


APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)



SCCE's 17th Annual Compliance & Ethics Institute
October 21-24, 2018 | Las Vegas, Nevada

Please leave this application with staff at the Registration Desk or
email: ccb@compliancecertification.org | phone: 952.933.4977 | fax: 952.988.0146

This form must be completed and submitted to receive a certificate of attendance and/or continuing education credit. Check the box(es) below corresponding to the credit type(s) you wish to receive.

<input type="checkbox"/>  CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F <i>(This CEU type is automatically assessed)</i>	<input type="checkbox"/> FOR ATTORNEYS ONLY: Continuing Legal Education (CLE) Submit this application <u>within seven days</u> to allow for state reporting, if required.
<input type="checkbox"/> Other Credit type not already listed. _____	Individuals MUST sign in/sign out* if required by their state. Verify your CLE requirements with your state.
<input type="checkbox"/> NASBA/CPE Individuals MUST also sign in/sign out* per NASBA credit requirements.	State & License # _____ State & License # _____ State & License # _____

*Sign in/sign out sheets available outside meeting rooms.

CCB credit and certificate will be posted and available online in your account within two-four weeks.

CLE, NASBA, and Other external credit certificates will be emailed within four weeks.

★ *By signing below, I attest that I HAVE ATTENDED THE SESSION(S) I indicated on this application:*

Name (PRINT legibly): _____ Phone: _____

Email Certificate to: _____

Signature: _____ Date: _____

CONTINUED NEXT PAGE →

APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

- ★ **ATTENDEES** must indicate "Attendee" for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate "Speaker" for sessions presented and "Attendee" for sessions attended.
- ★ **NOTE** any session time missed if you arrived late or left early, excluding restroom breaks.

SUNDAY, October 21 | Pre-Conference

8:30 – 11:30 am (2.75 clock hours or 165 minutes - *includes a fifteen minute break.*)

- | | | |
|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P1 Ethics & Compliance Risk Management 101: Program Essentials and Effective Practice |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P2 AT&T's Compliance Training Evolution/Revolution |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P3 A Tale of Two Companies—Experiencing...Considered Themselves Ethical |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P4 Anti-Corruption Workshop: FCPA and Other...Compliance Best Practices |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P5 Audits Conducted Under Attorney Client Privilege: How to Manage...Audit Engagement |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P6 Launching Ladies into Senior Leadership |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P7 Building a Culture of Compliance Learning Excellence - 4 Multi-Nationals Share Their Insight |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P8 Preventing Harassment: Can Compliance Ever Succeed? |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P9 Investigations Workshop Parts I, II, and III |

1:00 – 4:00 pm (2.75 clock hours or 165 minutes - *includes a fifteen minute break.*)

- | | | |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P10 Trade Compliance Risks: What You Don't Know, Can Hurt You! |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P11 Ethics 101 from Theory to Application: What Would Kant Think About Tarantino Flix? |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P12 Facebook and Equifax: Meeting Increased Customer Expectations...Requirements |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P13 Enforcement Goes International-What Does it Mean for Your Compliance Program? |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P14 Federal Contractor—2018 Compliance Update |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P15 Technology & Compliance: Understanding the Risks and Maximizing the Benefits |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P16 New Beginnings: Starting Your Compliance Program and What Needs to be Included |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P17 The Great Internal Audit & Compliance Mystery |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | P18 Investigations Workshop Parts IV,V,VI |

Name (PRINT): _____

APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

- ★ **ATTENDEES** must indicate “*Attendee*” for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate “*Speaker*” for sessions presented and “*Attendee*” for sessions attended.
- ★ **NOTE** any session time missed if you arrived late or left early, excluding restroom breaks.

MONDAY, October 22 | Conference

8:30 – 9:30 am (1.0 clock hour or 60 minutes)

- Attendee Speaker **Keynote Address:** Next Level Leadership

10:00 – 11:00 am (1.0 clock hour or 60 minutes)

- | | | |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 101 Social Media: Risks & Redemption |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 102 Advancing a Culture of Integrity by Building Strong Climates |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 103 A Modern Day Construction Industry Compliance Program |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 104 Challenges of Working with Intermediaries in Emerging Markets |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 105 Compliance Oversight for Boards and Management |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 106 AI is Coming: Future-proof Your Career and Your Compliance Program |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 107 Compliance & Ethics Training: What You Need to Know |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 108 Compliance Fast and Slow - Lessons from Behavioral Economics |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 109 Practices in Ethics and Compliance (E&C) Program Management...E&C Program |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 110 Just Listen: Silently Connecting to the Untold Stories to Shape Culture |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | AD1 Retaliation: Real or Imagined, How Are You Addressing It in Your Organization? |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | AD2 Big Picture E&C Program Challenges: A Day...Successful Compliance Officer |

11:15 am – 12:15 pm (1.0 clock hour or 60 minutes)

- | | | |
|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 201 Global Antitrust Compliance—Assessing Risks and Creating an Effective Antitrust Program |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 202 Ethical Considerations for Compliance Officers and Lawyers |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 203 Building Awareness: Creatively Branding and Marketing Your “Comply Ant” Program |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 204 Artificial Intelligence in Third Party Compliance |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 205 Start-ups, Autonomous Cars and Everything (Compliance)...GM and Cruise Automation |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 206 Corporate Compliance and Information Technology... Cyber Security and Privacy Laws |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 207 How to Score Your Compliance Program Using Key Performance...Other Approaches |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 208 MGM Resorts International: Journey to the Future of Mobile & Augmented Reality |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 209 Repeat Complainers: Chronic Complainers or the Forgotten |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | AD3 Tools for Enhancing Employee Participation in Training |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | AD4 Hot Topics in Employee Reporting: Implementing and Maintaining...Hotline Program |

1:45 – 2:45 pm (1.0 clock hour or 60 minutes)

- | | | |
|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 301 M&A Transactions: Tactics and Strategies for Effectively...Compliance Risk |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 302 Business Ethics 101: Why Do People Cheat |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 303 Are You Considering Offering a Compliance Week? (or How to Create...Doesn't Suck.) |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 304 Communications Best Practices for a Global Workforce |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 305 Counseling Compliance in Small to Medium Sized Businesses |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 306 Putting People First: Protection of Personal Identifiable Information...Constant Change |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 307 Privacy Trends Around the World and the Implications to a Global Organization |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 308 What If Model: Leverage Organizational Resources...(and Certification) |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 309 Managing Third Party Compliance Programs on a Global Scale |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 310 Making Connections Count: Tips to Gain Value Through Networking |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | AD5 Conflicts of Interest: Awareness, Bias, and Corruption |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | AD6 Assessing Your Company's Culture |

3:00 – 4:00 pm (1.0 clock hour or 60 minutes)

- Attendee Speaker **Keynote Address:** Can Compliance Save Sports

Name (PRINT): _____

APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

- ★ **ATTENDEES** must indicate “*Attendee*” for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate “*Speaker*” for sessions presented and “*Attendee*” for sessions attended.
- ★ **NOTE** any session time missed if you arrived late or left early, excluding restroom breaks.

TUESDAY, October 23 | Conference

8:15 – 9:15 am (1.0 clock hour or 60 minutes)

- Attendee** *Speaker* **Keynote Address:** Artificial Intelligence: A Day in Your Life in Compliance & Ethics

9:30 – 10:30 am (1.0 clock hour or 60 minutes)

- | | | |
|--|---|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 401 Working with Uncle Sam: Managing Compliance Risk When Providing...U.S. Government |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 402 Demystifying Forensic Accounting |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 403 Building a Corporate Culture That Combats Sexual Misconduct |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 404 Global Compliance—One Size Does Not Fit All |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 405 Dos and Don'ts for Compliance Personnel at International Non-Profits |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 406 Software License Compliance: Costly... Risk Mitigate and Contribute Effectively |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 407 Decades into Maturing Compliance Programs, Are Anonymous... Respect They Deserve? |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 408 Effective Use of Forensic Data Analytics to Mitigate Compliance Risks |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 409 Advanced Ethics and Compliance Program Design |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 410 The 7 Habits of an Effective Compliance and Ethics Professional |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | AD7 Building the Bridge to Better Partnering - What Works! |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | AD8 Cultures of Integrity - We Know What They Are...How Do We Get There? |

11:00 am – 12:00 pm (1.0 clock hour or 60 minutes)

- | | | |
|--|---|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 501 Using Privacy Impact Assessments Effectively |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 502 Developing a Culturally Competent Compliance Program |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 503 Adopting an Internal Control—Integrated Framework, Benefits for Non-Profit Organizations |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 504 Crash Course on United Kingdom and Ireland Regulations for U.S. Companies |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 505 Leveraging Recent Developments in the Law to Advance Your Program |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 506 The EU General Data Protection Regulation: What We Know, Six Months In |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 507 Get What You Need Out of Your Gift, Entertainment, and Travel...Approval System |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 508 Innovative Strategies for Fostering a Compliance Culture |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 509 Decades to Build, Seconds to Destroy: Proactive Compliance on...Enforcement Action |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 510 Building Culture Through Communication and Engagement |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | AD9 Compliance in the Modern Multi-National: Aligning...Compliance Program |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | AD10 Who's Afraid of the Big, Bad Code? |

1:00 – 2:00 pm (1.0 clock hour or 60 minutes)

- | | | |
|--|---|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 601 RIM: It's Not Just About the Records |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 602 Ethical Considerations of Artificial Intelligence |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 603 Built in, Not Bolt on: Creating a Compliance Program for a Tech Startup |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 604 Foreign Agents, Partners & Intermediaries: You Can't Live...Can't Live Without Them |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 605 Preventing Harassment and Discrimination: Why...What to Do About It |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 606 Creating Cyber Incident Response Plans |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 607 What Are the OIGs - and Why You Should Care |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 608 OFAC Sanctions: Navigating the Minefield |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 609 Building an Ethics Culture & Compliance Program... Mentor-Protégé Program |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | 610 Outstanding Collaboration: Can't We Just All Get Along? |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> <i>Speaker</i> | AD11 Secrets from In-house Ethics & Compliance...Keep the Gate and Your Sanity |

Name (PRINT): _____

APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

- ★ **ATTENDEES** must indicate “*Attendee*” for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate “*Speaker*” for sessions presented and “*Attendee*” for sessions attended.
- ★ **NOTE** any session time missed if you arrived late or left early, excluding restroom breaks.

...TUESDAY, October 23 | Conference Continued

2:15 – 3:15 pm (1.0 clock hour or 60 minutes)

- | | | |
|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 701 Divided Loyalties: Conflict of Interest Risks, Impact and Effective Management Strategies |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 702 Unethical Acts—Why We Do What We Do |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 703 Lights! Camera! Compliance! ...How Making a Movie Changed Our Culture |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 704 While You Were Sleeping: Insights...Asia from a Regional Compliance Director |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 705 To Privilege or Not to Privilege: The Role of Attorney...Running a Compliance Program |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 706 Who, What, Why: PCI |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 707 They Made the News, But You Don’t Have to! |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 708 The Road to ISO 37001 Anti-Bribery Management Systems... Why It’s Worth It |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 709 Unconscious Bias, Cognitive Errors, and the Compliance Professional |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | 710 LinkedIn 2.0: How to Maximize your LinkedIn Membership |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | AD12 I’m Here, But My Organization is There: How Do I Manage This Alone? |

3:30 – 4:30 pm (1.0 clock hour or 60 minutes)

- | | | |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | Keynote Address: What We Need to Know About #MeToo |
|-----------------------------------|----------------------------------|---|

WEDNESDAY, October 24 | Post-Conference

8:00 – 9:30 am (1.5 clock hour or 90 minutes)

- | | | |
|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W1 Vendor Risk Management in Practice: Three Steps...Three Capabilities You Need |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W2 How to Conduct a High-Quality Ethics and Compliance Program Evaluation |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W3 International Fraud, Ethics and Culture Seen Through the Lens of a Fraud Examiner |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W4 Avoiding Investigation Pitfalls: A Boots on the Ground Perspective |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W5 Never Hearing “I Told You So”— Preparing and Responding to a Federal Investigation |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W7 Around the World in 80 Minutes |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W8 Millennial is a Dangerous Word: A Conversation About Bias...Workplace |

9:45 – 11:15 am (1.5 clock hour or 90 minutes)

- | | | |
|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W9 Leave No Stone Unturned: Looking for Hidden Risks |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W10 I Would Never Do That! How Your Brain Circumvents Ethics and Compliance Efforts |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W11 Turning the Tide of Your Culture without Being Hit by a Tsunami |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W12 Dancing with Danger: How to Respond to the Changing...Latin America |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W13 Yin and Yang—Leveraging the Strengths of Legal and Compliance |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W14 Everything 3rd, 4th, & Nth Party Risk Management ...Gaps, Terminating |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W15 Designing a Built-In Compliance Program |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W16 Effective Human Trafficking Compliance: Practical Steps...Corporate Supply Chains |

Name (PRINT): _____