



SOCIETY OF CORPORATE
COMPLIANCE AND ETHICS

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MIAMI

May 5, 2017

Regional Compliance & Ethics Conference



Get the latest on key challenges facing the compliance community in Miami

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Questions?

katie.burk@corporatecompliance.org

Learn more and register

www.corporatecompliance.org/regionals

7:30 – 8:25 AM

Registration & Continental Breakfast

8:25 AM

Opening Remarks

8:30 – 9:30 AM

Why Ethical People Make Unethical Choices: A spotlight on the role of leaders



Marsha H. Ershaghi Hames, PhD, CCEP
Senior Leader
Education Advisory Services
LRN

- How are you designing the training to cultivate a speak-up culture?
- How are you realigning your education strategy to promote ethical decision making?
- How are you developing leaders to model the message around workplace integrity that resonates?

9:30 – 9:45 AM

Break

9:45 – 10:45 AM

FCPA Enforcement



Ana-Paola (AP) Capaldo
Associate General Counsel
Global Ethics & Compliance
Laureate Education, Inc.



Richard Montes de Oca
Managing Partner
MDO Partners

- The prohibitions and scope of the Foreign Corrupt Practices Act (FCPA)
- The methods for addressing the organizational compliance risks associated with the FCPA
- Best practices for managing FCPA risks for global organizations.

10:45 – 11:00 AM

Break

11:00 – 12:00 PM

Update on Changes to Employment Law



David E. Block
Attorney at Law
Jackson Lewis PC

- President Trump's employment law agenda:
 - What is happening with the FLSA regulations;
 - New executive orders;
 - EEOC guidelines and enforcement; and
 - Unions where do they stand with the President.
- President Trump's Supreme Court nominee and the labor/employment cases before the Court
- What's new in Florida: How about marijuana – can you still drug test?

12:00 – 12:45 PM

Lunch

12:45 – 2:00 PM

Slavery Human Trafficking: The Second Largest Criminal Activity in the World Today (and why no one knows that)



Douglas Molloy
Molloy Law, LLC

- How to recognize human trafficking/slavery in the United States
- What to do when you do
- Efforts being made by law enforcement and non-government agencies right now

2:00 – 2:15 PM

Break

2:15 – 3:15 PM

Conflicts of Interest: Why You Can't Serve Two Masters



Martin Biegelman, CCEP-F
Managing Director, Deloitte
Advisory Regulatory, Forensics
& Compliance, Deloitte Financial
Advisory Services LLP

- Overview of the many types of conflicts of interest that impact an organization
- Understanding the human nature of conflicts with a discussion of case studies
- Share leading practices and practical solutions for managing the risk of conflicts of interest

3:15 – 3:30 PM

Break

3:30 – 4:30 PM

Investigations Experience



Wendy W. Evans, CFE, CCEP
Senior Corporate Investigator
Office of Ethics & Business Conduct
Lockheed Martin Corporation



Roxane MacGillivray, CCEP
Senior Corporate Investigator
Ethics and Business Conduct
Lockheed Martin Corporation

- Participate in an interactive, role-playing exercise designed to help investigators retain key concepts through “experiential learning”
- Share ideas on low-cost, internal training solutions using subject matter experts

NO AUDIO OR VIDEO RECORDING OF SCCE CONFERENCES IS ALLOWED.
PLEASE NOTE: SESSIONS AND/OR SPEAKERS ARE SUBJECT TO CHANGE.

Register online at www.corporatecompliance.org/regionals
Tweet #SCCEmia

REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- SCCE Member**..... \$199
- Non-Member**..... \$245
- Registration & SCCE membership**..... \$399
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TOTAL \$ _____

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Title _____

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Fax _____

E-mail (required for confirmation and conference information) _____

SPECIAL REQUEST DIETARY ACCOMODATION

- Gluten free Vegetarian Vegan Other _____
- Kosher (no shellfish, pork or meat/dairy mixed) Kosher (Hechsher certified)

PAYMENT METHOD

Mail check (including registration form) to:
 SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952 988 0146

Check enclosed (payable to SCCE)

Invoice me

I authorize SCCE to charge my credit card (choose below)

- American Express MasterCard Visa Discover

Credit Card Account Number _____

Credit Card Expiration Date _____

Cardholder's Name _____

Cardholder's Signature _____

*If your total is miscalculated, SCCE will charge your card the correct amount.
 Hotel accommodations are not included in the registration fee, but continental breakfast
 and lunch are provided. (LCFL17)*

*By submitting this registration you agree to the terms and conditions, including the use of
 your information as stated in opposite column, and our Privacy Statement located at
www.corporatecompliance.org/privacy.aspx.*

*Due to PCI compliance, please do not provide any credit card information via email.
 You may email this form (without credit card information) and call SCCE at +1 952
 933 4977 or 888 277 4977 with your credit card information, fax your registration to
 952.988.0146, or visit www.corporatecompliance.org/regionals and register online*

HOTEL/CONFERENCE LOCATION:

Hilton Miami Downtown

1601 Biscayne Blvd., Miami, FL 33132

A small block of rooms has been reserved for this program at a rate of \$219 per night, plus state and local taxes. To make your reservation, please visit the booking website: <http://bit.ly/2iIsTbT> must be made no later than Tuesday, April 4th. Please note, the block may fill by this date in which the hotel will accept reservations based on availability. SCCE recommends booking early.

TERMS AND CONDITIONS

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GROUP DISCOUNT: 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

TAX DEDUCTIBILITY: Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

USE OF INFORMATION: Your information may be received by exhibitors at a conference as well as our affiliates and partners who we may share it with for marketing purposes. Please note that only postal address information is shared. If you wish to opt-out, please follow the process set out in the Privacy Statement.

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COMPLIANCE CERTIFICATION BOARD (CCB): Compliance Certification Board (CCB): CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance-Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional-Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional-ternational (CCEP-I)[®].

CLE: The Society of Corporate Compliance & Ethics is a State Bar of California Approved MCLE provider, a Pennsylvania Accredited Provider, and is an accredited sponsor, approved by the State Bar of Texas, Committee on MCLE. An approximate maximum of 6 clock hours of CLE credit will be available to attendees of this conference. All CLE credits will be awarded based on individual attendance.

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