


APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)



SCCE's 19th Annual Compliance & Ethics Institute Virtual Conference September 14 - 16, 2020

Complete and submit this application to receive a certificate of attendance or continuing education units (CEUs). Check the box(es) below corresponding to the credit type(s) you wish to receive.

email: ccb@compliancecertification.org | phone: 952.988.0141 | fax: 952.988.0146

 <p>CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F (This CEU type is automatically assessed)</p> <p><input type="checkbox"/> Other Credit type not already listed. _____</p> <p><input type="checkbox"/> NASBA/CPE Individuals MUST participate in the active attendance monitoring.</p>	<p><input type="checkbox"/> FOR ATTORNEYS ONLY: Continuing Legal Education (CLE) Certain sessions may qualify for CLE credits. Submit this application <u>within seven days</u> to allow for state reporting, if required.</p> <p>Many states require active attendance monitoring. Please verify your CLE requirements with your state.</p> <p>State & license # _____</p> <p>State & license # _____</p> <p>State & license # _____</p>
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Active attendance monitoring will be conducted during this virtual conference.

CCB CEUs and certificate will be posted and available online in your account within two-four weeks.

CLE, NASBA, and Other: External credit certificates will be emailed within four weeks.

By signing below, I attest that I HAVE ATTENDED THE SESSION(S) I indicated on this application:

Print your name: _____

Email: _____ Phone: _____

Signature: _____ Date: _____

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- ★ **ATTENDEES** must indicate "Attendee" for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate "Speaker" for sessions presented and "Attendee" for sessions attended.
- ★ **NOTE** any session time missed if you dialed in late or left early.

Monday, September 14

9:00 – 10:00 am CDT (1.0 clock hour or 60 minutes)

☐ Attendee ☐ Speaker **GENERAL SESSION:** Lessons Learned from the COVID-19 Pandemic

10:15 – 11:15 am CDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	101 The Landscape of Fraud in 2020
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	102 The Ups and Downs of Social Media Compliance
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	103 The Compliance Olympics: What were the Gold Medal Performances...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	104 Privacy Compliance 101
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	105 Highly Regulated and Limited Resources Doesn't Have to Mean Boring
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	DG 106 Cultivation of Culture and Compliance Partnerships
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	107 Calling all Champions! Best Practices for Expanding Your Ethics Programs...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	108 Beyond Awareness - Build A Compliance Program That Will...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	109 New Tone at the Very Top: CECOs on Boards, Why it Matters, How...

11:30 am – 12:30 pm CDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	201 Creating Great Compliance Training in a Digital World
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	202 Antitrust Compliance 2.0: Tackling the Toughest Parts of the DOJ Compliance...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	203 Asia, State of the Union - Compliance Relations in Asia
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	204 California Consumer Privacy Act Enforcement Update
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	205 Investigating and Communicating Compliance in Far-Flung Places...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	DG 206 Cultures of Integrity - We Know What They Are and....
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	208 Boeing's 737 MAX: A Trifecta of Ethics & Compliance Failures
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	209 Keep Calm and Compliance On - 2.0 Edition

1:15 – 2:15 pm CDT (1.0 clock hour or 60 minutes)

☐ Attendee ☐ Speaker **GENERAL SESSION:** Fraud is Not a Trade Secret: A Conversation with Tyler Shultz

2:30 – 3:30 pm CDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	301 Unconscious Bias, Cognitive Errors, and the Compliance Professional
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	302 From Data to Insights: Managing Risk using Continuous Monitoring Analytics
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	303 How to Conduct Independent Investigations on Foreign Operations
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	304 Learn More from Your Data While Improving Data Security
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	305 Leveraging Culture at Utility and Energy Companies
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	DG 306 Identifying Personnel Needs and Leveraging Varied Skill Sets to Staff...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	307 Talent Management and Ethics Outreach: How Lockheed Martin Reaches...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	308 Building a Culture of Compliance
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	309 60 Minute Compliance Coach: Solutions to your Sleepless Nights

APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

...continued Monday, September 14

3:45 – 4:45 pm CDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	401 Building an Effective Policy Management and Governance Program with...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	402 The Use of Big Data to Reduce Risk
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	403 Building Organizational Trust and Trust in the Compliance Team
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	404 What Red Adair Teaches us About Cybersecurity
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	405 Making Compliance and Ethics Relevant: Perspectives from the Utilities...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	DG 406 “This is Not the Hill I’m Going to Die On” and Other...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	407 Ethics and Compliance: Same or Different?
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	408 Case Study: Involving Employees to Bring your Ethics & Compliance...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	409 Considering Consulting or Starting Your Own Compliance Business...

5:00 – 6:00 pm CDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	501 Recession proof Your Career Today!
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	502 ThirdParty Risk Management
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	503 Operationalizing a Global Compliance & Ethics Program: Fundamentals to...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	504 Three Idiots: The Breach Response That Goes Wrong
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	505 SEC Disclosure Rule for Resource Extractors
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	DG 506 The Trouble with Moral Relativism
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	507 Hotline Reporting in the Time of COVID-19
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	508 3... 2... 1... Liftoff! Operationalizing Ethics and Compliance
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	509 Clear, Concise, and Captivating: Tips on How to Communicate with the...

Tuesday, September 15

9:00 – 10:00 am CDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	GENERAL SESSION: Why We Act: Turning Bystanders into Moral Rebels
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10:15 – 11:15 am CDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	601 Your Acquisition Has Closed - Now What? New Frontiers in...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	602 Sexual Harassment and Abuse: From Awakening to...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	603 State of the Union: Compliance Risk in Africa and the Middle East
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	604 Cybersecurity and Incident Response in the Energy and Utilities Sector...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	605 Compliance in the Crosshairs: What the Courts Are Saying About...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	DG 606 Public Agency Ethics and Compliance Programs – Challenges...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	607 Speak Up Success: Training and Communications to Truly Encourage...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	608 Transform Your Policies: A (Relatively) Pain-Free Guide
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	609 The 7 Habits of an Effective Compliance & Ethics Professional

APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

...continued Tuesday, September 15

11:30 am – 12:30 pm CDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	701 Avoid a Compliance Hangover: Maintain Momentum in Your...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	702 Anti-Bribery Risk Assessments: Measuring Business Bribery...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	703 The Challenges of Data Protection and Privacy – GDPR, Brazil DP Law, Japan...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	704 Challenges of Implementing a Risk-Based Third-Party Due Diligence Program
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	705 Leverage Legal Developments to Assess and Advance Your Program
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	DG 706 June 2020 DOJ Guidance and Cross-Functional Cooperation
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	707 Current Developments in Compliance Programs Ethics...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	708 How to Conduct a Compliance Program Self Assessment
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	709 Change Management: Transforming a Long Established Compliance Program

1:15 – 2:15 pm CDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	GENERAL SESSION: Fostering a Culture of Compliance
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2:30 – 3:30 pm CDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	801 Building Best-in-Class Compliance Programs in Small Organizations
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	802 The Dreaded “F” Word - “Fraud” - What Can You Do About...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	803 Leap-Frogging in Latin America: How New Compliance...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	804 International / Cross Border Investigation Strategies
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	805 The Ethical, Professional and Personal Challenges of Being Both a...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	DG 806 Doing a Lot with a Little: How to Get the Most Out of a Small Ethics...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	807 The Ethical Leadership Model - How to Turn Tone at the Top...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	808 Current Pressures in Whistleblower Retaliation, and the...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	809 Warnings, PIPs, and Terminations: Developing...

3:45 – 4:45 pm CDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	901 Responsible Use of Emerging Technology
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	902 Internal Accounting Controls and Internal Compliance Controls...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	903 Third-Party Due Diligence: How Much Diligence is Enough?
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	904 What Will Your Employees Say? The Importance of Cultural Assessments
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	905 Antitrust Compliance: What Does the Government Really Want?
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	DG 906 Engaging your Board Relevance in 2021
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	907 How to Reinvigorate a Global Code of Conduct - The Sony Way
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	908 Ethics & Compliance Training is the Worst! Two Creative Agencies...
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	909 Taking Your Code Digital: Here’s How to Do It Right

APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

Wednesday, September 16

9:00 – 10:30 am CDT (1.5 clock hour or 90 minutes)

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|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W1 Website Accessibility: Practical Steps to Reduce Risk, Promote... |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W2 Managing an Effective Export Compliance Program in a Global Market... |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W3 Europe, Balkans and the Americas: Dealing with Compliance in Our... |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W4 What Compliance Professionals Need to Know about Immigration Law |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W5 Polishing Your Investigation Skills, Part I |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W6 Expanding into the Federal Marketplace – Compliance... |

10:45 am – 12:15 pm CDT (1.5 clock hour or 90 minutes)

- | | | |
|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W7 Effective Partnership Strategies with Your Board of Directors |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W8 Effective E&C Risk Management Practice: Program Essentials and Core... |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W9 5 Steps to Ethical Problem Solving |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W10 What Compliance Professionals Need to Know about Employment Law |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W11 Polishing Your Investigation Skills, Part II |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W12 From Paper to Practice: Best Practices for Compliance... |

1:00 – 2:30 pm CDT (1.5 clock hour or 90 minutes)

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|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W13 Weaving Compliance into the Operations of Your Organization |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W14 Blending with the Federal Workforce: What Ethics & Compliance... |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W15 How to Promote and Reinforce Your Company's Values Through an... |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W16 What Compliance Professionals Need to Know about Privacy Cybersecurity... |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W17 Conducting Thorough Corporate Investigations: Don't Get Lost in the Data |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W18 Crystal Gazer, Magical Man: Tell the Future of Compliance, If You Can |

2:45 – 3:45 pm CDT (1.0 clock hour or 60 minutes)

- | | | |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W20 Measuring and Maintaining Culture: Benchmarking, Surveys... |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W21 Crisis and Compliance: Maintaining Oversight and Corporate Culture... |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W22 What Compliance Professionals Need to Know about Environmental Law |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W23 Managing Investigations Before They Start Organizing an Effective... |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W24 Coming into a Crisis Situation – Surviving, Transforming, and Finding... |

4:00 – 5:00 pm CDT (1.0 clock hour or 60 minutes)

- | | | |
|-----------------------------------|----------------------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W25 Bringing Effective Ethics and Compliance Training to a Multi-generational... |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W26 Whistleblowers, Incident Management & Training: The Trifecta... |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W27 How to Reach Your Global Workforce |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W28 Individual Accountability for Corporate Wrongdoing |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | W30 ERM and Compliance: A Preview of Soon-to-be Released Guidance from... |

Print your name: _____