SUNDAY, JUNE 5: PRE-CONFERENCE

12:00–6:00 PM	Registration Open	
1:00–2:30 PM BREAKOUT SESSIONS PRE-CONFERENCE	**P1 The Intersection of Legal, Compliance, and Enterprise Risk Management Roles on Campus** – *Mia Reini, Director of Enterprise Risk Management, Georgia Institute of Technology; Robert Roach, Vice President, Chief Global Compliance Officer, New York University; Brandon Roach, Associate General Counsel, University of Notre Dame*	**P2 Think Outside the CheckBOX: Strategies for Monitoring your Youth Protection Efforts** – *Omar Andujar, Minor Protection Coordinator, UConn; Sandra T. Weaver, Youth Programs Compliance Specialist, Penn State University*
2:30–3:00 PM	Networking Break	
3:00–5:00 PM BREAKOUT SESSIONS PRE-CONFERENCE	**P3 The Compliance Professional: Benchmarking Roles and Responsibilities of Compliance in Higher Education** – *Sheryl Vacca, SVP/Chief Comp & Audit Officer, University of CA; David Lane, Deputy Compliance Officer, University of California-Office of President; Luanna Putney, Associate Chancellor, University of California, Merced*	**P4 Privacy 101: It's More Than FERPA** – *Sarah D. Morrow, UNM HSC Chief Privacy Officer, University of New Mexico*
5:00–6:00 PM	Welcome Reception	

MONDAY, JUNE 6: CONFERENCE

7:00 AM–6:00 PM	Registration Open		
7:00–8:15 AM	Breakfast (provided)		
8:15–8:30 AM	**Opening Remarks**		
8:30–9:30 AM	**General Session 1: Cyber Threats and Data Breaches: Addressing the New Compliance Challenge** – *Pamela Passman, CEO, Center for Responsible Enterprise And Trade – CREATe.org; Ravi Pendse, Vice President and Chief Information Officer, Brown University; George Guzman, Director of Compliance and Data Privacy, The George Washington University*		
9:30–10:00 AM	Networking Break		
10:00–11:30 AM BREAKOUT SESSIONS	**101 Finding the Balance between Government Ethics and Academic Freedom at a State University** – *John B. Hughes, Dir Ethics/Ethics Liaison Ofcr, Rutgers, The State University of New Jersey*	**102 Designing and Executing an Effective Higher Ed Compliance Program with Limited Resources** – *Deena King, Director of Compliance, Texas Woman's University; Destinee Waiters, Associate Vice President of Compliance and General Counsel, Texas Woman's University*	**103 FCPA in Higher Ed: Creating Awareness and Building a Culture of Compliance** – *Ana-Paola Capaldo, Associate General Counsel, Laureate Education*
11:30 AM–12:30 PM	Networking Lunch (provided)		
12:30–2:00 PM BREAKOUT SESSIONS	**201 Compliance Assurance** – *Urton Anderson, Director, Von Allmen School of Accountancy; Mark Salamasick, Executive Director of Audit, University of Texas System*	**202 Volunteers: Who Are They and What Are They Doing?** – *Alysa Christmas Rollock, Vice President for Ethics and Compliance, Purdue University; Jessica E. Teets, University Policy Coordinator, Purdue University*	**203 Hiring and Training the Next Generation of Compliance Professionals - A Millennial's Perspective** – *Jessica Wasserman, Asst Compliance Officer, New York University; John Powers, Manager, PwC*
2:00–2:30 PM	Networking Break		
2:30–4:00 PM BREAKOUT SESSIONS	**301 Security on Campus: Beyond Clery and SaVE** – *Jason B. Meyer, President, LeadGood, LLC; Amy E. McDougal, President, CLEAResources, LLC*	**302 Using a Compliance Program Assessment to Elevate Institutional Compliance Effectiveness** – *Michael L. Somich, Executive Director, Office of Audit, Risk & Compliance, Duke University; Raina Rose Tagle, Partner and National Higher Education Consulting Practice Leader, Baker Tilly; Kevin Robinson, Associate Vice President, Office of Audit, Compliance & Privacy, Auburn University*	**303 PCI Compliant: But Are We Secure?** – *Michael G. Carr, Chief Information Security Officer, University of Kentucky*
4:00–4:15 PM	Networking Break		
4:15–5:15 PM	**General Session 2: International Compliance for Higher Education** – *Carolyn R. Marks, International Operations Compliance Manager, Yale University; Martin T. Biegelman, Director, Forensic Investigations, Deloitte Financial Advisory Services LLP*		
5:15–6:30 PM	**Networking Reception**		

TUESDAY, JUNE 7: CONFERENCE

7:30 AM – 4:00 PM	**Registration Open**
7:30 – 8:30 AM	**Breakfast (provided)**
8:15 – 8:30 AM	**Opening Remarks**
8:30 – 10:00 AM	**General Session 3: Aligning Compliance to the Academic Mission: Leveraging Strengths, Increasing Credibility, and Building Confidence** – *Gates Garrity-Rokous, Vice President and Chief Compliance Officer, The Ohio State University*
10:00 – 10:30 AM	**Networking Break**

10:30 AM – 12:00 PM BREAKOUT SESSIONS	**401 Investigating those Higher in the Food Chain** – *Donna S. McNeely, Executive Director, University Ethics and Compliance Office, University of Illinois; David E. Grogan, Associate Director of University Compliance, University of Illinois*	**402 Leveraging Policy Processes to Reduce Compliance Risks Using Public Health Frameworks** – *Janice M. Putnam, Assoc Dean/ICO, UniversityCentral MO; Victoria Steel, Director of Institutional Grants and Special Projects, Laramie County Community College*	**403 Complying with the Clery Act, Violence Against Women Act, and Title IX: A College-wide Responsibility** – *Nedra D. Abbruzzese-Werling, Director of Compliance, State University of New York; Joseph Storch, Associate Counsel, State University of New York*
12:00 – 1:00 PM	**Networking Lunch (provided)**		
1:00 – 2:30 PM BREAKOUT SESSIONS	**501 Monitorship in Higher Education: Best Practice and Lessons Learned from Penn State** – *Julie A. Del Giorno, Athletics Integrity Officer, Penn State University; Charles P. Scheeler, Independent Integrity Monitor, DLA Piper; Regis Becker, Director, Office of Ethics and Compliance, Penn State University*	**502 Engaging Leadership in Compliance: Setting Tone from the Top** – *Tina R. Tyson, Chief Ethics & Compliance Officer, Duke University Office of Audit, Risk and Compliance; Michael L. Somich, Executive Director, Duke University Office of Audit, Risk and Compliance*	**503 Health Care Compliance Programs for University Student Health Centers** – *CJ Wolf, Senior Compliance Executive, Healthicity*
2:30 – 2:45 PM	**Networking Break**		
2:45 – 4:00 PM	**General Session 4: Why Good People Do Bad Things** – *Paul Fiorelli, Professor of Legal Studies and Co-Director, Cintas Institute for Business Ethics, Xavier University*		

WEDNESDAY, JUNE 8: POST-CONFERENCE

7:30 – 11:30 AM	**Registration Open**	
8:30 – 11:30 AM BREAKOUT SESSIONS POST-CONFERENCE (INCLUDES 15-MIN BREAK)	**W1 Compliance Officer's Roundtable** – *Joel S. Mayer, Managing Director and Head of Government Investigations, Navient*	**W2 Compliance Data: Building an Enterprise-Wide Reporting and Benchmarking Function on Your Campus** – *Jeffrey I. Chasen, Associate Vice Provost, The University of Kansas; Jennifer Anderson, Associate Director of Institutional Compliance, The University of Kansas*
11:30 AM – 12:30 PM	**Lunch (on your own)**	
12:30 PM	**CCEP Exam Check-in**	
1:00 – 3:30 PM	**Certified Compliance & Ethics Professional (CCEP)® exam** *(optional)*	

EARN YOUR CERTIFICATION

Certified Compliance & Ethics Professional (CCEP)®

Learn more about the CCEP certification at compliancecertification.org/ccep

Take the CCEP Certification Exam on-site after the conference

Wednesday, June 8 | 1:00–3:30 PM

$250 SCCE MEMBERS OR $350 NON-MEMBERS

You must be pre-registered to sit for the exam. To apply, download the CCEP exam application from corporatecompliance.org/highered. Questions? Email ccb@compliancecertification.org. Twenty CCB CEUS are required to sit for the exam. For Higher Education Compliance Conference sessions, one clock hour equals 1.2 CCB/CCEP hours. Attending the entire Higher Education Compliance Conference will provide sufficient CEUs to qualify to sit for the exam.

SUNDAY, JUNE 5
PRE-CONFERENCE

12:00–6:00 PM
Registration Open

1:00–2:30 PM
BREAKOUT SESSIONS

P1 The Intersection of Legal, Compliance, and Enterprise Risk Management Roles on Campus

Mia Reini, Director of Enterprise Risk Management, Georgia Institute of Technology

Robert Roach, Vice President, Chief Global Compliance Officer, New York University

Brandon Roach, Associate General Counsel, University of Notre Dame

- A discussion of the relationship between university general counsel, institutional compliance professionals, and enterprise risk management programs
- What are the synergies and challenges as educational institutions mature their legal, compliance, and enterprise risk management roles? How can these areas work together more effectively?
- Defining roles, responsibilities, and internal efficiencies among the legal, compliance, and enterprise risk management offices

P2 Think Outside the CheckBOX: Strategies for Monitoring your Youth Protection Efforts

Omar Andujar, Minor Protection Coordinator, UConn

Sandra T. Weaver, Youth Programs Compliance Specialist, Penn State University

- Identify risks associated with youth programming within university settings and recognize programmatic aspects that are important to monitor based on those identified risks
- Develop practical tools and techniques that can be used to implement compliance monitoring activities as well as reporting on monitoring results
- Based on monitoring results, Integrate improvements into youth programming to modify and improve the overall compliance program

2:30–3:00 PM
Networking Break

3:00–5:00 PM
BREAKOUT SESSIONS

P3 The Compliance Professional: Benchmarking Roles and Responsibilities of Compliance in Higher Education

Sheryl Vacca, SVP/Chief Comp & Audit Officer, University of CA

David Lane, Deputy Compliance Officer, University of California-Office of President

Luanna Putney, Associate Chancellor, University of California, Merced

- Participant will participate in "real time" benchmarking on Compliance Professional roles in Higher Education
- Comparisons of the "real time" benchmarking for compliance professional in other industries will be provided
- Recommendations for evolving the compliance professional's role in higher education will be discussed

P4 Privacy 101: It's More Than FERPA

Sarah D. Morrow, UNM HSC Chief Privacy Officer, University of New Mexico

- Do you really need a privacy officer?
- What are your privacy compliance obligations?
- Where does the privacy function reside at your organization?

5:00–6:00 PM
Welcome Reception

MONDAY, JUNE 6

7:00 AM–6:00 PM
Registration Open

7:00–8:15 AM
Breakfast (provided)

8:15–8:30 AM
Opening Remarks

8:30–9:30 AM
GENERAL SESSION 1:
Cyber Threats and Data Breaches: Addressing the New Compliance Challenge

Pamela Passman, CEO, Center for Responsible Enterprise And Trade – CREATe.org

Ravi Pendse, Vice President and Chief Information Officer, Brown University

George Guzman, Director of Compliance and Data Privacy, The George Washington University

9:30–10:00 AM
Networking Break

10:00–11:30 AM
BREAKOUT SESSIONS

101 Finding the Balance between Government Ethics and Academic Freedom at a State University

John B. Hughes, Dir Ethics/Ethics Liaison Ofcr, Rutgers, The State University of New Jersey

- Ethics laws apply to higher education employees in many State colleges and universities, however rigid laws that apply to State employees often infringe upon the concept of academic freedoms
- This presentation will address the need to balance the rigid government ethics laws with the academic freedoms of our staff and faculties at State colleges and universities
- The presentation will use New Jersey and Rutgers The State University of New Jersey as a test case for finding the balance between government ethics requirements and academic freedoms associated with institutions of higher education

102 Designing and Executing an Effective Higher Ed Compliance Program with Limited Resources

Deena King, Director of Compliance, Texas Woman's University

Destinee Waiters, Associate Vice President of Compliance and General Counsel, Texas Woman's University

- A "hub and spokes" model-with the office of compliance as the hub-increases compliance effectiveness across the organization; this approach leverages subject specific compliance areas and brings them together to create compliance efficiencies campus-wide

- Encouraging the adoption of the seven elements from the FSG by all major subject-specific compliance programs throughout the university helps infuse compliance into the culture quickly and successfully and puts everyone on the same page

- Famous names play a key role in building an effective compliance program with management principles such as "with people, slow is fast and fast is slow" from Stephen Covey, "clock-building versus time-telling" from Jim Collins, and "team building" from Patrick Lencioni

103 FCPA in Higher Ed: Creating Awareness and Building a Culture of Compliance

Ana-Paola Capaldo, Associate General Counsel, Laureate Education

- How do FCPA and UK Bribery Act risks arise in the unique relationships and partnerships universities enter into

- How to tailor training and increase effective communications across faculty, staff, and management

- Other aspects of an effective compliance program: including third party vendor due diligence, and on-going monitoring

11:30 AM – 12:30 PM
Networking Lunch (provided)

12:30–2:00 PM
BREAKOUT SESSIONS

201 Compliance Assurance

Urton Anderson, Director, Von Allmen School of Accountancy

Mark Salamasick, Executive Director of Audit, University of Texas System

- Understand management and the board's needs for compliance assurance

- Learn techniques to develop more effective and efficient monitoring and auditing plans

- Exchange insights and best practices for obtaining assurance on compliance

202 Volunteers: Who Are They and What Are They Doing?

Alysa Christmas Rollock, Vice President for Ethics and Compliance, Purdue University

Jessica E. Teets, University Policy Coordinator, Purdue University

- Colleges and universities are realizing that they may not be fully aware of who is volunteering and what they are doing. This session will describe the unique role of volunteers at institutions of higher education

- Identify key laws and regulations that impact the use of volunteers by colleges and universities

- Explore appropriate oversight mechanisms that effectively identify and mitigate risks associated with volunteers

203 Hiring and Training the Next Generation of Compliance Professionals: A Millennial's Perspective

Jessica Wasserman, Asst Compliance Officer, New York University

John Powers, Manager, PwC

- Discover new ways to market Compliance programs and roles to Generation-Y and Millennial professionals

- Understand what these young adults are looking for in a job and ultimately a career

- Find out how to leverage the higher education marketplace for your marketing, hiring, and training needs

2:00–2:30 PM
Networking Break

2:30–4:00 PM
BREAKOUT SESSIONS

301 Security on Campus: Beyond Clery and SaVE

Jason B. Meyer, President, LeadGood, LLC

Amy E. McDougal, President, CLEAResources, LLC

- Security concerns for your institution go way beyond reporting requirements, and those risks are increasing. What can we learn from the experience of industry and the military?

- When it comes to issues like cyber-security and intellectual property theft, Is the connected campus inherently insecure?

- The college can be home to criminal risks from identity theft to active shooters, and may even be an attractive target for terrorism. So what is the new look of true preparedness? And where does the institution's duty end, and the students' begin?

302 Using a Compliance Program Assessment to Elevate Institutional Compliance Effectiveness

Michael L. Somich, Executive Director, Office of Audit, Risk & Compliance, Duke University

Raina Rose Tagle, Partner and National Higher Education Consulting Practice Leader, Baker Tilly

Kevin Robinson, Associate Vice President, Office of Audit, Compliance & Privacy, Auburn University

- Discuss proven approaches for assessing your institutional compliance program (even when your program is not formalized) and developing a roadmap for enhancement

- Apply a Three Lines of Defense framework to evolve management's ownership of compliance monitoring

- Assess the maturity of your institutional compliance practices relative to Federal compliance program requirements to gain support for formalizing a compliance program

303 PCI Compliant: But Are We Secure?

Michael G. Carr, Chief Information Security Officer, University of Kentucky

- Using Target Dept Stores' "PCI-compliant-and-yet-breached" situation as a backdrop
- Help compliance officers understand (a) What it means to be PCI Compliant, (b) Why PCI Compliance does not equal "secure"
- What Compliance Officers can do to help ensure both

4:00 – 4:15 PM
Networking Break

4:15–5:15 PM
GENERAL SESSION 2: International Compliance for Higher Education

Carolyn R. Marks, International Operations Compliance Manager, Yale University

Martin T. Biegelman, Director, Forensic Investigations, Deloitte Financial Advisory Services LLP

5:15 – 6:30 PM
Networking Reception

TUESDAY, JUNE 7

7:30 – 4:00 PM
Registration Open

7:30 – 8:30 AM
Breakfast (provided)

8:15 – 8:30 AM
Opening Remarks

8:30–10:00 AM
GENERAL SESSION 3: Aligning Compliance to the Academic Mission: Leveraging Strengths, Increasing Credibility, and Building Confidence

Gates Garrity-Rokous, Vice President and Chief Compliance Officer, The Ohio State University

10:00 – 10:30 AM
Networking Break

10:30 AM–12:00 PM
BREAKOUT SESSIONS

401 Investigating those Higher in the Food Chain

Donna S. McNeely, Executive Director, University Ethics and Compliance Office, University of Illinois

David E. Grogan, Associate Director of University Compliance, University of Illinois

- Protect the Process and Reputations: What pre-investigative work can be done to make sure the allegation has merit and, who needs to be brought into the fold ASAP?
- Protect the work product: How to structure your investigation and witness and subject interviews to be most effective and protected to the extent possible due to necessary whistleblower protections, potential personnel action and likelihood of litigation
- Sustaining the Blow: Options for intermediate reporting and final reporting, benefits of coordination with your public relations office, and managing the impact of the negative publicity

402 Leveraging Policy Processes to Reduce Compliance Risks Using Public Health Frameworks

Janice M. Putnam, Assoc Dean/ICO, UniversityCentral MO

Victoria Steel, Director of Institutional Grants and Special Projects, Laramie County Community College

- Learn the principles behind public health policy initiatives used to reduce health-risk behaviors
- Discover how to utilize these strategies to improve compliance-risk behaviors
- Discuss how to leverage policy processes with ethical and evidence considerations

403 Complying with the Clery Act, Violence Against Women Act, and Title IX: A College-wide Responsibility

Nedra D. Abbruzzese-Werling, Director of Compliance, State University of New York

Joseph Storch, Associate Counsel, State University of New York

- Provide an overview of the relevant laws: the Clery Act, Title IX, and the Violence Against Women Act. Provide more context for the laws, where they came from, and where they may be going
- What your institution should be doing from a compliance standpoint to effectively implement the requirements
- Receive the most up to date information on sexual and interpersonal violence prevention and response, best practices related to the laws, as well as how to develop a comprehensive compliance program for going beyond compliance and towards prevention

12:00 – 1:00 PM
Networking Lunch (provided)

1:00–2:30 PM
BREAKOUT SESSIONS

501 Monitorship in Higher Education: Best Practice and Lessons Learned from Penn State

Julie A. Del Giorno, Athletics Integrity Officer, Penn State University

Charles P. Scheeler, Independent Integrity Monitor, DLA Piper

Regis Becker, Director, Office of Ethics and Compliance, Penn State University

- Monitorship mechanics and process: How it works
- Institutional best practices and lessons learned: Turning the potential for fearfulness into a productive and positive partnership
- Efforts to establish "see something, say something" culture

502 Engaging Leadership in Compliance: Setting Tone from the Top

Tina R. Tyson, Chief Ethics & Compliance Officer, Duke University Office of Audit, Risk and Compliance

Michael L. Somich, Executive Director, Duke University Office of Audit, Risk and Compliance

- This session will address how significant compliance issues can effectively be brought to the attention of senior leadership and the Audit, Risk and Compliance Committee of the Board of Trustees
- Attendees will learn how to engage management in ownership of solutions to identify issues and how remediation strategies can be conveyed to the Audit, Risk and Compliance Committee of the Board of Trustees
- The presentation will provide case studies of driving organizational changes in clinical research compliance

503 Health Care Compliance Programs for University Student Health Centers

CJ Wolf, Senior Compliance Executive, Healthicity

- What can traditional health care compliance programs offer university student health centers to strengthen their compliance programs?
- How might the risk profiles differ between traditional health care compliance and university student health centers?
- How does the student health center fit into the university's compliance program?

2:30–2:45 PM
Networking Break

2:45–4:00 PM
GENERAL SESSION 4:
Why Good People Do Bad Things

Paul Fiorelli, Professor of Legal Studies and Co-Director, Cintas Institute for Business Ethics, Xavier University

WEDNESDAY, JUNE 8

POST-CONFERENCE
(Sessions include one 15-minute break)

7:30–11:30 AM
Registration Open

8:30–11:30 AM
BREAKOUT SESSIONS

W1 Compliance Officer's Roundtable

Joel S. Mayer, Managing Director and Head of Government Investigations, Navient

W2 Compliance Data: Building an Enterprise-Wide Reporting and Benchmarking Function on Your Campus

Jeffrey I. Chasen, Associate Vice Provost, The University of Kansas

Jennifer Anderson, Associate Director of Institutional Compliance, The University of Kansas

- Strategies for design, development, implementation, and ongoing enhancement of a compliance data reporting and management function (including a model template for attendees' use)
- Identifying and benchmarking key metrics to strengthen your program, secure resources, demonstrate ROI, and foster campus-wide communication and collaboration
- Addressing confidentiality, data security, open records, and other practical concerns

11:30 AM–12:30 PM
Lunch (on your own)

12:30 PM
CCEP Exam Check-in

1:00–3:30 PM
Certified Compliance & Ethics Professional (CCEP)® exam
(optional)

CONTINUING EDUCATION UNITS

SCCE is in the process of applying for additional credits. If you do not see information on your specific accreditation and would like to make a request, please contact us at +1 952 933 4977 or 888 277 4977 or email ccb@compliancecertification.org. Visit SCCE's website, www.corporatecompliance.org, for up-to-date information.

Compliance Certification Board (CCB): CCB has awarded a maximum of 22.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance–Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional–Fellow (CCEP-F)®, Certified Compliance & Ethics Professional–International (CCEP-I)®.

CLE: The Society of Corporate Compliance & Ethics is a State Bar of California Approved MCLE provider, a Pennsylvania Accredited Provider, and is an accredited sponsor, approved by the State Bar of Texas, Committee on MCLE. An approximate maximum of 18.5 clock hours of CLE credit will be available to attendees of this conference. All CLE credits will be awarded based on individual attendance.

NASBA/CPE: The Society of Corporate Compliance & Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors, Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.learningmarket.org. A recommended maximum of 22.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge and Application. For more information regarding administrative policies such as complaints or refunds, call (888) 580-8373 or (952) 988-0141.

Nursing Credit: The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of 22.2 contact hour(s). The following states will not accept CA Board of Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit CA Board of Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at ccb@compliancecertification.org with any questions you may have. Oncology Nurses who are certified by ONCC may request CA Nursing Credit (check box or indicate "Nursing" on the CEU form).

PRIM&R: Some portions of this program may meet the requirements for CPIA continuing education. The CPIA Council accepts documentation of continuing education hours when the topics fall within the CPIA Body of Knowledge. If you are unsure about whether a specific session meets these requirements you should consult with PRIM&R. Some portions of this program may meet the requirements for CIP continuing education. CCIP accepts documentation of continuing education hours when the topics fall within the CIP Body of Knowledge and the education is intended to be beyond initial, basic or fundamental level education. If you are unsure about whether a specific session meets these requirements you should consult with PRIM&R.

RACC: Attendees seeking CRA credits through the Research Administrators Certification Council (RACC) may request a certificate of attendance from HCCA by completing an Application for Continuing Education and indicating RACC/CRA as the credit type sought. HCCA will issue a certificate of attendance that details your completed continuing education hours. Those sections of the conference which match sections of the CRA Body of Knowledge will be able to count toward contact hours for recertification of your CRA. If proof of participation is requested by RACC, your certificate will serve as proof that you completed the coursework as described. The Research Administrators Certification Council (RACC) promotes the concept of voluntary certification by examination for all research and sponsored programs administrators. Certification in research and sponsored programs administration is highly valued and provides formal recognition of basic knowledge in the field.

SoCRA: The Society of Clinical Research Associates (SoCRA - www.SoCRA.org) accepts documentation of candidate participation in continuing education programs for recertification if the program is applicable to clinical research regulations, operations or management, or to the candidate's clinical research therapeutic area. This program offers 18 hours of CE credit.

SoCRA's requirements for recertification Continuing Education credit are quite general, as they pertain to clinical research regulations, operations and management, and to the therapeutic area of the clinical research in which the candidate participates. We therefore leave it to the candidate to determine whether a course or program would be acceptable for SoCRA's CE requirement. SoCRA does not "validate" individual training courses/workshops.

FULL NAME _____

please type or print

Please fill out the following information. Sharing your demographic information will help us create better networking opportunities for you.

What is your functional job title? Please select one.

☐ Academic/Professor
☐ Administration
☐ Analyst
☐ Asst Compliance Officer
☐ Attorney (In-House Counsel)
☐ Attorney (Outside Counsel)
☐ Audit Analyst
☐ Audit Manager/Officer
☐ Billing Manager/Officer
☐ Charger Master
☐ Chief Compliance Officer
☐ Chief Executive Officer
☐ Chief Financial Officer
☐ Chief Information Officer
☐ Chief Medical Officer
☐ Chief Operating Officer
☐ Clinical
☐ Coder
☐ Compliance Analyst
☐ Compliance Coordinator
☐ Compliance Director
☐ Compliance Fraud Examiner

☐ Compliance Officer
☐ Compliance Specialist
☐ Consultant
☐ Controller
☐ Corporate Responsibility & Performance
☐ Ethics & Integrity Officer
☐ Executive Director
☐ General Corporate Counsel
☐ Human Resources
☐ Information Technology
☐ Nurse
☐ Privacy Officer
☐ President
☐ Quality Assurance
☐ Regulatory Affairs
☐ Reimbursement Coordinator
☐ Risk Management
☐ Security/Services Technology
☐ Trainer/Educator
☐ Vice President
☐ Other (please indicate below)

List others not listed here: _____

_____

_____

What credentials do you hold? Select all that apply.

☐ ACHE ☐ CCEP-I ☐ CHPC ☐ DDS ☐ MHA ☐ PhD
☐ AIC ☐ CCS ☐ CHRC ☐ ESQ ☐ MPA ☐ PMP
☐ APA ☐ CCS-P ☐ CIA ☐ FCA ☐ MPH ☐ RHIA
☐ BA ☐ CEM ☐ CIP ☐ FHFMA ☐ MS ☐ RHIT
☐ BBA ☐ CFE ☐ CIPP ☐ ISS ☐ MSHA ☐ RN
☐ BS ☐ CGMS ☐ CPA ☐ JD ☐ MSN ☐ SADR
☐ BSN ☐ CHC ☐ CPC ☐ LLM ☐ MT ☐ SCLA
☐ CAMS ☐ CHE ☐ CPHQ ☐ MA ☐ NHA
☐ CCEP ☐ CHP ☐ CUSECO ☐ MBA ☐ PCI

List others not listed here: _____

_____

_____

What best describes the industry you work for? Please select one.

☐ Accounting/Auditing
☐ Administrative and Support Services
☐ Advertising/Marketing/Public Relations
☐ Aerospace/Aviation/Defense
☐ Agriculture
☐ Airlines
☐ Architectural Services
☐ Arts/Entertainment/Media
☐ Automotive/Motor Vehicles/Parts
☐ Banking
☐ Biotechnical and Pharmaceutical
☐ Chemical/Polymers/Fibers
☐ Computer Hardware
☐ Computer Services
☐ Computer Software
☐ Construction
☐ Consulting Services
☐ Consumer Products
☐ Customer Service/Call Center
☐ Education/Training/Library
☐ Electronics
☐ Energy
☐ Engineering
☐ Environmental Services
☐ Finance/Economics
☐ Financial Services
☐ Forest Products
☐ Government/Policy
☐ Healthcare
☐ Higher Education
☐ Hospitality/Tourism

☐ Human Resources/Recruiting
☐ Information Technology
☐ Installation/Maintenance/Repair
☐ Insurance
☐ Internet/E-Commerce
☐ Law Enforcement/Security Services
☐ Legal
☐ Manufacturing and Production
☐ Military
☐ Mining
☐ Operations Management
☐ Personal Care and Service
☐ Publishing/Printing
☐ Purchasing
☐ Real Estate/Mortgage
☐ Research & Development
☐ Restaurant and Food Service
☐ Retail/Wholesale
☐ Science
☐ Sports and Recreation/Fitness
☐ Supply Chain/Logistics
☐ Telecommunications
☐ Textiles
☐ Tobacco
☐ Transportation/Warehousing
☐ Veterinary Services
☐ Utilities
☐ Waste Management Services
☐ Other (please indicate below)

List others not listed here: _____

_____

_____

Are you a first-time attendee of this conference?

☐ YES ☐ NO

REGISTER

Higher Education Compliance Conference | June 5–8, 2016 | Baltimore, MD

CONTACT INFORMATION

○ Mr ○ Mrs ○ Ms ○ Dr

_____
SCCE Member ID (if applicable)

_____
First Name   MI

_____
Last Name

_____
Credentials (CCEP, CHC, etc.)

_____
Job Title

_____
Name of Employer

_____
Street Address

_____
City/Town   State/Province

_____
Country   Zip/Postal Code

_____
Phone

_____
Email (required for registration confirmation & conference information)

SESSION SELECTION

Please select your sessions to assist us in room planning. Select only ONE session per time slot.

SUN, JUNE 5 PRE-CONFERENCE	**MON, JUNE 6** BREAKOUTS	**TUE, JUNE 7** BREAKOUTS	**WED, JUNE 8** POST-CONFERENCE
1:00–2:30 PM	**10:00–11:30 AM**	**10:30 AM–12 PM**	**8:30–11:30 AM**
○ P1	○ 101	○ 401	○ W1
○ P2	○ 102	○ 402	○ W2
3:00–5:00 PM	○ 103	○ 403	
○ P3	**12:30–2:00 PM**	**1:00–2:30 PM**	
○ P4	○ 201	○ 501	
	○ 202	○ 502	
	○ 203	○ 503	
	2:30–4:00 PM		
	○ 301		
	○ 302		
	○ 303		

☐ _I'm interested in selecting sessions from HCCA's Research Compliance Conference. Please send me more information._

REGISTRATION OPTIONS _(Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.)_

☐ SCCE/HCCA Members..$799
☐ Non-Members $899
☐ SCCE/HCCA Membership & Registration $999
    NEW MEMBERS ONLY / DUES REGULARLY $295 ANNUALLY
☐ Pre-Conference Registration $125
☐ Post-Conference Registration..$125
☐ Discount for 5 or more from same organization (SEE PAGE 11)....................($50)
☐ Discount for 10 or more from same organization (SEE PAGE 11).................($100)

TOTAL $_____

Registering for SCCE's Higher Education Compliance Conference automatically registers you for HCCA's Research Compliance Conference at no additional cost.

SPECIAL REQUEST FOR DIETARY ACCOMMODATION

○ Gluten Free ○ Kosher ○ Vegetarian ○ Vegan ○ Other_____

PAYMENT OPTIONS

○ Invoice me
○ Check enclosed _(payable to SCCE)_
○ I authorize SCCE to charge my credit card _(choose below)_
Credit Card: ○ American Express ○ Discover ○ MasterCard ○ Visa

> Due to PCI Compliance, please **do not provide any credit card information via email**. You may email this form to helpteam@corporatecompliance.org (without credit card information) and call SCCE at +1 952 933 4977 or 888 277 4977 with your credit card.

_____
Credit Card Account Number

_____
Credit Card Expiration Date

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Cardholder's Name

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Cardholder's Signature

_HE0616_

WAYS TO REGISTER

MAIL to SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358, United States

ONLINE at corporatecompliance.org/highered

Fax to +1 952 988 0146 (with completed form and payment information)

EMAIL helpteam@corporatecompliance.org (without credit card information)

Hotel & conference location:

Marriott Baltimore Waterfront
700 Aliceanna Street
Baltimore, MD 21202
410-385-3000
baltimoremarriottwaterfront.com

Book online:
https://resweb.passkey.com/go/HECCRRB

Book via phone: Call 410-385-3000 and ask for the SCCE Higher Education Compliance Conference rate.

A reduced rate of $242 per night for single/double occupancy plus applicable taxes has been arranged for this conference. This rate is good through May 16, 2016, or once the group room block is full, whichever comes first. Hotel accommodations are not included in your conference registration fee. The group rate includes complimentary Internet in guestrooms.

Registration Terms & Conditions: Please make your check payable to SCCE, enclose payment with your registration, and return it to the SCCE office, or fax your credit card payment to +1 952 988 0146. If your total is miscalculated, SCCE will charge your card the correct amount. All expenses incurred to maintain or improve skills in your profession may be tax deductible, including tuition, travel, lodging, and meals. Please consult your tax advisor.

Cancellations/Substitutions: You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid and are good for 12 months from the date of the cancelled event. Conference credits may be used toward any SCCE service. If you need to cancel your participation, notify us prior to the start date of the event by email at helpteam@corporatecompliance.org or by fax at +1 952 988 0146. Please note that if you are sending a substitute, an additional fee may apply.

Group Discounts: Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

5 or more: $50 discount for each registrant

10 or more: $100 discount for each registrant

Special Needs/Concerns: Prior to your arrival, please call SCCE at +1 952 933 4977 or +1 888 277 4977 if you have a special need and require accommodation to participate.

Dress Code: Business casual dress is appropriate.

Recording: No unauthorized audio or video recording of SCCE Conferences is allowed.

Continuing Education Units: SCCE is in the process of applying for credits. See page 8 of this brochure for details, or visit SCCE's website, corporatecompliance.org, for up-to-date information.

Agreements & Acknowledgements: I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs at the SCCE Higher Education Compliance Conference and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in the SCCE Higher Education Compliance Conference, I grant SCCE the right to use my name, photograph, and biography for such purposes.

NEW LOCATION IN 2016

Higher Education
Compliance Conference
June 5–8, 2016 | Baltimore, MD

LAST CHANCE: REGISTER NOW

Gather with your peers for the primary networking and learning event for compliance and ethics professionals in higher education.

Register today and enjoy the flexibility of two conferences for the price of one!

Complimentary access to HCCA's Research Compliance Conference is included with your Higher Education Compliance Conference registration. The parallel schedule gives you the freedom to attend sessions at either conference—two for the price of one.

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