CANCELLATIONS/SUBSTITUTIONS: You may send a substitute in your place or request a conference credit. Refunds will not be issued. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any SCCE service or product. If a credit is applied toward an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email, sent to helpteam@corporatecompliance.org, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

GROUP DISCOUNT: 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

TAX DEDUCTIBILITY: Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

USE OF INFORMATION: Your information may be received by exhibitors at a conference as well as our affiliates and partners who we may share it with for marketing purposes. Please note that only postal address information is shared. If you wish to opt-out, please follow the process set out in the Privacy Statement.

AGREEMENTS & ACKNOWLEDGEMENTS: I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs and/or video at this conference and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in this SCCE event, I grant SCCE the right to use my name, photograph, video, and biography for such purposes. As a participant of this event, my name, job title, organization, city, state, and country will be listed on the attendee list that will be distributed to attendees, speakers, and exhibitors of this event.

CONTINUING EDUCATION: SCCE will be applying for external continuing education units (CEUs). If you would like to make a request, please email ccb@compliancecertification.org. Visit SCCE's website, www.corporatecompliance.org, for up-to-date information.

COMPLIANCE CERTIFICATION BOARD (CCB): Compliance Certification Board (CCB): CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance-Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional-Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional-International (CCEP-I)[®].

CLE: The Society of Corporate Compliance & Ethics is a State Bar of California Approved MCLE provider, a Pennsylvania Accredited Provider, and is an accredited sponsor, approved by the State Bar of Texas, Committee on MCLE. An approximate maximum of 6 clock hours of CLE credit will be available to attendees of this conference. All CLE credits will be awarded based on individual attendance.

NASBA/CPE: The Society of Corporate Compliance & Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors, Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.learningmarket.org. A recommended maximum of 7.2 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge and Application. For more information regarding administrative policies such as complaints or refunds, call (888) 580-8373 or (952) 988-0141.