



# SOUTHERN CALIFORNIA January 26, 2018 Regional Compliance & Ethics Conference

**TOPICS: New DOJ/OIG Guidance | High Risk Markets & FCPA  
Engaging Your Board | Immigration | GDPR**



## Network locally and earn CEUs

### SPONSORS



SCCE Regional Conferences provide a forum to interact with local compliance professionals, share information about your compliance successes and challenges, and create educational opportunities for compliance professionals to strengthen the industry.

Questions? Contact: [katie.burk@corporatecompliance.org](mailto:katie.burk@corporatecompliance.org)

*Learn more and register [corporatecompliance.org/regionals](http://corporatecompliance.org/regionals)*

7:30 – 8:30 AM

## Registration & Breakfast

8:25 AM

## Welcome/Opening Remarks



**Laura LaCorte**, Associate Senior Vice President, Compliance, University of Southern California

8:30 – 9:30 AM

## The EU's General Data Protection Regulation - Top Line Global Privacy is Key



**Megan Duffy**  
Assistant General Counsel  
Privacy at Intuit



**Dominique R. Shelton**  
Partner  
Alston & Bird

- Top Line Privacy Compliance is Key in a Global Market Place.
- Key Features of GDPR
- 5 Tips for Getting Through the Process by May 25, 2018

9:30 – 9:45 AM

## Networking Break

9:45 – 10:45 AM

## State of DOJ/SEC Guidance and Compliance Implications



**John M. McCoy III**  
Deputy General Counsel and Group Chief Compliance Officer  
21st Century Fox



**Robert Dugdale**  
Partner  
Kendall Brill Kelly

- DOJ/SEC Guidance and FCPA cases under the new administration

10:45 – 11:00 AM

## Networking Break

11:00 – 12:00 PM

## Engaging Your Board



**Malissia Clinton**  
Senior Vice President  
General Counsel and Secretary  
The Aerospace Corporation



**Dixie Johnson**  
Partner  
King & Spalding

- How to effectively get Board buy-in and commitment of sufficient resources
- How to educate the board on their compliance/ethics program oversight responsibilities – what it means; how to get them comfortable with their role

12:00 – 1:00 PM

## Lunch (provided)

1:00 – 2:00 PM

## High Risk Markets & FCPA



**Brian R. Michael**  
Partner  
King & Spalding LLP

**Tedra Foster**  
Senior Director, Ethics and Compliance  
Herbalife Nutrition



**Julie Myers Wood**  
Chief Executive Officer  
Guidepost Solutions LLC

- Effective Strategies for Hiring Third Parties with Government Ties in China
- Recent Developments in Brazil and Mexico
- Practical Considerations Regarding Adherence to ISO 37001

2:00 – 2:15 PM

## Networking Break

2:15 – 3:15 PM

## Immigration



**Richard Yemm, Esq.**  
Partner  
Wolfsdorf Rosenthal, LLP



**Julie Myers Wood**  
Chief Executive Officer  
Guidepost Solutions LLC

- Immigration compliance in the Trump Administration Era – an Update on I-9 Employment Eligibility Verification
- Corporate Immigration Audits, Compliance and Recordkeeping for H-1B, L-1 and PERM Cases
- End of Deferred Action for Childhood Arrivals (DACA) – effect on I-9 records and workforce

3:15 – 3:30 PM

## Networking Break

3:30 – 4:30 PM

## Why Marketing & Communication drives more impact than Training Alone



**Marsha H. Ershaghi-Hames**  
Managing Director Strategy & Development, LRN

- Learn why building an integrated marketing & communication strategy is important in how you deliver and frame the DNA of E&C training.
- Discuss how E&C training can scale globally without losing the personal feel and engagement.
- Explore ways to market and brand your program message and purpose by leveraging internal social media platforms and tapping into user generated content.
- Gain insight into leveraging front-line management as the MarComm envoys on the ground.

### REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- SCCE Member**..... \$199
- Non-Member**..... \$245
- Registration & SCCE membership**..... \$399  
Save by joining SCCE today (new members only). Annual dues regularly \$295.

**TOTAL \$** \_\_\_\_\_

### ATTENDEE INFORMATION (please type or print)

Mr.  Mrs.  Ms.  Dr.

SCCE Member Number \_\_\_\_\_

First Name \_\_\_\_\_ M.I. \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CCEP, CPA, CFE, etc.) \_\_\_\_\_

Title \_\_\_\_\_

Place of Employment \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone \_\_\_\_\_

Fax \_\_\_\_\_

E-mail (required for confirmation and conference information) \_\_\_\_\_

### SPECIAL REQUEST DIETARY ACCOMODATION

- Gluten free  Vegetarian  Vegan  Other \_\_\_\_\_
- Kosher (no shellfish, pork or meat/dairy mixed)  Kosher (Hechsher certified)

### PAYMENT METHOD

Mail check (including registration form) to:  
SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952 988 0146

- Check enclosed (payable to SCCE)
- Invoice me

I authorize SCCE to charge my credit card (choose below)

- American Express  MasterCard  Visa  Discover

Credit Card Account Number \_\_\_\_\_

Credit Card Expiration Date \_\_\_\_\_

Cardholder's Name \_\_\_\_\_

Cardholder's Signature \_\_\_\_\_

If your total is miscalculated, SCCE will charge your card the correct amount.  
Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCCA182)

By submitting this registration you agree to the terms and conditions, including the use of your information as stated in opposite column, and our Privacy Statement located at [www.corporatecompliance.org/privacy.aspx](http://www.corporatecompliance.org/privacy.aspx).

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call SCCE at +1 952 933 4977 or 888 277 4977 with your credit card information, fax your registration to 952.988.0146, or visit [www.corporatecompliance.org/regionals](http://www.corporatecompliance.org/regionals) and register online

### HOTEL/CONFERENCE LOCATION:

#### Irvine Marriott

18000 Von Karman Ave., Irvine, CA 92612  
[marriott.com/hotels/travel/laxir-irvine-marriott](http://marriott.com/hotels/travel/laxir-irvine-marriott)

A small block of rooms has been reserved for Thursday, January 25th at a rate of \$189 plus applicable state and local taxes (currently 10%) and CA Tourism \$0.85 in effect at the time of check out. To make your reservation, please call the Marriott Reservations line directly at 800-228-9290 or 949-553-0100 and request the rate for the Society of Corporate Compliance & Ethics at the Irvine Marriott. The cutoff date for making reservations is Friday, January 5th. Reservations received after this time will be accepted on a space and rate available basis. All reservations must be guaranteed with a major credit card.

### TERMS AND CONDITIONS

**REGISTRATION PAYMENT TERMS:** Checks are payable to SCCE. Credit cards accepted: American Express, MasterCard, Visa, or Discover. SCCE will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org) for instructions.

**CANCELLATIONS/SUBSTITUTIONS:** You may send a substitute in your place or request a conference credit. Refunds will not be issued. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any SCCE service or product. If a credit is applied toward an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email, sent to [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org), prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

**GROUP DISCOUNT:** 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**TAX DEDUCTIBILITY:** Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

**USE OF INFORMATION:** By submitting this registration form, you agree to the use of your information and the terms and conditions stated on this form. To view our Privacy Statement visit [corporatecompliance.org/privacy.aspx](http://corporatecompliance.org/privacy.aspx).

**AGREEMENTS & ACKNOWLEDGEMENTS:** I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs and/or video at this conference and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in this SCCE event, I grant SCCE the right to use my name, photograph, video, and biography for such purposes. As a participant of this event, my name, job title, organization, city, state, and country will be listed on the attendee list that will be distributed to attendees, speakers, and exhibitors of this event.

**CONTINUING EDUCATION:** SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, SCCE may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at 952-933-4977 or 888-277-4977 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Visit SCCE's website, [www.corporatecompliance.org](http://www.corporatecompliance.org), for up-to-date information.

**CLE:** The Society of Corporate Compliance and Ethics is a State Bar of California approved MCLE provider, and a Pennsylvania and Rhode Island accredited provider. An approximate maximum of 6.0 clock hours of CLE credit will be available to attendees of this conference for these states. Upon request SCCE may submit this course to additional states for consideration. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

**COMPLIANCE CERTIFICATION BOARD (CCB)®:** CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance—Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional—Fellow (CCEP-F)®, Certified Compliance & Ethics Professional—International (CCEP-I)®.

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