Keeping compliance SIMPLE

(without dumbing it down)

Ricardo Pellafone

BROADCAT

John Partridge

GIBSON DUNN

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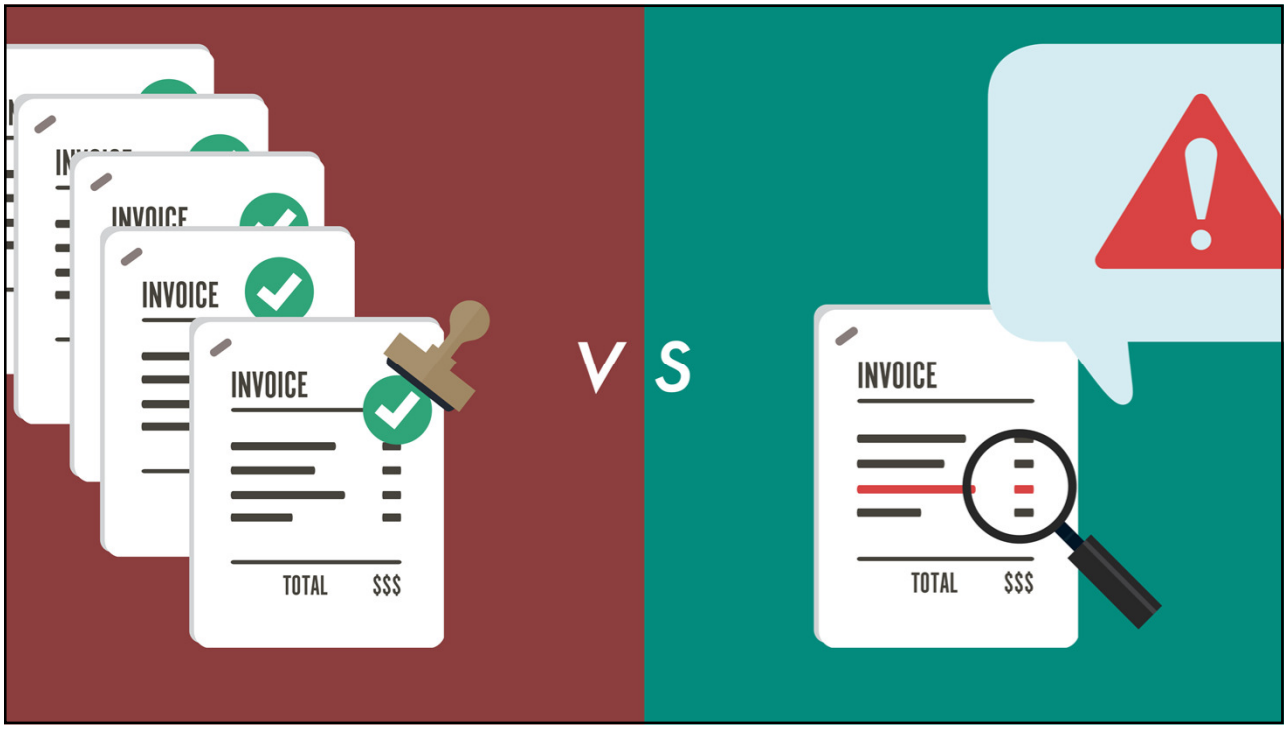
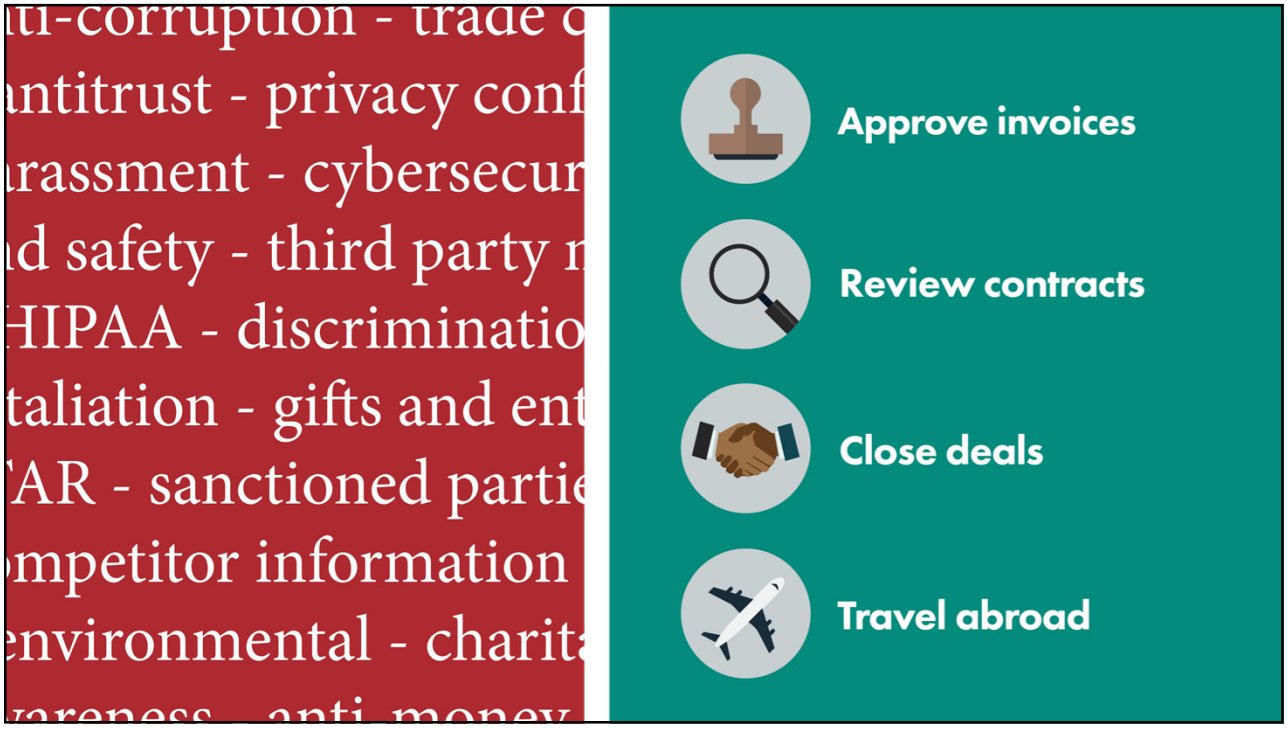

INVOICE
INVOICE
INVOICE
TOTAL $$$
VS
INVOICE
TOTAL $$$

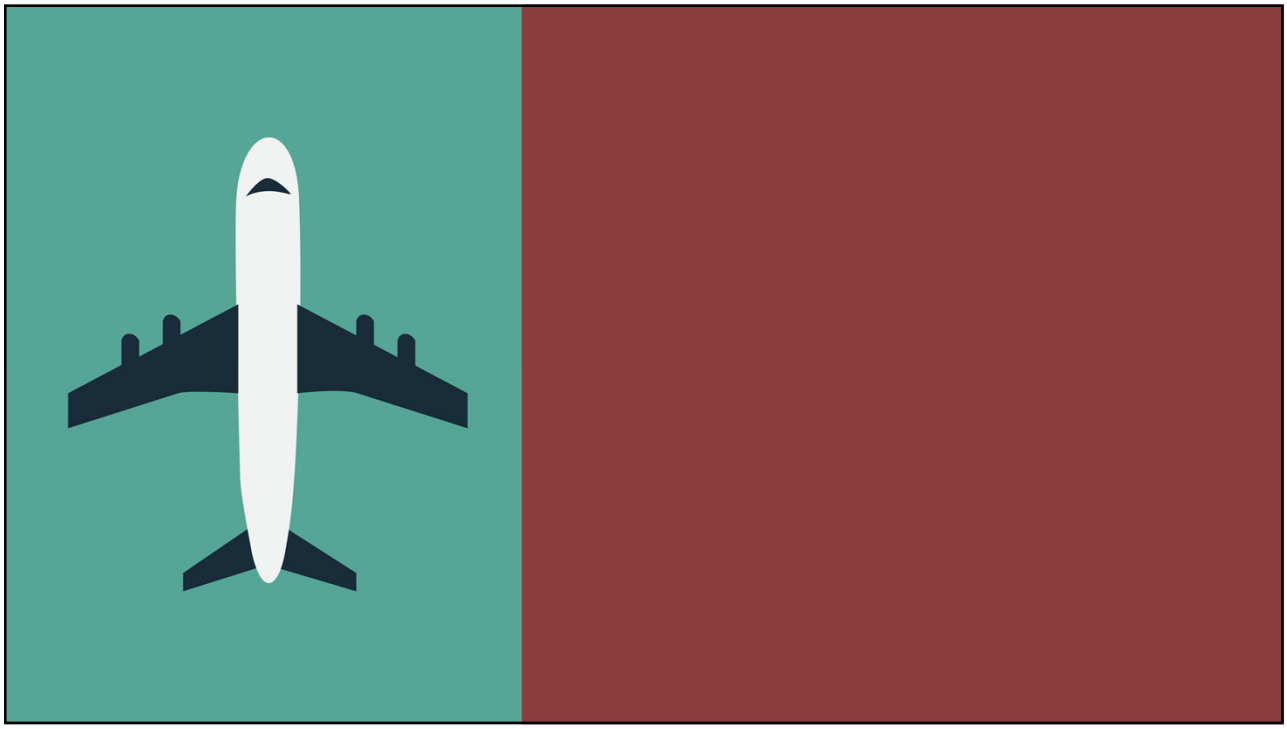
ENGAGE

ENGAGE
RETAIN

ENGAGE
RETAIN
TRANSFER

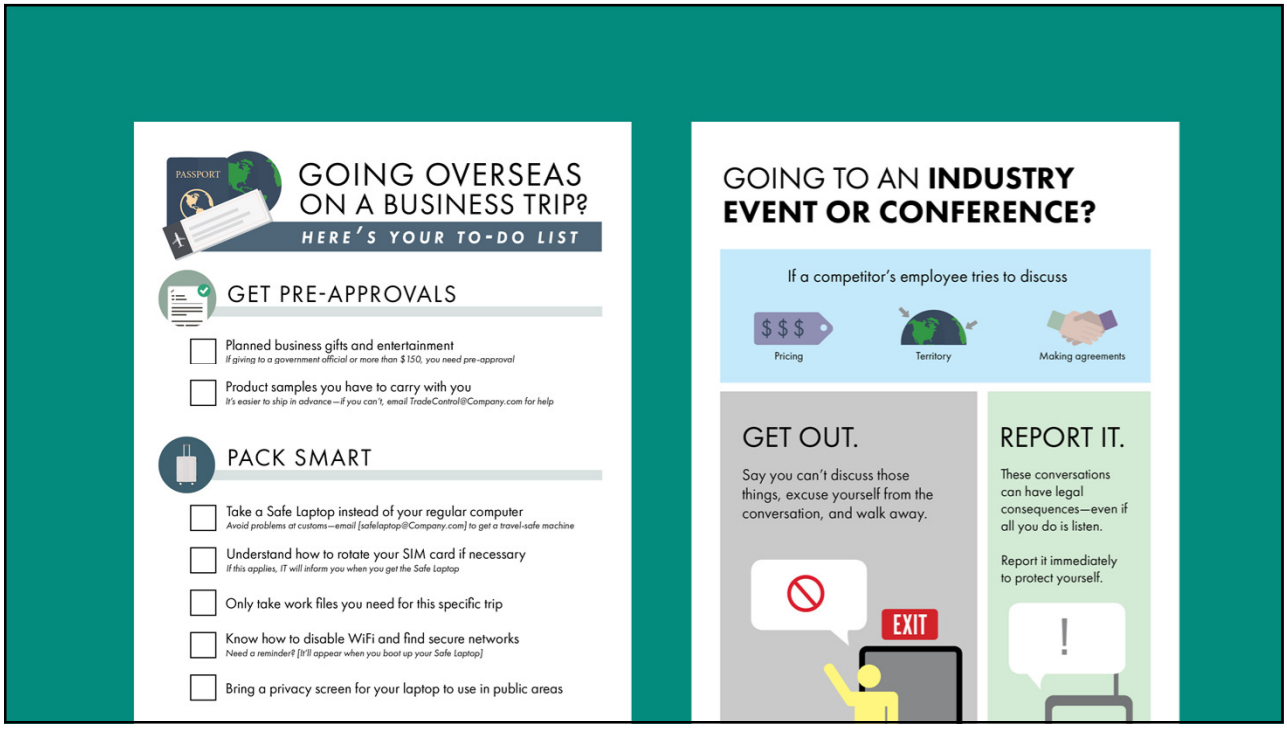
ti-corruption - trade c
ntitrust - privacy conf
rassment - cybersecur
d safety - third party r
HIPAA - discriminatio
taliation - gifts and ent
AR - sanctioned partie
mpetitor information
nvironmental - charit
vareness - anti-money





GIFTS
CONFIDENTIALITY
ANTITRUST
ANTI-CORRUP
CYBERSECURITY
EXPORT C

PASSPORT
GOING OVERSEAS ON A BUSINESS TRIP?
HERE'S YOUR TO-DO LIST
GET PRE-APPROVALS
Planned business gifts and entertainment
If giving to a government official or more than $150, you need pre-approval
Product samples you have to carry with you
It's easier to ship in advance—if you can't, email TradeControl@Company.com for help
PACK SMART
Take a Safe Laptop instead of your regular computer
Avoid problems at customs—email [safelaptop@Company.com] to get a travel-safe machine
Understand how to rotate your SIM card if necessary
If this applies, IT will inform you when you get the Safe Laptop
Only take work files you need for this specific trip
Know how to disable WiFi and find secure networks
Need a reminder? [It'll appear when you boot up your Safe Laptop]
Bring a privacy screen for your laptop to use in public areas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GOING TO AN INDUSTRY EVENT OR CONFERENCE?
If a competitor's employee tries to discuss
$$$
Pricing
Territory
Making agreements
GET OUT.
Say you can't discuss those things, excuse yourself from the conversation, and walk away.
EXIT
REPORT IT.
These conversations can have legal consequences—even if all you do is listen.
Report it immediately to protect yourself.
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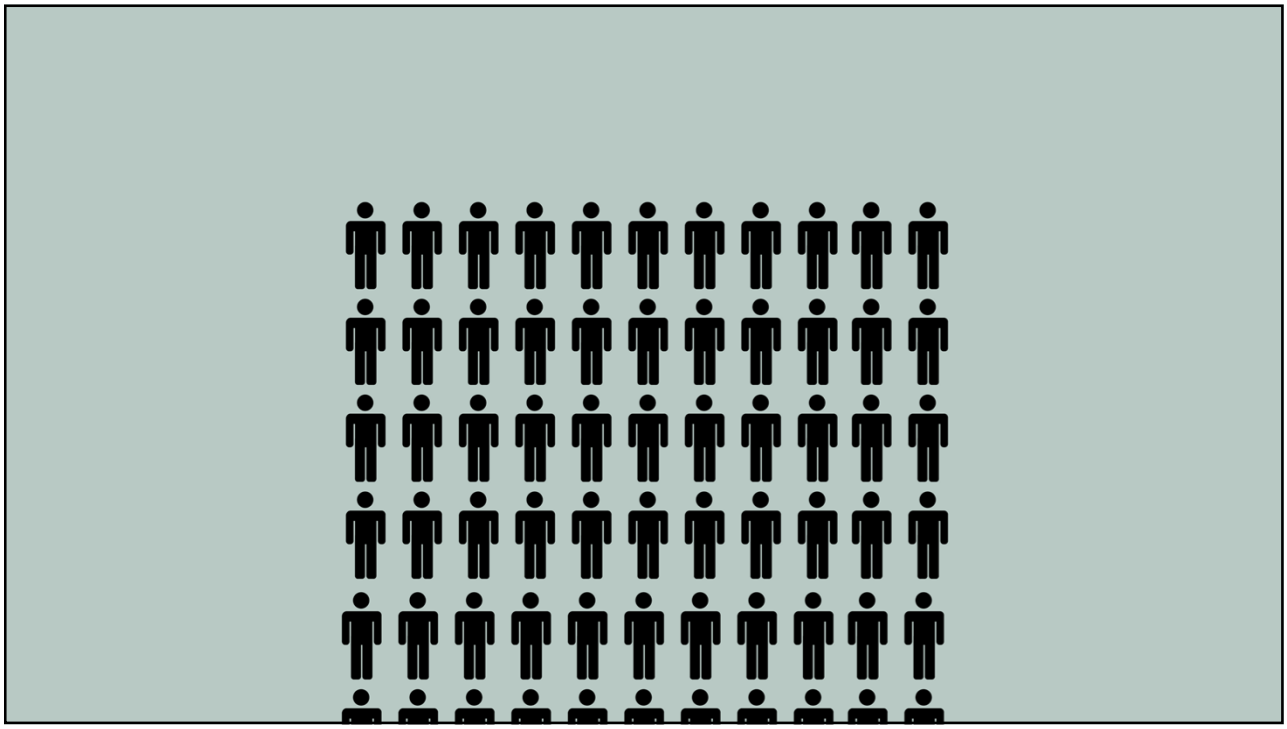

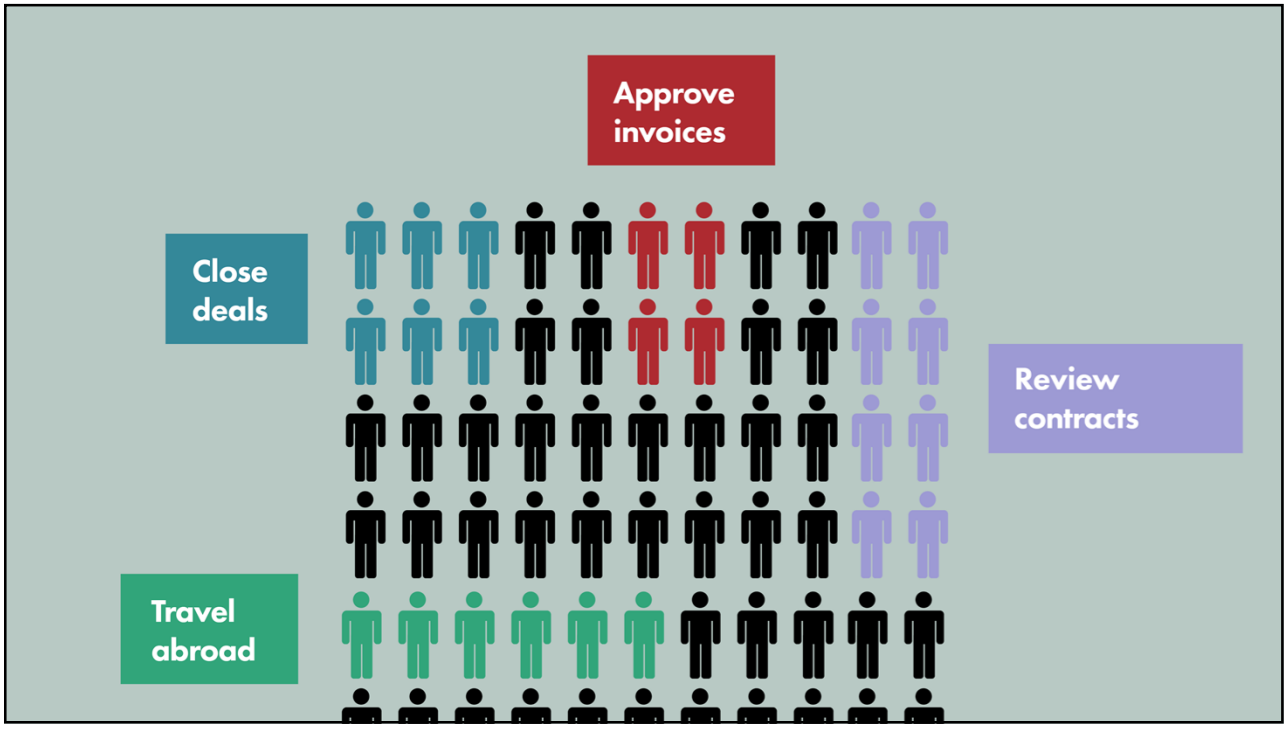
Approve invoices
Close deals
Review contracts
Travel abroad

Measuring

Measuring

Auditing

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