



CHICAGO

May 4, 2018

Regional Compliance & Ethics Conference



**TOPICS: Sexual Harassment | Cybersecurity Basics
Mood in the Middle | Data Breach Notification**

Network locally and earn CEUs

SPONSORS



SCCE Regional Conferences provide a forum to interact with local compliance professionals, share information about your compliance successes and challenges, and create educational opportunities for compliance professionals to strengthen the industry.

Questions? Contact: katie.burk@corporatecompliance.org

Learn more and register corporatecompliance.org/regionals

7:30 – 8:30 AM

Registration & Continental Breakfast

8:25 AM

Welcome/Opening Remarks

8:30 – 9:30 AM

Ethics Reinvented: A code of ethics for the digital age



Paul Steinke

Director of Legal Services – Ethics Programs and V&A Compliance
Accenture



Jennifer Handa

Associate General Counsel – Global Compliance & Ethics
Accenture

- Our design-led approach
- What we learned along the way
- What we created

9:30 – 9:45 AM

Networking Break

9:45 – 10:45 AM

Ethics & Compliance Cases in Business



Michael R. Fricke

Teaching Assistant Professor,
University of Illinois
Gies College of Business

- In this interactive session, attendees will work in groups to consider the best response to some of today's pressing ethics and compliance issues.
- Real-world cases drawn from the headlines will challenge attendees to think creatively and ethically for their organization.
- Attendees will be better prepared to address similar problems when they arise in their own workplace.

10:45 – 11:00 AM

Networking Break

11:00 – 12:00 PM

Prioritizing Process: How Poor Process Contributes to Compliance Failures



Nicola Faith Sharpe

Professor of Law
University of Illinois College of Law

- Discuss my current article on the Volkswagen Emissions Scandal
- Describe how effective information processes are critical to an effective compliance program
- Discuss the importance of a dynamic information gathering process for sound compliance risk management

12:00 – 1:00 PM

Lunch (provided)

1:00 – 2:00 PM

Artificial Intelligence: A New Tool in Fighting Fraud



Adrian Sierra

CEO
Sierra Forensic Group



Kerry Haberkorn

Director
Sierra Forensic Group

- During this session, attendees will get a better understanding of what Artificial Intelligence (AI) is and its importance in today's society by highlighting organizational and financial mishaps that could have been prevented with the use of AI.
- The attendees will learn how various industries are experimenting with AI and how AI is helping organizations succeed. Audience members will learn how AI has benefited the manufacturing and finance industry, among others, in terms of productivity, accessibility and financial improvements.

- Attendees will gain knowledge of how AI is becoming an integral tool in identifying fraud and monitoring compliance across industries. Attendees will learn why incorporating AI into their compliance program is valuable for reducing fraud risk.

2:00 – 2:15 PM

Networking Break

2:15 – 3:15 PM

Labor and Employment Law/ Respect in the workplace



Brett Rawitz

Associate General Counsel – Labor, Litigation and Employment
US Foods, Inc.

Anna Wermuth

Vice Chair, Labor & Employment Department, Cozen O'Connor

3:15 – 3:30 PM

Networking Break

3:30 – 4:30 PM

Doing More With Less for Global Compliance: Operating a Compliance Program in the Era of Shrinking Resources

Garrison L. Phillips

Deputy General Counsel-Global Compliance, Ethics & Security United



Lela D. Johnson

OCDETF Great Lakes Regional Director
Assistant United States Attorney
Northern District of Illinois



Michael Weisman

Former Chief Ethics & Compliance Officer, The Kraft Heinz Company

- Cost-effective techniques to educate a dispersed workforce
- Leveraging resources in other departments
- Tips to manage the budgeting process

REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- SCCE Member**..... \$199
- Non-Member**..... \$245
- Registration & SCCE membership**..... \$399
Save by joining SCCE today (new members only). Annual dues regularly \$295.

TOTAL \$ _____

ATTENDEE INFORMATION (please type or print)

Mr. Mrs. Ms. Dr.

SCCE Member Number _____

First Name _____ M.I. _____ Last Name _____

Credentials (CCEP, CPA, CFE, etc.) _____

Title _____

Place of Employment _____

Address _____

City _____ State _____ Zip _____

Phone _____

Fax _____

E-mail (required for confirmation and conference information) _____

SPECIAL REQUEST DIETARY ACCOMODATION

- Gluten free Vegetarian Vegan Other _____
- Kosher (no shellfish, pork or meat/dairy mixed) Kosher (Hechsher certified)

PAYMENT METHOD

Mail check (including registration form) to:
SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952 988 0146

- Check enclosed (payable to SCCE)
- Invoice me

I authorize SCCE to charge my credit card (choose below)

- American Express MasterCard Visa Discover

Credit Card Account Number _____

Credit Card Expiration Date _____

Cardholder's Name _____

Cardholder's Signature _____

If your total is miscalculated, SCCE will charge your card the correct amount.
Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCIL18)

By submitting this registration you agree to the terms and conditions, including the use of your information as stated in opposite column, and our Privacy Statement located at www.corporatecompliance.org/privacy.aspx.

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call SCCE at +1 952 933 4977 or 888 277 4977 with your credit card information, fax your registration to 952.988.0146, or visit www.corporatecompliance.org/regionals and register online

HOTEL/CONFERENCE LOCATION:

W Chicago – City Center

172 W Adams St., Chicago, IL 60603
312-332-1200 | <http://bit.ly/chicago-18>

A small block of rooms has been reserved for Thursday, May 3rd at a rate of \$199 plus applicable state and local taxes, currently 17.4%. To make your reservation, please use the following link: <http://bit.ly/chicago-18>. Reservations must be received by the cutoff date which is Tuesday, April 10th. After this date, reservations will be accepted on a space availability basis at prevailing rates. Complimentary wireless internet will be available in both the sleeping rooms and the meeting space. Discounted event parking will be available at a rate of \$27.00 per day.

TERMS AND CONDITIONS

REGISTRATION PAYMENT TERMS: Checks are payable to SCCE. Credit cards accepted: American Express, MasterCard, Visa, or Discover. SCCE will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email helpsteam@corporatecompliance.org for instructions.

CANCELLATIONS/SUBSTITUTIONS: You may send a substitute in your place or request a conference credit. Refunds will not be issued. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any SCCE service or product. If a credit is applied toward an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email, sent to helpsteam@corporatecompliance.org, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

GROUP DISCOUNT: 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

TAX DEDUCTIBILITY: Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

USE OF INFORMATION: By submitting this registration form, you agree to the use of your information and the terms and conditions stated on this form. To view our Privacy Statement visit corporatecompliance.org/privacy.aspx.

AGREEMENTS & ACKNOWLEDGEMENTS: I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs and/or video at this conference and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in this SCCE event, I grant SCCE the right to use my name, photograph, video, and biography for such purposes. As a participant of this event, my name, job title, organization, city, state, and country will be listed on the attendee list that will be distributed to attendees, speakers, and exhibitors of this event.

CONTINUING EDUCATION: SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, SCCE may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at 952-933-4977 or 888-277-4977 or email ccb@compliancecertification.org. Visit SCCE's website, corporatecompliance.org, for up-to-date information.

COMPLIANCE CERTIFICATION BOARD (CCB): CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance—Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional—Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional—International (CCEP-I)[®].

CONTINUING LEGAL EDUCATION (CLE): The Society of Corporate Compliance and Ethics is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, and the State Bar of Texas. An approximate maximum of 6.0 clock hours of CLE credit will be available to attendees of this conference from these states. Upon request SCCE may submit this course to additional states for consideration. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

NASBA/CPE: The Society of Corporate Compliance and Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors, Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.learningmarket.org. A recommended maximum of 7.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge and Application. For more information regarding administrative policies such as complaints or refunds, call (888) 580-8373 or (952) 988-0141.