

# DALLAS

November 3, 2017

## Regional Compliance & Ethics Conference



# Get the latest on key challenges facing the compliance community in Dallas, TX

SPONSORS



Questions?

[katie.burk@corporatecompliance.org](mailto:katie.burk@corporatecompliance.org)

Learn more and register

[www.corporatecompliance.org/regionals](http://www.corporatecompliance.org/regionals)

7:30 – 8:25 AM

## Registration & Continental Breakfast

8:25 AM

## Opening Remarks

8:30 – 9:30 AM

## Under Siege: Role of Compliance in Preparing and Responding to Cybersecurity Threats



**Michelle Reed**

Partner

Akin Gump Strauss Hauer & Feld LLP

**Kara Higginbotham**

Regional Underwriting Manager

AIG

- Analyze recent cybersecurity incidents and examine the role of various business stakeholders—focusing on the role of compliance officers—in crafting and executing an effective response
- Explore cybersecurity insurance opportunities and risks
- Review latest developments in regulatory enforcement and class action/multi-district litigation in the event of a breach

9:30 – 9:45 AM

## Networking Break

9:45 – 10:45 AM

## Managing Data Transfers between US and EU and Everywhere Else



**Robert Bond**

Partner & Notary Public

Bristows LLP



**Christopher D. Field**

Corporate Privacy Officer

Harte Hanks, Inc.

- EU and US data privacy challenges
- Effective methods for data transfers
- Preparing for the General Data Protection Regulation
- Embedding ethics and trust into privacy practices

10:45 – 11:00 AM

## Networking Break

11:00 – 12:00 PM

## The Road to a New Code of Conduct: How to Use Best Practices to Update Your Code of Conduct



**Eric Morehead, CCEP**

Principal Consultant

Morehead Compliance Consulting

- What's new? How have regulator and stakeholder expectations changed in the last few years?
- What goes into a best practice code of conduct development process?
- What are some valuable criteria for evaluating and benchmarking a code of conduct?

12:00 – 1:00 PM

## Lunch (provided)

1:00 – 2:00 PM

## Engaging a Multi-Generational and Diverse Workforce



**Kelly Richmond Pope, PhD, CPA**

Associate Professor

School of Accountancy and MIS

Driehaus College of Business

DePaul University

- Perspectives on compliance, policies, and ethical behavior from different generations and backgrounds
- Training and communications

2:00 – 2:15 PM

## Networking Break

**NO AUDIO OR VIDEO RECORDING OF SCCE CONFERENCES IS ALLOWED.**

**SESSIONS AND/OR SPEAKERS ARE SUBJECT TO CHANGE.**

**Register online at [corporatecompliance.org/regionals](http://corporatecompliance.org/regionals)  
Tweet #SCCEdal**

2:15 – 3:15 PM

## New to Know: Creating (and Losing) Privilege in Tricky Situations



**Karl Nelson**

Partner

Gibson Dunn



**Tracey Davies**

Partner

Gibson Dunn



**Kurt Drake**

Chief Compliance Officer

Kimberly-Clark

- Refresher on privilege
- Strategies related to privilege
- Protecting privilege
- International aspect of privilege
- Relationship between Compliance and Legal

3:15 – 3:30 PM

## Networking Break

3:30 – 4:30 PM

## A Journey to the Dark Side of International Business: Leveraging Frontline Experience to Protect Your Organization and Embed Compliance and Ethics



**Richard T. Bistrong**

CEO

Front-Line Anti-Bribery LLC



**S. Joy Dowdle**

Partner, Litigation Department

Paul Hastings LLP

- Ensuring your Compliance function understands the risks and pressures faced by the front-line business teams
- Recognizing the fluid nature of corruption risk (and the pitfalls of overreliance on risk assessments and compliance audits)
- Vesting in deeds (v. words) - practical mechanisms for ensuring your business is bought into compliance efforts and inspiring them to be ambassadors for compliance and ethics
- Calibrating your compliance efforts to 'what actually happens' in the field - especially in local cultures traditionally presenting compliance risks

**REGISTRATION FEE**

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- SCCE Member**..... \$199
- Non-Member**..... \$245
- Registration & SCCE membership**..... \$399
- Save by joining SCCE today (new members only). Annual dues regularly \$295.

**TOTAL \$** \_\_\_\_\_

**ATTENDEE INFORMATION (please type or print)**

Mr.  Mrs.  Ms.  Dr.

SCCE Member Number \_\_\_\_\_

First Name \_\_\_\_\_ M.I. \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CCEP, CPA, CFE, etc.) \_\_\_\_\_

Title \_\_\_\_\_

Place of Employment \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone \_\_\_\_\_

Fax \_\_\_\_\_

E-mail (required for confirmation and conference information) \_\_\_\_\_

**SPECIAL REQUEST DIETARY ACCOMODATION**

- Gluten free  Vegetarian  Vegan  Other \_\_\_\_\_
- Kosher (no shellfish, pork or meat/dairy mixed)  Kosher (Hechsher certified)

**PAYMENT METHOD**

Mail check (including registration form) to:  
SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952 988 0146

Check enclosed (payable to SCCE)

Invoice me

I authorize SCCE to charge my credit card (choose below)

American Express  MasterCard  Visa  Discover

Credit Card Account Number \_\_\_\_\_

Credit Card Expiration Date \_\_\_\_\_

Cardholder's Name \_\_\_\_\_

Cardholder's Signature \_\_\_\_\_

If your total is miscalculated, SCCE will charge your card the correct amount.

Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCTX17)

By submitting this registration you agree to the terms and conditions, including the use of your information as stated in opposite column, and our Privacy Statement located at [www.corporatecompliance.org/privacy.aspx](http://www.corporatecompliance.org/privacy.aspx).

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call SCCE at +1 952 933 4977 or 888 277 4977 with your credit card information, fax your registration to 952.988.0146, or visit [www.corporatecompliance.org/regionals](http://www.corporatecompliance.org/regionals) and register online

**HOTEL/CONFERENCE LOCATION:****Crowne Plaza Dallas Downtown**

1015 Elm Street, Dallas, TX 75202 | +1 214-742-5678 | <http://bit.ly/DallasHotel-17>

SCCE has secured a small block of rooms for the evening of Thursday, November 2nd at a rate of \$129 plus applicable state and local taxes (currently 15%). To make your reservations, please call the hotel at 214-742-5678 x625 and request the rate for the SCCE Dallas Regional Conference. Rooms must be booked by Wednesday, October 18th with a credit card guarantee. Guestrooms may be canceled 48 hours prior to arrival without charges. To avoid the release of your room, please call the hotel if you will be checking in past 8:00 pm.

**TERMS AND CONDITIONS**

**REGISTRATION PAYMENT TERMS:** Checks are payable to SCCE. Credit cards accepted: American Express, MasterCard, Visa, or Discover. SCCE will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org) for instructions.

**CANCELLATIONS/SUBSTITUTIONS:** You may send a substitute in your place or request a conference credit. Refunds will not be issued. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any SCCE service or product. If a credit is applied toward an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email, sent to [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org), prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

**GROUP DISCOUNT:** 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**TAX DEDUCTIBILITY:** Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

**USE OF INFORMATION:** By submitting this registration form, you agree to the use of your information and the terms and conditions stated on this form. To view our Privacy Statement visit [corporatecompliance.org/privacy.aspx](http://corporatecompliance.org/privacy.aspx).

**AGREEMENTS & ACKNOWLEDGEMENTS:** I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs and/or video at this conference and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in this SCCE event, I grant SCCE the right to use my name, photograph, video, and biography for such purposes. As a participant of this event, my name, job title, organization, city, state, and country will be listed on the attendee list that will be distributed to attendees, speakers, and exhibitors of this event.

**CONTINUING EDUCATION:** SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. **CEU totals are subject to change.**

Upon request, SCCE may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at 952-933-4977 or 888-277-4977 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Visit SCCE's website, [www.corporatecompliance.org](http://www.corporatecompliance.org), for up-to-date information.

**COMPLIANCE CERTIFICATION BOARD (CCB):** CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Compliance– Fellow (CHC-F)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC)<sup>®</sup>, Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>, Certified Compliance & Ethics Professional (CCEP)<sup>®</sup>, Certified Compliance & Ethics Professional–Fellow (CCEP-F)<sup>®</sup>, Certified Compliance & Ethics Professional–International (CCEP-I)<sup>®</sup>.

**CLE:** The Society of Corporate Compliance and Ethics is a State Bar of California approved MCLE provider, an Alabama and Pennsylvania accredited provider, and is an accredited sponsor approved by the State Bar of Texas Committee on MCLE. An approximate maximum of 6.0 clock hours of CLE credit will be available to attendees of this conference for these states. In addition, the Rhode Island Supreme Court has approved 7.2 contact hours of CLE credits for this event. Upon request, SCCE may submit this course to additional states for consideration. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.