



SOCIETY OF CORPORATE
COMPLIANCE AND ETHICS

Network locally and earn CEUs

SCOTTSDALE

April 7, 2017

Regional Compliance & Ethics Conference



Get the latest on key challenges facing the compliance community in Arizona

SPONSORS



Governance Services

Questions?

katie.burk@corporatecompliance.org

Learn more and register

www.corporatecompliance.org/regionals



THOMSON REUTERS

7:00 – 8:00 AM

Registration & Breakfast

7:55 – 8:00 AM

Opening Remarks



James Rough, CHC, CCEP, CFE
Associate Director
Navigant Consulting

8:00 – 9:00 AM

Department of Justice FCPA Pilot Program and Anti-Corruption Compliance



Hillary Rosenberg
Head ABC – Americas
Standard Chartered Bank



Hilary Huber
Manager ABC - Americas
Standard Chartered Bank

- Overview of the DOJ FCPA Pilot Program
- Notable enforcement actions
- Building an effective compliance program in light of these enforcement actions

9:00 – 9:15 AM

Break

9:15 – 10:15 AM

Leading Multiple International Investigations: Practical guidance on what works and what to avoid



Juliette Gust
Former Director, Fraud & Investigations
Starwood Hotels & Resorts
Worldwide, Inc.

- Building and managing competing stakeholder relationships
- High-volume case triage and simultaneous investigations
- Multinational resources
- Team safety and security

10:15 – 10:30 AM

Break

10:30 AM – 11:30 AM

Lessons Learned from David Petraeus Investigation and Communicating with DOJ

James Melendres
Partner
Snell and Wilmer

11:30 – 12:15 PM

Lunch

12:15 – 12:30 PM

AECC Update (Arizona Ethics and Compliance Council)



Kelleen Brennan
Compliance Counsel
Carvana

12:30 – 1:45 PM

Mood in the Middle – Everyone has a stake in the game

Stephen Nehring, CCEP, CFE
Global Compliance Manager
Freeport-McMoRan Inc.

Lex Zerwas
Director Operations Strategy and
Controller, Freeport-McMoRan Inc.

Cynthia David,
Director of Global Supply Chain NA,
Freeport-McMoRan Inc.

- Fraud detection
- Discrimination, harassment, retaliation
- Policy/Code of Conduct compliance
- Disclosures
- Training and Certification
- Safety and Health reporting
- SOX reporting and key controls
- Compliance risk assessments
- Living our company values

1:45 – 2:00 PM

Break

SCCE would like to thank the 2017 Scottsdale Regional Planning Committee



James Rough, CHC, CCEP, CFE
Associate Director
Navigant Consulting



Martin T. Biegelman, CCEP-F
Managing Director, Deloitte Advisory
Regulatory, Forensics & Compliance
Deloitte Financial Advisory Services LLP



Charles Laugen, CFE, CFCI
Director, Corporate Investigations
Prudential Financial

2:00 – 3:00 PM

2017 Changes in the Privacy & Security Landscape



Ruby Hinds
Associate Director, Healthcare and
Life Sciences Disputes, Regulatory,
Compliance & Investigations, Navigant

Martin E. Hellmer
Supervisory Special Agent, FBI



Dan Oseran, JD MBA CIPP/US, CISSP
Senior Director and Counsel;
Global Privacy, Business Ethics &
Compliance, eBay Inc.



Aaron Carpenter
Sr. Director, Security & Compliance
JDA Software



Michael Colao
Manager Data Privacy and Compliance
Arizona Public Service

- Panel conversation industry experts
- Changes in cyber security due to the governmental administration shift
- HIPAA impact on non-healthcare industry companies

3:00 – 3:15 PM **Break**

3:15 – 4:00 PM

Sales Incentives Impact on Corporate Culture



Charles Laugen, CFE, CFCI
Director, Corporate Investigations
Prudential Financial



David Welsh, PhD, JD
Assistant Professor Management
Arizona State University
W.P. Carey School of Business

- Understand the intersection of sales incentives, organizational goals, corporate compliance, unethical and illegal conduct, and tone at the top
- Discuss examples of corporate culture failures
- Share practical tips and solutions for mitigating risks of unethical and illegal conduct

Register online at
www.corporatecompliance.org/regionals
Tweet #SCCEphx

NO AUDIO OR VIDEO RECORDING OF SCCE CONFERENCES IS ALLOWED.

PLEASE NOTE: SESSIONS AND/OR SPEAKERS ARE SUBJECT TO CHANGE.

REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- SCCE Member**..... \$199
- Non-Member**..... \$245
- Registration & SCCE membership**..... \$399
Save by joining SCCE today (new members only). Annual dues regularly \$295.

TOTAL \$ _____

ATTENDEE INFORMATION (please type or print)

Mr. Mrs. Ms. Dr.

SCCE Member Number _____

First Name _____ M.I. _____ Last Name _____

Credentials (CCEP, CPA, CFE, etc.) _____

Title _____

Place of Employment _____

Address _____

City _____ State _____ Zip _____

Phone _____

Fax _____

E-mail (required for confirmation and conference information) _____

SPECIAL REQUEST DIETARY ACCOMODATION

- Gluten free Vegetarian Vegan Other _____
- Kosher (no shellfish, pork or meat/dairy mixed) Kosher (Hechsher certified)

PAYMENT METHOD

Mail check (including registration form) to:
 SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952 988 0146

- Check enclosed (payable to SCCE)
- Invoice me

I authorize SCCE to charge my credit card (choose below)

- American Express MasterCard Visa Discover

Credit Card Account Number _____

Credit Card Expiration Date _____

Cardholder's Name _____

Cardholder's Signature _____

*If your total is miscalculated, SCCE will charge your card the correct amount.
 Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCAZ17)*

By submitting this registration you agree to the terms and conditions, including the use of your information as stated in opposite column, and our Privacy Statement located at www.corporatecompliance.org/privacy.aspx.

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call SCCE at +1 952 933 4977 or 888 277 4977 with your credit card information, fax your registration to 952.988.0146, or visit www.corporatecompliance.org/regionals and register online

HOTEL/CONFERENCE LOCATION:

The Westin Kierland Resort & Spa

The Westin Kierland Resort & Spa, 6902 E. Greenway Parkway, Scottsdale, AZ 85254
<http://kierlandresort.com/> | toll free: 800-354-5892 | local: 480-624-1000

A block of rooms has not been reserved for this program. Please call the hotel directly to check rates and availability. Self-parking is complimentary and valet parking is available for \$3 per vehicle. Wireless internet will also be provided in the meeting space.

TERMS AND CONDITIONS

REGISTRATION PAYMENT TERMS: Checks are payable to SCCE. Credit cards accepted: American Express, MasterCard, Visa, or Discover. SCCE will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email helpteam@corporatecompliance.org for instructions.

CANCELLATIONS/SUBSTITUTIONS: You may send a substitute in your place or request a conference credit. Refunds will not be issued. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any SCCE service or product. If a credit is applied toward an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email, sent to helpteam@corporatecompliance.org, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

GROUP DISCOUNT: 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

TAX DEDUCTIBILITY: Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

USE OF INFORMATION: Your information may be received by exhibitors at a conference as well as our affiliates and partners who we may share it with for marketing purposes. Please note that only postal address information is shared. If you wish to opt-out, please follow the process set out in the Privacy Statement.

AGREEMENTS & ACKNOWLEDGEMENTS: I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs and/or video at this conference and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in this SCCE event, I grant SCCE the right to use my name, photograph, video, and biography for such purposes. As a participant of this event, my name, job title, organization, city, state, and country will be listed on the attendee list that will be distributed to attendees, speakers, and exhibitors of this event.

CONTINUING EDUCATION: SCCE is in the process of applying for additional credits. If you do not see information on your specific accreditation and would like to make a request, please contact us at 952 933-4977 or 888 277 4977 or email ccb@compliancecertification.org. Visit SCCE's website, www.corporatecompliance.org for up-to-date information.

COMPLIANCE CERTIFICATION BOARD (CCB): Compliance Certification Board (CCB): CCB has awarded a maximum of 7.5 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance-Fellow (CHC-F)™, Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional-Fellow (CCEP-F)®, Certified Compliance & Ethics Professional-International (CCEP-I)™.

CLE:The Society of Corporate Compliance & Ethics is a State Bar of California Approved MCLE provider, a Pennsylvania Accredited Provider, and is an accredited sponsor, approved by the State Bar of Texas, Committee on MCLE. An approximate maximum of 6.0 clock hours of CLE credit will be available to attendees of this conference. All CLE credits will be awarded based on individual attendance.

NASBA/CPE: The Society of Corporate Compliance & Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors, Sponsor Identification No: I05638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.learningmarket.org. A recommended maximum of 7.5 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge and Application. For more information regarding administrative policies such as complaints or refunds, call (888) 580-8373 or (952) 988-0141