

Southern California

Regional Compliance & Ethics Conference

January 25, 2019



Learn, Network & Earn CEUs in Your Neighborhood

The Regional Compliance & Ethics Conference offers convenient opportunities for compliance and ethics training, updates on the latest news in regulatory requirements and enforcement, and strategies to develop effective compliance programs. Additionally, attendees will have ample opportunity to network with industry peers. Breakfast and lunch are also included in the conference price.

corporatecompliance.org/regionals

Questions? katie.burk@coporatecompliance.org



7:30 – 8:25 AM

Registration and Continental Breakfast

8:25 AM

Opening Remarks

8:30 – 9:30 AM

GDPR and CCPA – Key challenges for getting compliant and staying compliant



Kim Richardson

Assistant General Counsel, Privacy, Advertising & Marketing, Mattel, Inc.



R. Olivia Samad

Privacy Counsel
Southern California Edison

- GDPR key requirements and compliance challenges
- CCPA key requirements and opportunities to leverage GDPR
- Unique challenges posed by CCPA

9:30 – 9:45 AM

Networking Break

9:45 – 10:45 AM

Sexual Harassment: HR and Compliance Interaction



Cheryl A. Sabnis

Partner
King & Spalding LLP

- Discuss what companies should be thinking about in the wake of the #metoo movement
- Identify vulnerabilities that breed #metoo moments and/or undermine prevention and compliance efforts
- Outline key components of a #metoo prevention and response program

10:45 – 11:00 AM

Networking Break

11:00 – 12:00 PM

Cryptocurrencies and the Blockchain



Brian R. Michael

Partner
King & Spalding LLP



Gary Fan

Blockchain Compliance Expert

- Compliance challenges and benefits arising from the emerging digital economy
- The evolving regulatory and enforcement landscape in the US
- Consideration and Implementation of AML, KYC, and other compliance controls

12:00 – 1:00 PM

Lunch (provided)

1:00 – 2:00 PM

Metrics that Matter: Gathering the Right Information to Improve Your Compliance Program



Diana Trevley, CCEP-I

West Coast Director
Spark Compliance Consulting

- Review the methodologies and resources available for monitoring and benchmarking your compliance program
- Discuss the challenges of obtaining data, including a limited budget, limited resources, and a lack of buy-in, and strategies to overcome them
- Learn how to leverage your metrics over time to demonstrate how you and your compliance program are adding value to the business

2:00 – 2:15 PM

Networking Break

2:15 – 3:15

Communication in Leadership: Lessons Learned Uncovering Perverse Incentives



Eric Feldman, CFE, CCEP-I

Sr. Vice President
Affiliated Monitors

- Corporate ethical failures have shocked companies and their shareholders over the last few years
- Wells Fargo, VW and United, among others illustrate the importance of a strong corporate culture, and the enormous impact that properly aligned incentives can have on companies
- In this session, we unravel how perverse incentives come to be, what to watch for, and how to proactively educate your C-Suite.

3:15 – 3:30 PM

Networking Break

3:30 – 4:30 PM

Investigations



Bryan Justice

Senior Director Compliance
Office of Compliance & Ethics
Panasonic Avionics Corporation



Asha Muldro

Deputy General Counsel
Senior Managing Director
Guidepost Solutions

- What is the best way to structure an effective investigations program?
- What are the DOJ's key considerations when evaluating a compliance program's investigations department?
- What are the most critical factors in conducting an effective internal investigation?

REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- SCCE Member** \$220
- Non-Member** \$270
- Registration plus SCCE membership** \$420
Save by joining SCCE today (first-time members only) Dues regularly \$325

TOTAL \$ _____

ATTENDEE INFORMATION (please type or print)

Mr. Mrs. Ms. Dr.

Member/Account Number (if applicable/known) _____

First Name _____ M.I. _____ Last Name _____

Credentials (CCEP, CPA, CFE, etc.) _____

Job Title _____

Organization (Name of Employer) _____

Address _____

City _____ Province/State _____ Postal Code/Zip _____

Country _____

Phone _____

Fax _____

E-mail (required for confirmation and conference information) _____

SPECIAL REQUEST DIETARY ACCOMODATION

- Gluten free Vegetarian Vegan Other _____
- Kosher (no shellfish, pork or meat/dairy mixed) Kosher (Hechsher certified)

PAYMENT METHOD

Mail check (including registration form) to:
 SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435
 Fax to: +1 952.988.0146

Check enclosed (payable to SCCE) Invoice me

I authorize SCCE to charge my credit card (choose below)
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Credit Card Account Number _____

Credit Card Expiration Date _____

Cardholder's Name _____

Cardholder's Signature _____

If your total is miscalculated, SCCE will charge your card the correct amount. Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCCA192)

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HOTEL/CONFERENCE LOCATION:

Hilton Orange County/Costa Mesa

3050 Bristol Street, Costa Mesa, CA 92626
 714-540-7000 | <http://group.hilton.com/scce>

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TAX DEDUCTIBILITY: Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

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