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| Year of Fine | University Name | Summary of Incident | Fine Amount | Source (Government press release or other) |
| 2011(-2016) | University of Massachusetts- Amherst | Unauthorized Access/Disclosure via Desktop Computer | $650,000 | U.S. Dept. of Health and Human Services, Office of Civil Rights[HIPAA Journal](https://www.hipaajournal.com/hipaa-violation-fines/) |
| 2011 | University of California at Los Angeles Health System | Failure to Restrict Access to Medical Records | $865,500 | [HIPAA Journal](https://www.hipaajournal.com/hipaa-violation-fines/) |
| 2012 | Georgetown University (Hospital) | Unauthorized Access/Disclosure via Paper/films |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2012 | Duke University  | Unauthorized person stole an unencrypted thumb drive from an administrative building.Unauthorized Access/Disclosure |  | U.S. Dept. of Health and Human Services, Office of Civil Rights[Health IT Security News](https://healthitsecurity.com/news/duke-health-system-notifies-patients-of-data-breach) |
| 2012 | University of Arkansas for Medical Sciences | Resident physician kept patient records and shared them with her attorneys after she was terminated.Unauthorized Access/Disclosure |  | U.S. Dept. of Health and Human Services, Office of Civil Rights[UAMS News](https://news.uams.edu/2012/11/26/uams-notifies-patients-of-medical-records-breach/) |
| 2012 | University of Houston for UH College of Optometry | Hacker deleted 7,000 patient recordsHacking/IT Incident; Unauthorized Access/Disclosure via Network Server |  | U.S. Dept. of Health and Human Services, Office of Civil Rights[News from the AOA](https://newsfromaoa.wordpress.com/2012/06/09/hacker-breaches-uh-optometry-clinic-database/) |
| 2012 | University of Miami | Two employees accessed patient records and sold them to outside parties.Unauthorized Access/Disclosure via Paper/films |  | U.S. Dept. of Health and Human Services, Office of Civil Rights[Modern Healthcare](https://www.modernhealthcare.com/article/20120910/NEWS/309109955/u-of-miami-discloses-patient-records-breach) |
| 2013 | University of Connecticut | Employee was caught perusing medical files of patients for which she had no responsibility — a violation that impacted as many as 1,382 patients.Unauthorized Access/Disclosure via Network Server |  | U.S. Dept. of Health and Human Services, Office of Civil Rights[Hartford Business](http://www.hartfordbusiness.com/article/20160418/PRINTEDITION/304149945/hospitals-others-beef-up-data-security-amid-tougher-oversight) |
| 2013 | Texas Tech University Health Sciences Center | Technical error occurred while processing billing statements causing them to be linked to incorrect patient addresses.Unauthorized Access/Disclosure via Paper/films |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2013 | University of Florida | Former employee gained access to more than 5,000 patient records as part of identity theft ring.Other, Theft, Unauthorized Access/Disclosure via Network Server |  | U.S. Dept. of Health and Human Services, Office of Civil Rights[Health IT Security](https://healthitsecurity.com/news/university-of-florida-alerts-pediatric-patients-of-data-breach) |
| 2013 | Idaho State University | Potentially exposed information on 17,500 patients at the university's Pocatello Family Medicine Clinic. Patient information was vulnerable for at least 10 months because a firewall protecting a server was disabled. | $400,000 | [Modern Healthcare](https://www.modernhealthcare.com/article/20130531/NEWS/305319954/lingering-security-woes-cost-idaho-university-400k)[HIPAA Journal](https://www.hipaajournal.com/hipaa-violation-fines/) |
| 2013 | Louisiana State University Health Care Services Division | Unauthorized Access/Disclosure via Desktop Computer |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2013 | Saint Louis University | SLU employees gave out their account information by mistake as part of a phishing scam email they received.Unauthorized Access/Disclosure via Email |  | U.S. Dept. of Health and Human Services, Office of Civil Rights[Health IT Security](https://healthitsecurity.com/news/saint-louis-university-notifies-3000-patients-of-data-breach) |
| 2013 | University of Washington | Employee downloaded malware, which affected an IT system housing the PHI of approximately 90,000 individuals.  | $750,000 | [HIPAA Journal](https://www.hipaajournal.com/750k-hipaa-fine-for-university-of-washington-medicine-8216/) |
| 2014 | Penn State Milton S. Hershey Medical Center | Employee downloaded PHI to flash drive and completed work on personal computer, and sent via email from personal email account.Unauthorized Access/Disclosure via Email, Other Portable Electronic Device |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2014 | New York & Presbyterian Hospital and Columbia University | Failure to conduct risk analysis | $4,800,000 | [HIPAA Journal](https://www.hipaajournal.com/hipaa-violation-fines/) |
| 2015 | UMASS Memorial Medical Group, Inc. | Employee impermissibly accessed PHI of 14,000 patients for four months. Unauthorized Access/Disclosure via Paper/Films |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2015 | University of Rochester | Nurse practitioner leaving URMC for a new position asked URMC for a list of the patients she had treated at the medical center and received a spreadsheet with 3043 patient names along with their addresses and diagnoses, and gave the spreadsheet to her soon-to-be new employer without authorization. | $15,000 | U.S. Dept. of Health and Human Services, Office of Civil Rights[HIPAA Journal](https://www.hipaajournal.com/ny-attorney-general-hipaa-fine-urmc-8206/) |
| 2015 | University of California Irvine Medical Center | Unauthorized Access/Disclosure via Electronic Medical Record |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2015 | Howard University | Business associates sent out 1,445 misdirected collection letters that included PHI such as names and account numbersUnauthorized Access/Disclosure via Paper/Films |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2015 | Rush University Medical Center | Business associate mailed announcements, resulting in 1,529 misdirected letters being sent to the wrong patients.Unauthorized Access/Disclosure  |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2015 | University of Colorado Health | Employee inappropriately accessed 827 patient health records.Unauthorized Access/Disclosure via Electronic Medical Record |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2016 | University of Texas | Email was sent to 794 COBRA participants regarding their premium that showed all recipient email addresses Unauthorized Access/Disclosure via Email |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2016 | University of New Mexico | Mailed invoices for 3rd party payers to random patients’ addresses due to error in billing system. PHI of 2,898 individuals were exposed.Unauthorized Access/Disclosure via Paper/Films |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2016 | Oregon Health & Science University | Failed to act in a timely manner regarding multiple breach reports affecting thousands of individuals. Also failed to have a proper ePHI encryption mechanism in place. | $2.7 Million | [Health Care Dive](https://www.healthcaredive.com/news/hipaa-violations-cause-27m-settlement-against-oregon-university/422979/) |
| 2016 | University of Mississippi | A laptop was likely stolen from the ICU that contained PHI of 10,000 patients; computer security measures were found to be inadequate; Although a password was required to enter the health center’s network, the patient record database was accessible without log in; The username and password for the device was also found to be “generic” | $2.75 Million | [Campus Safety Magazine](http://www.campussafetymagazine.com/article/miss._medical_center_fined_2.75m_for_hipaa_violation) |
| 2016 | University of Wisconsin Hospitals and Clinics Authority | Erroneously emailed 6,923 patient surveys containing PHI to family members instead of directly to patients.Unauthorized Access/Disclosure via Paper/Films |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2017 | Vanderbilt University Medical Center | Transporter employee had accessed 3,247 patients’ EMR and PHI without authorization.Unauthorized Access/Disclosure via Electronic Medical Record |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2017 | Vanderbilt University Medical Center | 3 former employed surgeons accused VUMC of violating Medicare billing regulations in their surgery scheduling practices. | $6.5 Million | [Tennessean.](https://www.tennessean.com/story/money/industries/health-care/2017/07/26/vumc-pay-millions-over-medicare-fraud-allegations/505862001/) |
| 2017 | Virginia Commonwealth University Health System | Two independent employees accessed patient PHI (names, health insurance info, treatment info, etc.) without a legitimate business need.Unauthorized Access/Disclosure via Electronic Medical Record  |  | U.S. Dept. of Health and Human Services, Office of Civil Rights[HIPAA Journal](https://www.hipaajournal.com/virginia-commonwealth-university-health-system-discovers-3-year-hipaa-breach-8724/) |
| 2017 | University of Oklahoma, OU Physicians | Resident physician set university emails to be forwarded to personal account, granting themselves access to PHI of 1,637 individuals.Unauthorized Access/Disclosure via Email |  | U.S. Dept. of Health and Human Services, Office of Civil Rights[HIPAA Journal](https://www.hipaajournal.com/oklahoma-state-university-center-health-sciences-phi-breach/) |
| 2017 | University of Iowa Hospitals and Clinics | Student employee used online data programming tool for a data transfer and failed to label it as private, exposing PHI of 5,292 individuals online for more than a year.Unauthorized Access/Disclosure  |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2017 | University of South Florida Health Care | Participants of a Clinical Trial received PHI (names, family medical hx, etc.) of other participantsUnauthorized Access/Disclosure via Paper/Films |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2018 | University of Texas MD Anderson Cancer Center | Employee erroneously sent 599 research recruitment emails to individuals that allowed them to be seen by other email recipients.Unauthorized Access/Disclosure via Email | $4,348,000 | U.S. Dept. of Health and Human Services, Office of Civil Rights[HIPAA Journal](https://www.hipaajournal.com/hipaa-violation-fines/) |
| 2018 | University of Missouri Health | Incorrect mail merge allowed 706 patient letters to be sent to the wrong addresses. PHI included names, addresses, and treatment information.Unauthorized Access/Disclosure via Paper/Films |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |
| 2018 | DePaul University | Employee sent email to participants in wellness program without blind copying recipients, allowing more than 600 addresses to be visible.Unauthorized Access/Disclosure via Email |  | U.S. Dept. of Health and Human Services, Office of Civil Rights |