

Your official daily news and information source for the Compliance & Ethics Institute®

## Welcome to SCCE's Annual Compliance & Ethics Institute

Welcome to the Society of Corporate Compliance & Ethics' 14th annual Compliance & Ethics Institute—and once again it will be the largest ever! This year you will join over 1,500 of your colleagues in Las Vegas to learn, network, share your expertise, and most importantly, help us continue to grow and nurture this important profession.

Our planning team has worked tirelessly to provide an unparalleled array of opportunities in which to ask questions, share your expertise, learn from others, share successes, learn from failures, and debate issues. We are committed to maximizing the value of the Institute to you by focusing on the subjects, strategies, and tactics that will enable you to more successfully serve your organization and position you to take on the next big challenge in your career. We have assembled a broad range of experienced compliance professionals dedicated to sharing

practical wisdom, insights gleaned from years of struggle, and ideas that will improve your substantive knowledge and professional skills. Most of all, we encourage you to seek out answers to your toughest questions and strategies to address your strongest challenges by using the conference to engage with your peers, create or strengthen connections and friendships, and identify new resources.

Thanks for sharing your valuable time and resources to join us for this important event!



**Odell Guyton**, JD, CCEP, CCEP-I, SCCE Advisory Board Co-Chair; VP Global Compliance, Jabil Circuit, Inc., (former) Assistant General Counsel & Compliance Director (Retired), Microsoft Corporation



**Daniel Roach**, JD, SCCE Advisory Board Co-Chair; General Counsel, Optum360

## Ask Ethical Ellie:

**Q: I'm new to the CEI and I'm excited to network and make friends. Is there a list where I can see who is attending?**

**A:** There sure is! The attendee lists are available onsite at either of the Internet Cafés. The list is pre-loaded on the desktop and available for you to print or email to yourself. As a bonus, you may also use these computer kiosks to print handouts for sessions and boarding passes for your trip home. The Internet Cafés will be open during conference registration hours Sunday through Wednesday morning. If you have questions about, or issues with the Internet Cafés, please find a friendly SCCE staff member in a red jacket, they're always happy to help.

**Q: I keep seeing signs for a silent auction. Can you tell me more about it?**

**A:** I'm so glad you noticed that SCCE is doing something new at the 2015 CEI! We've collected some great items from SCCE members and vendors, and we're auctioning them off for charity. Every dollar raised for the silent auction will benefit America's Fund, a charity that provides resources and financial support to critically injured members of the U.S. Armed Forces and their families.

America's Fund was an easy choice after hearing how they helped compliance officer and 2015 International Compliance Award recipient, Kim Lansford, and her son, Staff Sergeant Justin Lansford. You'll hear their remarkable story, and what America's Fund did for them during Monday's general session.

SCCE will also be accepting donations directly to America's Fund. Please stop by registration for more information.

**Q: How can I connect with attendees from my industry at the CEI?**

**A:** As you look through the agenda at the CEI, identify the sessions that have the most appeal to companies in your industry. Chances are that's where your peers are. Also, download a copy of the attendee list. It'll let you know who is here. Then it's easy to reach out and connect.

## Want to win a free registration to next year's CEI?

Each day, find me in one of the pages (this one doesn't count) in the CEI Daily. Then fill out the entry form available at registration and turn it into registration by 4:30 p.m. We will pick one winner for each issue. These winners will get a free registration to the 2016 Compliance & Ethics Institute in Chicago!

## SESSION UPDATES

Check SCCE Mobile for the latest updates.

### SPEAKER UPDATES:

MONDAY, 11:00 AM – 12:00 PM

**101 Global Anti-Corruption Programs: Advanced Practice and Effectively Managing Risk**

**NEW SPEAKER: Elliot Leary**, Managing Director, Freeh Group International

**106 Privacy Compliance in the Digital Age**

**NEW SPEAKER: Dan Oseran**, Senior Director of Global Privacy Compliance, eBay

MONDAY, 3:00 – 4:00 PM

**AD5 Suppliers and Other Third Party Engagement**

**NEW SPEAKER: Eric Lisann**, Senior Advisor, JTI, Inc.

MONDAY, 4:30 – 5:30 PM

**404 Adequate Procedures: An International Overview**

**ADDED SPEAKER: Jonathan P. Armstrong** Partner, Cordery

TUESDAY, 4:15 – 5:15 PM

**808 From FCPA Prosecutors to Company Protectors: Lessons from Former DOJ FCPA Unit Prosecutors on How to Best Protect Your Company from the Risks of Corruption**

**NEW SPEAKER: Steve Spiegelhalter**, Principal, Fraud Investigation & Dispute Services, EY

## EXHIBIT HALL UPDATES

Check SCCE Mobile for the latest updates.

*SCCE welcomes BasisCode Compliance to booth #104*

*SCCE welcomes Cordium to booth #127*

*Dow Jones will not be exhibiting*

## Tweeting @ the Meeting

Add #SCCEci to your tweets during the Compliance & Ethics Institute, and you could win Bose Noise Canceling headphones. Every tweet is an entry. The winner will be randomly chosen and contacted by the end of October.



# Become a Certified Compliance & Ethics Professional

There's never been a tougher or better time to be a part of the compliance and ethics profession. Budgets are tight, governments around the world are looking to add new regulations, public trust in business is low, and employees are tempted to cut corners.

As a Certified Compliance and Ethics Professional (CCEP)<sup>®</sup> you'll be able to demonstrate your ability to meet the challenges of these times and have the knowledge you need to help move your program and your career forward.

To learn more about what it takes to earn the CCEP designation, visit [www.corporatecompliance.org/ccep](http://www.corporatecompliance.org/ccep). There you'll also see the many benefits of joining more than 2,300 compliance professionals who have already taken this critical career step.

Broaden your professional qualifications

Increase your value to your employer

Gain expertise in the fast-evolving compliance field

**CCEP**<sup>SM</sup>  
Certified Compliance & Ethics Professional



# Did you download SCCE Mobile yet?



button to download. Once downloaded, log into the app using your SCCE password.

Just by downloading the SCCE Mobile, you also have a chance to win! Stop by SCCE's booth and show us your app to enter to win. The winner will be announced after the conference.

If you have any technical issues while at the conference, we brought help. Visit the Help desk near registration if you need help with the app or your device.

## Are you tweeting at the meeting?

Your colleagues are! Here are some of our recent favorites:



**@KristyGrantHart**

Kristy Grant-Hart Retweeted  
Jonathan Armstrong

It's going to be great! Can't wait to see @armstrongjp at #SCCEcei! Coming this weekend!



**@tyfrancis**

This time next week we'll be knee deep in #Ethics and #Compliance knowledge bombs at #SCCEcei! cc @SCCE



**@armstrongjp**

Heading to @arialv this week for @sccce conference - hope to see many #compliance friends there #scccecei #fcpa

As always, SCCE's app is your best resource for up-to-the-minute CEI news. Download the app and take advantage of some of its great features:

- Full iPad support
- PowerPoint presentation slide viewer
- Note taking and note sharing
- Complete interactive floor plan maps with conference program and sessions indicated
- Exhibitor details with weblinks and animated expo hall location
- Real-time messages and alerts to keep you updated
- Knowledge sharing via Twitter: Remember to use the #SCCEcei hashtag

Download the mobile app by searching for SCCE Mobile in the App Store or Google Play. Click the

## Network the Speedy way

SCCE's Compliance & Ethics Institute offers great opportunities for you to connect with your peers. Our favorites include SpeedNetworking and SpeedMentoring.

SpeedNetworking allows compliance professionals to network, connect, and discuss key issues they might be facing.

SpeedMentoring connects people who have been in the industry for years with those who have less experience in the compliance field. It allows experienced professionals to pass some wisdom on

to the newer generation of compliance professionals. You must pre-register for either event by 5 p.m. on Saturday.

### SpeedNetworking:

SUNDAY, 7:30–8:30 AM  
STARVINE 8-9, LEVEL 3

### SpeedMentoring:

SUNDAY, 12:00–1:00 PM  
STARVINE 8-9, LEVEL 3

## Vendor SpeedMeetings

For the last few years SpeedMentoring and SpeedNetworking have been a big hit at the Compliance & Ethics Institute. At these events, attendees are matched based on common interests, and in the course of an hour have multiple, quick meetings with each other. This year we're adding a new opportunity: Vendor SpeedMeetings. The idea is basically the same. Participating attendees will have the opportunity to select the categories of

solution providers with whom they'd like to meet. The software then matches individuals with potential providers for a series of short meetings.

There is no charge for you to participate in this event, but you do have to register. Find the SpeedNetworking table near registration to sign up.

## SERVICES



### Free Wi-Fi:

Wi-Fi is included in your resort fee if you are staying in the ARIA or Vdara hotels, Connect through the regular guest Internet, using your guest room number and last name, for up to four devices. If you are staying outside of these hotels, you can connect using the ARIA free network, but will need to reconnect every two hours.

### Internet Cafés:

LEVEL 1 FOYER  
STARVINE FOYER, LEVEL 3

Sometimes you just need a computer. Well, we've got them – and printer's too. Internet Cafés will be set up for any attendees looking to check email (please logout after), print handouts, check the day's schedule, or print out your boarding passes. The cafés are located in the Level 1 Foyer and the Starvine Foyer on Level 3.

### Onsite Bookstore:

LEVEL 1 FOYER  
CEI speakers have recommended resources, and we have them at our bookstore. The CEI bookstore will be stocked with all of their recommended titles. Stop by Sunday through Tuesday and see what is available. The bookstore is located on the Level 1 Foyer.

## Opening Tailgate Reception

*Sponsored by NAVEX Global™*

SUNDAY, 4:30–6:00 PM, BRISTLECONE BALLROOM, LEVEL 1

Join us today at 4:30 p.m. in the Exhibit Hall to kick off the 2015 Compliance & Ethics Institute! We're tailgating, so wear your favorite team attire and get ready to mix and mingle with your compliance colleagues. As always, there will be appetizers and beverages on hand.

## Yoga

BRISTLECONE 6, LEVEL 1  
Join us Monday and/or Tuesday morning from 6:30–7:30 am for Yoga. Registration is complimentary, but space is limited and pre-registration is required. If you are not pre-registered and would like to join a class, please stop by the Registration Desk to see if there is space available. SCCE will provide the instructor and yoga mats.



# Get your professional headshot – FREE

EXHIBIT HALL  
BRISTLECONE BALLROOM, LEVEL 1

Get a professional headshot absolutely free. Stop by the photo studio during the designated times for your complimentary headshot and then be sure to update your SCCEnet® profile with your new photo.

## HEADSHOT STATION HOURS

Sunday, October 4 ..... 4:30 pm – 6:00 pm

Monday, October 5 ..... 7:00 am – 7:00 pm

Tuesday, October 6 ..... 7:00 am – 11:15 am

Sponsored by  
**KROLL**



## Author's Reception/ Academies Reunion

5:30-6:30PM, BLUETHORN 4-5, LEVEL 1

Join your fellow authors and academy graduates – sip a drink, enjoy a bite, and connect with your peers! This reception celebrates all those who went through one of SCCE's Basic Academies or have contributed articles to Compliance & Ethics Professional, ethikos, or the Compliance & Ethics Blog.

## Exam sign up during the conference

Want to take a certification exam, but didn't register early? You still have a chance. Register at the Exam Check-in counter near registration or SCCE's booth in the Exhibit Hall. If you meet the work experience and CEU requirements (20 total, 10 "live" CEUs), and space is still available, you will be able to sit for the exam. On-site registration ends at 11:15 am Tuesday.

## Blog to Win

Here is your chance to share your thoughts with your colleagues and be entered to win a free registration to the 2016 Compliance & Ethics Institute! Submit a post about your experience at the 2015 Compliance & Ethics Institute by 3:00PM PDT on Thursday, October 8 for your chance to win. Posts can be submitted at the blog station at SCCE's booth in the exhibit hall or at [complianceandethics.org/guest-blog-submission](http://complianceandethics.org/guest-blog-submission).

## \$50 membership!

Did you know that if you're a registered attendee, and you've never been an SCCE member, you can join onsite for only \$50? It's simple! Just go to the registration desk by noon on Wednesday and tell them you'd like the \$50 membership deal.

## TweetUp

SUNDAY, 6:00 – 7:00 PM  
STARVINE 12-13, LEVEL 3

SPONSORED BY PWC

Meet, greet, and tweet with other compliance professionals. Whether you're tech savvy or new to social media, you can discover new ways to spread the news, promote compliance, and provide

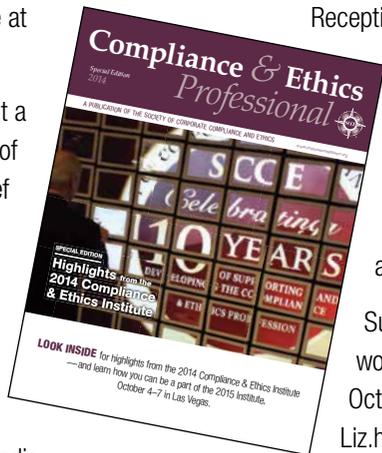
resources for your community. Drinks will be available as you mingle. Also, don't forget the raffle ticket you received at registration – when you turn that in at the TweetUp, you'll be entered for a chance to win a set of Bose® Noise Cancelling headphones. Note: you must be present to win.



## We want to hear from you!

Write about your experience at the CEI and get published.

The SCCE will be putting out a special CEI-focused edition of our magazine. Submit a brief article on anything related to your experience at the 2015 Compliance & Ethics Institute; including Yoga, the Volunteer Project, Name Badge Ribbons, SCCE Mobile App, Social Media, SpeedNetworking, SpeedMentoring, the Tailgating



Reception, the TweetUp, the Authors' Reception, the Academies Reunion, the Awards Dinner, the Exhibit Hall, Sessions you attended, and anything else you can think of!

\*\*Note: A signed copyright form must accompany each article\*\*

Submissions must be no longer than 400 words and must be received by Wednesday, October 14th, 2015. Send your article to: [Liz.hergert@corporatecompliance.org](mailto:Liz.hergert@corporatecompliance.org)

## Lunch options on Sunday, October 4

LEVEL 1 FOYER (NEAR REGISTRATION)

Aria will open a lunch concessions area for CEI attendees in the Level 1 Foyer (by SCCE registration) offering sandwiches, salads, wraps, fruit, chips, candy, water, and soda. The concession stand will accept cash, credit cards and charges to your Aria room. Seating is available in the Pinyon Ballroom, Level 1. Concessions will open at 11:45 AM.

## Handouts

Also, remember to get the handouts from the sessions you attended. They will be available online at [www.corporatecompliance.org](http://www.corporatecompliance.org) under **Resources > Past Conference Handouts**.



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## Twitter Vending Machine

We have a new feature at the CEI this year. SCCE invites you to stop by our booth in the Exhibit Hall to claim your prize from the Twitter vending machine. This tweet-activated vending machine is a "don't miss" activity of your CEI conference experience. Visit our selfie station, strike a pose, then tweet us your picture. Or describe your conference experience in 140 characters. Tweet using #SCCEei and the secret Twitter code to receive your prize!