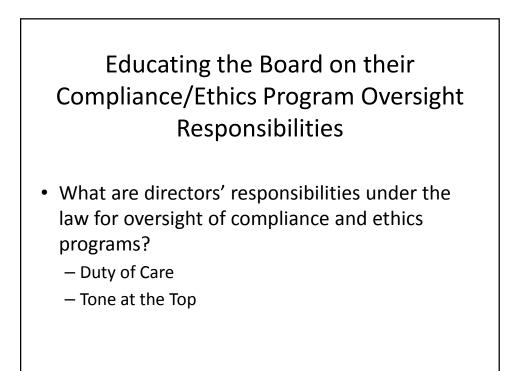
# **Engaging Your Board**

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#### Educating the Board on their Compliance/Ethics Program Oversight Responsibilities, cont'd

- What level of detail is appropriate in reports to the board?
  - Board Members as Informed Decision-makers
  - Charter responsibilities and related documentation
  - Frequency of updates

## Getting Board Buy-in and Commitment of Sufficient Resources

- Positive Inspiration
  - Building trust and confidence
  - Developing a consistent reporting rhythm
    - Timing
    - Level of detail
  - Comparative trend reports (e.g. to show whether resources devoted to compliance have mirrored company growth)

#### Getting Board Buy-in and Commitment of Sufficient Resources, cont'd

- Negative Inspiration
  - Examples of companies sanctioned for lax compliance programs
  - Examples of board members who did not provide effective oversight

## Getting Board Buy-in and Commitment of Sufficient Resources, cont'd

- Positive Reinforcement
  - Sharing compliance successes
  - Comparisons to peers

