



European Compliance & Ethics Institute

***Gain a
European
perspective
on compliance
and ethics
challenges***

18–21 May 2014 / London, UK

QUESTIONS: [KATIE.BURK@CORPORATECOMPLIANCE.ORG](mailto:katie.burk@corporatecompliance.org)

Register today at www.corporatecompliance.org/ecei



HERE'S WHAT 2013 ATTENDEES HAD TO SAY:



"Just as in business compliance itself, the bar for meetings of compliance practitioners continues to be raised everywhere. The choice of topics, quality and preparation by the speakers, venue + opportunities for connecting with others resulted in this SCCE meeting sailing over the bar with flying colors!"

"Not only were the topics relevant to all industries and very informative, the ensuing discussions brought insight on how to overcome the various, yet similar, challenges we all face. Without a doubt, I really saw value in the candidness of the participants. A win/win situation for me."

SUNDAY 18 MAY / PRE-CONFERENCE

9:00–12:00 CONCURRENT SESSIONS (INCLUDES 15-MINUTE BREAK)	P1 Compliance 101 – Debbie Troklus, Managing Director, Aegis Compliance & Ethics Center; Marjorie W. Doyle, Marjorie Doyle & Associates		P2 EU Data Privacy Concerns for Multinationals Outsourcing Services – Monica Salgado, Advogada registered at the Portuguese Ordem dos Advogados, Registered European Lawyer with the SRA, Speechly Bircham LLP; Janine Regan, Solicitor, Speechly Bircham LLP
12:00–13:30	Lunch		
13:30–16:30 CONCURRENT SESSIONS (INCLUDES 15-MINUTE BREAK)	P3 New Mandate, New Mindset: Making a Successful Transition into a Compliance & Ethics Career (What Lawyers, In-House Counsel, and Others in Transition Need to Know) – Donna Boehme, Principal, Compliance Strategists; Joseph Murphy, Author, <i>501 Ideas for Your Compliance & Ethics Program</i> , Senior Advisor, Compliance Strategists; Robert Bond, Head of Information Law and CSR, Speechly Bircham LLP	P4 Investigations Workshop – Douglas R. Vreeland, Legal Counsel Global Ethics, Dell Inc.; Sophie Marlange, Legal Director EMEA, Employment and Ethics, Dell Inc; Michael W. Johnson, CEO, Clear Law Institute	P5 Ethics or Else: A Primer on Organizational Ethics – Art Weiss, Chief Compliance & Ethics Officer, TAMKO Building Products; Marjorie W. Doyle, Marjorie Doyle & Associates; Jane L. Mitchell, Director, JL&M
16:30–18:00	Welcome Reception		

MONDAY 19 MAY / CONFERENCE

8:00–9:00	Breakfast	
9:00–10:00	General Session 1: Big Changes in China: What Do They Mean for Compliance Programmes? – Philippa Foster Back, OBE, Director, Institute of Business Ethics (moderator); Robert Amaee, Partner, Covington & Burling LLP, Former Head of Anti-Corruption & Head of Proceeds of Crime, UK Serious Fraud Office; Gareth Tipton, Director of Compliance & COO, BT Group-Legal, Governance & Compliance; Neville Tiffen, Principal, Neville Tiffen & Associates	
10:00–11:00	General Session 2: Exposed: Blowing the Whistle on Olympus –Michael Woodford, Former Global CEO of Olympus and whistleblower	
11:00–11:30	Networking Break	
11:30–12:30	101 The Myth of the Rogue Employee: Conversations with Boards and C-Suites – Sally March, Director, Drummond March & Co; Donna Boehme, Principal, Compliance Strategists	102 Third-Party Compliance in Europe: Chances and Challenges – Christina Moeller, Senior Director Ethics & Compliance, Bertelsmann SE &Co KGaA
12:30–13:30	Lunch (provided)	
13:30–14:30	201 Corporate Monitors: Trends in Usage in Europe – John Hanson, Executive Director, Artifice Forensic Financial Services, LLC; Bart M. Schwartz, Chairman & CEO, Guidepost Solutions LLC; Lord David L. Gold, David Gold & Associates LLP; Sam Eastwood, Partner, Solicitor, Norton Rose Fulbright LLP	202 Are Your Vendors Meeting YOUR Compliance Requirements? – Janet K. Himmelreich, CCEP, CCEP-I, Head, Client Compliance Services, BT Global Services; Steve J. Kilmister, CCEP-I, Operations & Assurance Director, BT Global Services
14:30–15:00	Networking Break	
15:00–16:00 CONCURRENT SESSIONS	301 Compliance and Human Trafficking – Thomas A. Firestone, Senior Counsel, Baker, McKenzie	302 Compliance Transaction Monitoring – Scott Lane, Executive Chairman, The Red Flag Group
16:00–16:15	Networking Break	
16:15–17:15 CONCURRENT SESSIONS	401 Congratulations! So You’re a Compliance Officer... Now What? – Peter Massey-Cook, Director, Compliance Compass Ltd.	402 Creating and Sustaining a Viable Ethics Ambassador Community: Inspiration & Perspiration – Ruth N. Steinholtz, Values Based Business Ethics Advisor, AretéWork LLP
17:15–18:30	Networking Reception	

TUESDAY 20 MAY

8:00–9:00	Breakfast (provided)	
9:00–10:00	General Session 3: Cyber Risk & Security – Ciaran Martin, Director General for Government and Industry Cyber Security, GCHQ	
10:00–11:00	General Session 4: The Importance of Culture to Compliance and Ethics Programmes – Adam Turteltaub, Vice President, Membership Development, Society of Corporate Compliance & Ethics (moderator); Sally March, Director, Drummond March & Co; Jane L. Mitchell, Director, JL&M; Simon Webley, Research Director, Institute of Business Ethics	
11:00–11:30	Networking Break	
11:30–12:30 CONCURRENT SESSIONS	501 Global (Mis)Communication: Identifying, Avoiding and Explaining Compliance Issues Around the World – Kristy Grant Hart, Chief Compliance Officer, United International Pictures; Lisa Beth Lentini, Vice President, Global Compliance, Carlson Wagonlit Travel	502 Anti-bribery Update and Challenges of a Global Anti-bribery Programme – Claire Halligon, EMEA Compliance Sr Legal Counsel, NetApp
12:30–13:30	Lunch (provided)	
13:30–14:30 CONCURRENT SESSIONS	601 Ethics and Compliance in Europe: An Insider's Practical Guide to What Works – Murray Grainger, Managing Director, Impact on Integrity, former Head of Ethics and Compliance Programme Office at Airbus; Fernando Dyer, Consultant, former Director of Corporate Compliance at JT International S.A.	602 Lifting the Lid on Compliance Training: Key Findings from our Major Study Exploring the Hopes, Expectations and Realities of Current Compliance Learning Practices – Paula Davis, Director, Compliance Programme Operations, EMEA, SAI Global
14:30–14:45	Networking Break	
14:45–15:45 CONCURRENT SESSIONS	701 Sanctions: Why Russia Breaks the Mould – Ross Denton, Partner, Baker & McKenzie LLP	702 Implementing a Successful Hotline across Europe – Dominic M. Hall, Associate Director, Ethics and Compliance, Coca-Cola Enterprises; Maaïke Burger, Associate Director Ethics & Compliance, Coca-Cola Enterprises

WEDNESDAY 21 MAY

8:30–10:30	W1 Achieving Anti-Bribery Compliance through Effective Risk-Based Due Diligence – Alexandra Wrage, President, TRACE International, Inc.; Claire Halligon, EMEA Compliance Sr Legal Counsel, NetApp
10:30–10:45	Networking Break
10:45–12:00	W2 A Compliance Culture: What, Why & How to Measure It? – Heather Loewenthal, Previously Chief Compliance Officer, ING Group; Bill Dee, Director, Compliance and Complaints Advisory Services
13:30–16:00	Certified Compliance & Ethics International (CCEP-I) Exam <i>(optional)</i>

SCCE's Basic Compliance & Ethics Academies

Our international Academies cover critical content in-depth and are a great preparation course for the CCEP-I exam. Topics addressed include standards, policies, and procedures; compliance and ethics programme administration; communications, education, and training; monitoring, auditing, and internal reporting systems; response and investigation; discipline and incentives; anti-corruption and bribery; and risk assessment.



Outside the U.S.

Brussels, Belgium

12–15 May 2014

Shanghai, China

7–10 July 2014

Vancouver, BC

21–24 July 2014

São Paulo, Brazil

25–28 August 2014

Dubai, UAE

14–17 December 2014

In the U.S.

San Francisco, CA

17–20 February 2014

New Orleans, LA

17–20 March 2014

Boston, MA

14–17 April 2014

Scottsdale, AZ

9–12 June 2014

New York, NY

4–7 August 2014

Nashville, TN

29 Sept–2 Oct 2014

Las Vegas, NV

20–23 October 2014

Orlando, FL

17–20 November 2014

San Diego, CA

1–4 December 2014

LEARN MORE AT www.corporatecompliance.org/academies

Sunday 18 May

PRE-CONFERENCE

9:00–12:00

CONCURRENT SESSIONS

(INCLUDES 15-MINUTE BREAK)

P1 Compliance 101



Debbie Troklus, *Managing Director, Aegis Compliance & Ethics Center*



Marjorie W. Doyle, *JD CCEP-F, CCEP-I, Marjorie Doyle & Associates*

- An in-depth look into the seven elements of an effective compliance programme
- Understanding the factors to consider when developing and implementing a compliance programme
- Project and programme management techniques, systems, tools, etc.

P2 EU Data Privacy Concerns for Multinationals Outsourcing Services



Monica Salgado, *Advogada registered at the Portuguese Ordem dos Advogados, Registered European Lawyer with the SRA, Speechly Bircham LLP*



Janine Regan, *Solicitor, Speechly Bircham LLP*

- Assessing data privacy risks when outsourcing services
- Managing expectations with regards to performance, control, security and regulatory formalities, especially from a European continental perspective
- Case study/workshop approach—centralised database service provider

12:00–13:30

Lunch (on own)

13:30–16:30

CONCURRENT SESSIONS

(INCLUDES 15-MINUTE BREAK)

P3 New Mandate, New Mindset: Making a Successful Transition into a Compliance & Ethics Career (What Lawyers, In-House Counsel, and Others in Transition Need to Know)



Donna Boehme, *Principal, Compliance Strategists*



Joseph Murphy, *Author, 501 Ideas for Your Compliance & Ethics Program, Senior Advisor, Compliance Strategists*



Robert Bond, *Head of Information Law and CSR, Speechly Bircham LLP*

- Understanding the Mandate: Leaving your old role behind, embracing your new one, and identifying what new skills/expertise are needed and how to get them
- Defining the Compliance Role (and supporting roles): Why Compliance is not a Legal function or a subset of any other function, but a new, distinct and value-added professional expertise
- Creating your networks, jump-starting your knowledge base, and other strategies for success, including real stories from the front lines and interactive scenarios

P4 Investigations Workshop



Douglas R. Vreeland, *Legal Counsel Global Ethics, Dell Inc.*



Sophie Marlange, *Legal Director EMEA, Employment and Ethics, Dell Inc*



Michael W. Johnson, *CEO, Clear Law Institute*

- Key issues for conducting investigations of European employees of a US-based multi-national
- Privacy considerations, grievance processes and other employment law challenges
- Forensic data collection: a unique challenge

P5 Ethics or Else: A Primer on Organizational Ethics



Art Weiss, *JD, CCEP-F, CCEP-I, Chief Compliance & Ethics Officer, TAMKO Building Products*



Marjorie W. Doyle, *JD CCEP-F, CCEP-I, Marjorie Doyle & Associates*



Jane L. Mitchell, *Director, JL&M*

- Organizational ethics: What are they? Why are they important? How do you build and measure an ethical culture?
- Ethical action scenarios: a small group breakout session
- Case Study: News International

16:30–18:00

Welcome Reception

Monday 19 May

CONFERENCE

8:00–9:00

Breakfast

9:00–10:00

General Session 1: Big Changes in China: What Do They Mean for Compliance Programmes?



Philippa Foster Back, *OBE, Director, Institute of Business Ethics (moderator)*



Robert Amaee, *Partner, Covington & Burling LLP, Former Head of Anti-Corruption & Head of Proceeds of Crime, UK Serious Fraud Office*



Gareth Tipton, *Director of Compliance & COO, BT Group-Legal, Governance & Compliance*



Neville Tiffen, *Principal, Neville Tiffen & Associates*

- Is increased enforcement a blip on the radar or the start of a new era?
- Reviewing third party relationships: Should your vetting and auditing processes be revised?
- Assessing the need for change to your internal compliance and ethics programme

10:00–11:00

General Session 2: Exposed: Blowing the Whistle on Olympus



Michael Woodford, *Former Global CEO of Olympus and Whistleblower*

11:00–11:30

Networking Break

11:30–12:30

CONCURRENT SESSIONS

101 The Myth of the Rogue Employee: Conversations with Boards and C-Suites



Sally March, *Director, Drummond March & Co*



Donna Boehme, *Principal, Compliance Strategists*

- Ripped from the headlines: chasing the elusive ‘rogue employee’—legitimate defense, or creatures of their companies’ culture?
- How do we help leaders understand the impact of what they say—and don’t say—on the behavior of their employees?
- What are the warning signals and the right vocabulary to bust the myth of the ‘rogue employee’ with Boards and management?

102 Third-Party Compliance in Europe: Chances and Challenges



Christina Moeller, *Senior Director Ethics & Compliance, Bertelsmann SE & Co KGaA*

- Third-party compliance and effective due diligence procedure constitute an integral part of a compliance management systems according to both US (FCPA) and European (UKBA) compliance requirements
- Spectacular compliance cases, as, for instance, the Siemens or Panalpina cases, demonstrate that compliance violations of third parties are often the biggest risk in international business and regularly lead to substantial fines and convictions
- While the topic of third-party compliance has arrived in Europe, many companies—big and small—still struggle with adequate implementation: the presentation will analyze the challenges faced but also highlight the business chances thereof

12:30–13:30

Lunch (provided)

13:30–14:30

CONCURRENT SESSIONS

201 Corporate Monitors: Trends in Usage in Europe



John Hanson, *Executive Director, Artifice Forensic Financial Services, LLC*



Bart M. Schwartz, *Chairman & CEO, Guidepost Solutions LLC*



Lord David L. Gold, *David Gold & Associates LLP*



Sam Eastwood, *Partner, Solicitor, Norton Rose Fulbright LLP*

- Role of a corporate monitor in deferred prosecution agreements and other pre-trial diversion agreements
- European trends in requiring and using corporate monitors
- Practical tips for selecting and working with a corporate monitor

202 Are Your Vendors Meeting YOUR Compliance Requirements?



Janet K. Himmelreich, *CCEP, CCEP-I, Head, Client Compliance Services, BT Global Services*



Steve J. Kilmister, *CCEP-I, Operations & Assurance Director, BT Global Services*

- Solve the compliance conundrum; balancing the ever increasing compliance requirements with the intense pressure to reduce costs
- Learn how to pass your regulatory requirements on to your vendors
- De-risk outsourcing and make your service provider part of your controls framework

14:30–15:00

Networking Break

15:00–16:00

CONCURRENT SESSIONS

301 Compliance and Human Trafficking



Thomas A. Firestone, *Senior Counsel, Baker, McKenzie*

- When can companies be held liable for human trafficking violations?
- What do compliance officers need to know about human trafficking legislation?
- What kinds of internal controls and due diligence procedures can companies establish in order to protect themselves against human rights trafficking?

302 Compliance Transaction Monitoring



Scott Lane, *Executive Chairman, The Red Flag Group*

- How transaction monitoring is essential to managing risks in sales transactions
- The key red flags to look for in any sales transaction
- adding value through predictive modeling of transactions

16:00–16:15

Networking Break

16:15–17:15

CONCURRENT SESSIONS

401 Congratulations! So You’re a Compliance Officer...Now What?



Peter Massey-Cook, *Director, Compliance Compass Ltd.*

- Early days: Assessing the landscape, defining your role, building management support and networks, and the ‘elevator speech’!
- The building blocks, starting with risk: How to build a risk map for your business, setting relevant standards (customising a Code of Conduct), and more
- The building blocks, starting with risk: How to build a risk map for your business, making it happen—the significance of cultivating ethical work practices and building a culture of integrity

402 Creating and Sustaining a Viable Ethics Ambassador Community: Inspiration & Perspiration



Ruth N. Steinholtz, *Values Based Business Ethics Advisor, AretéWork LLP*

- What are ethics ambassadors and how they can be an alternative to a Compliance department
- How to create and sustain a viable ethics ambassador community—motivating, training and inspiring people
- What's in it for them?
- Why ethics ambassadors are highly effective in promoting ethical decision making and a culture of integrity in an organisation

17:15–18:30

Networking Reception

Tuesday 20 May

8:00–9:00

Breakfast (provided)

9:00–10:00

General Session 3: Cyber Risk & Security

Ciaran Martin, *Director General for Government and Industry Cyber Security, GCHQ*

10:00–11:00

General Session 4: The Importance of Culture to Ethics and Compliance Programmes



Adam Turteltaub, *Vice President, Membership Development, Society of Corporate Compliance & Ethics (moderator)*



Sally March, *Director, Drummond March & Co*



Jane L. Mitchell, *Director, JL&M*



Simon Webley, *Research Director, Institute of Business Ethics*

- Moving beyond tone at the top through to 'how we do things around here'
- Influencers, both good and bad, of corporate culture
- The role of values in shaping culture and creating an effective ethics and compliance programme

11:00–11:30

Networking Break



11:30–12:30

CONCURRENT SESSIONS

501 Global (Mis)Communication: Identifying, Avoiding and Explaining Compliance Issues Around the World



Kristy Grant Hart, *Chief Compliance Officer, United International Pictures*



Lisa Beth Lentini, *Vice President, Global Compliance, Carlson Wagonlit Travel*

- Policy problems and the Code of Ethics: What is the right of co-determination? What if the works council says no? Specific policy problems—dating at work, nepotism, alcohol, and the right to discipline and fire
- Whistleblower hotlines and internal investigations: Explaining the data privacy rules in Europe, challenges in Asia Pacific, and dealing with data transfer to countries not considered to have 'adequate protections' for personal data
- Laws with Extraterritorial Reach: Tips on handling 'imperialistic' and 'overreaching' laws, including the FCPA, UK Bribery Act, and US, EU and UN trade sanctions

502 Anti-bribery Update and Challenges of a Global Anti-bribery Programme



Claire Halligon, *EMEA Compliance Sr Legal Counsel, NetApp*

- Anti-bribery legislative efforts through the world: Know the new laws and amendments that may impact the anti-bribery programmes of companies
- Enforcement trends by US and other authorities: Learn which industries and countries should be under your radar
- Challenges of implementing a global anti-bribery programme: How to implement the right policies and processes in a global company

Broaden your
professional
qualifications

Increase your
value to your
employer

Gain expertise in
the fast-evolving
compliance field

Become a Certified Compliance & Ethics Professional– International (CCEP-I)[®]

There's never been a better time to be a part of the ever more demanding compliance and ethics profession. Budgets are tight, governments around the world are looking to add new regulations, public trust in business is low, and employees are tempted to cut corners.

As a Certified Compliance and Ethics Professional–International (CCEP-I) you'll be able to demonstrate your ability to meet the challenges of these times and have the knowledge you need to help move your programme and your career forward. CCEP-I certification is not tied to the laws of one country, but reflects the knowledge needs of compliance professionals globally. It draws upon international frameworks for effective compliance programmes.

Visit www.compliancecertification.org to learn more about what it takes to earn the CCEP-I designation.

CCEP-ISM
INTERNATIONAL
Certified Compliance & Ethics Professional

Take the CCEP-I Exam at the 2014 European Compliance & Ethics Institute

Wednesday 21 May | 13:30–16:00

Application deadline: Monday 7 May 2014

The CCEP-I exam is optional and requires advance registration and payment separate from the conference registration. To download the exam application, please visit www.corporatecompliance.org/ecei and click the "Certifications" tab. To qualify to sit for the CCEP-I exam, 20 CCB CEUs (a minimum of 10 being live) are required. To obtain CEUs for this conference, fill out the CEU Application given on-site at the conference, noting all sessions attended. This conference is worth a maximum of 23.7 CCB CEUs. European Compliance & Ethics Institute sessions qualify as follows: one clock hour equals 1.2 CCB CEUs.

Visit www.compliancecertification.org for more information about the CCEP-I certification.



12:30–13:30

Lunch (provided)

13:30–14:30

CONCURRENT SESSIONS

601 Ethics and Compliance in Europe: An Insider's Practical Guide to What Works



Murray Grainger, *Managing Director, Impact on Integrity, former Head of Ethics and Compliance Programme Office at Airbus*



Fernando Dyer, *Consultant, former Director of Corporate Compliance at JT International S.A.*

- What works for labor relations, data privacy, anti-corruption, anti-trust?
- Does ethics trump compliance in European countries?
- Why won't Europeans use whistleblowing hotlines...and what works?

602 Lifting the Lid on Compliance Training: Key Findings from our Major Study Exploring the Hopes, Expectations and Realities of Current Compliance Learning Practices



Paula Davis, *Director, Compliance Programme Operations, EMEA, SAI Global*

- We explore the risk mitigation, behavioural and cultural goals that organisations indicated they expected their compliance training programmes to achieve
- We look at where they are falling short of their goals, discuss the major barriers to success that were encountered, and provide insights into what steps those businesses reporting higher levels of achievement against goals have done to overcome them
- We provide examples of good practices that positively impact compliance training effectiveness, giving delegates suggestions and recommendations they can take away and consider for improving the effectiveness of their own compliance training programmes

14:30–14:45

Networking Break

14:45–15:45

CONCURRENT SESSIONS

701 Sanctions: Why Russia Breaks the Mould



Ross Denton, *Partner, Baker & McKenzie LLP*

- Overview of Western sanctions against Russia
- Jurisdictional issues
- How and what to screen, and how to make sense of the results
- How to reduce your risk in Russia, and prepare for the future

702 Implementing a Successful Hotline across Europe



Dominic M. Hall, *Associate Director, Ethics and Compliance, Coca-Cola Enterprises*



Maaïke Burger, *Assoc Dir Ethics & Compliance, Coca-Cola Enterprises*

- Legislation: Meeting both European Data Privacy legislation and Sarbanes-Oxley requirements
- Cultural sensitivity: Combining American and European approaches to create a successful reporting culture
- Communication: Driving multi-channel incident reporting through innovative communication techniques

Wednesday 21 May

8:30–10:30

W1 Achieving Anti-Bribery Compliance through Effective Risk-Based Due Diligence



Alexandra Wrage, *President, TRACE International, Inc.*



Claire Halligon, *EMEA Compliance Sr Legal Counsel, NetApp*

10:30–10:45

Networking Break

10:45–12:00

W2 A Compliance Culture: What, Why & How to Measure It?



Heather Loewenthal, *Previously Chief Compliance Officer ING Group*



Bill Dee, *Director, Compliance and Complaints Advisory Services*

- Compliance Culture: What do governments, regulators and courts want and why? Are there global trends? Is it good for business?
- What is an effective compliance culture, from 'conformance' to a sustainable 'right things right way' approach? How important is attitude and infrastructure?
- Measuring progress—what can be measured and why measure it? Minimise costs, optimise outcomes and celebrate success!

13:30–16:00

Certified Compliance & Ethics Professional–International (CCEP-I)[®] Exam (optional)





Why Join SCCE?

It's not just about saving on your registration for the European Compliance & Ethics Conference

Saving money on the European Compliance & Ethics Institute is great, but it's only one of the many reasons to join the Society of Corporate Compliance and Ethics®. SCCE provides compliance and ethics professionals with a host of benefits all year round, and all around the world.

Our magazine and electronic newsletter will keep you informed of the latest issues, and give you insight into how to effectively manage both current and long-term challenges. Our conferences will enable you to build out your network and hear directly from other professionals, at a discounted, members-only rate. Can't travel? Our online social network is the next best thing, providing online interaction with thousands of compliance and ethics professionals globally. Or try a web conference, and enjoy a live presentation direct to your desktop.

In sum, SCCE can help you tap into a vast network of information and resources to help move your ethics and compliance programme forward, even if you never make it to the European Compliance & Ethics Institute or any of our other meetings.

To learn more about SCCE and how we can help, visit us online at www.corporatecompliance.org, and join more than 3,900 other professionals who already call themselves members of the Society of Corporate Compliance and Ethics.



**SOCIETY OF CORPORATE
COMPLIANCE AND ETHICS**

1 CONTACT INFORMATION

Please type or print your information below.

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Dr.

SCCE Member ID (if applicable)

First Name MI

Last Name

Credentials

Title

Place of Employment

Address

Town/City

Postal Code

Country

Phone Fax

Email (required for confirmation notice)

3 SESSION SELECTION

Please select ONE session per time slot. Your choices are not binding, but will be used to ensure appropriate room sizes.

SUN 18 MAY PRE-CONFERENCE 1	MON 19 MAY BREAKOUTS	TUE 20 MAY BREAKOUTS	WED 4 JUNE POST-CONFERENCE
9:00–12:00	11:30–12:30	11:30–12:30	8:30–10:30
<input type="radio"/> P1	<input type="radio"/> 101	<input type="radio"/> 501	<input type="radio"/> W1
<input type="radio"/> P2	<input type="radio"/> 102	<input type="radio"/> 502	10:45–12:00
13:30–16:30	13:30–14:30	13:30–14:30	<input type="radio"/> W2
<input type="radio"/> P3	<input type="radio"/> 201	<input type="radio"/> 601	
<input type="radio"/> P4	<input type="radio"/> 202	<input type="radio"/> 602	
<input type="radio"/> P5	15:00–16:00	14:45–15:45	
	<input type="radio"/> 301	<input type="radio"/> 701	
	<input type="radio"/> 302	<input type="radio"/> 702	
	16:15–17:15		
	<input type="radio"/> 401		
	<input type="radio"/> 402		

2 REGISTRATION OPTIONS

- ☐ SCCE Members.....\$1,099
- ☐ Membership Renewal & Registration.....\$1,394
- ☐ Non-Members.....\$1,249
- ☐ New Membership & Registration\$1,299
(New members only. Dues regularly \$295 annually.)
- ☐ Pre-Conference Registration.....\$175
- ☐ Group Discount: subtract \$_____ from my total (see details on page 11)

Rates are listed in US dollars unless otherwise noted.

TOTAL \$_____

3 PAYMENT OPTIONS

If you wish to pay using wire transfer, please email helpteam@corporatecompliance.org for instructions.

- ☐ Check enclosed (payable to SCCE)
- ☐ Invoice me
- ☐ I authorize SCCE to charge my credit card (choose card below)

Due to PCI Compliance, please **DO NOT** provide any credit card information via email. You may email the application (without credit card information) and call SCCE at +1 952 933 4977 or 888 277 4977 with your credit card information.

CREDIT CARD: ☐ American Express ☐ MasterCard ☐ Visa

Credit Card Account Number

Credit Card Expiration Date

Cardholder's Name

Cardholder's Signature

ICEI2014

HOW TO REGISTER

MAIL: Include registration form with check payable to: SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435, United States

ONLINE: Visit www.corporatecompliance.org/ecei

FAX: +1 952 988 0146 (including billing information)

QUESTIONS?: Call +1 952 933 4977 or e-mail helpteam@corporatecompliance.org



SOCIETY OF CORPORATE COMPLIANCE AND ETHICS

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PHONE +1 952 933 4977 or 888 277 4977 | FAX +1 952 988 0146

www.corporatecompliance.org | helpteam@corporatecompliance.org

Conference Hotel

Millennium Gloucester Hotel London Kensington
4 – 18 Harrington Gardens, SW7 4LH
United Kingdom
T: +44 (0) 20 7373 6030

Our block at the Millennium Gloucester Hotel London Kensington has sold out; however, the hotel still has availability. To book a room, please use the hotel website:

<http://www.millenniumhotels.co.uk/millenniumgloucester/>

Or call them directly at +44 (0) 20 7373 6030. SCCE suggests booking early to ensure the best rate.

Other nearby hotels:

Millennium Bailey's Hotel London Kensington
140 Gloucester Road
London, SW7 4QH
United Kingdom
www.millenniumhotels.co.uk/millenniumbaileys

Holiday Inn London – Kensington Forum
97 Cromwell Rd
London SW7 4DN
United Kingdom
<http://www.hikensingtonforumhotel.co.uk/>

Registration Payment Terms: Checks are payable to SCCE. Credit cards accepted: American Express, MasterCard, or Visa. SCCE will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email helpteam@corporatecompliance.org for instructions.

Tax Deductibility: All expenses incurred to maintain or improve skills in your profession may be tax deductible, including tuition, travel, lodging, and meals. Please consult your tax advisor.

Cancellations/Substitutions: You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid and are good for 12 months from the date of the cancelled event. Conference credits may be used toward any SCCE service. If you need to cancel your participation, notify us prior to the start date of the event by email at helpteam@corporatecompliance.org or by fax at +1 952 988 0146. Please note that if you are sending a substitute, an additional fee may apply.

Group Discounts: Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

5 or more: \$100 discount for each registrant
10 or more: \$150 discount for each registrant

Dress Code: Business casual dress is appropriate for conference attendees.

Meals: Continental breakfast, lunch, and refreshment breaks are provided on Monday and Tuesday only. Coffee will be served on Sunday and Wednesday.

Prerequisites/Advanced Preparation: None.

Recording: No unauthorized audio or video recording of SCCE conferences is allowed.

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