


APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)



SCCE's Compliance Risk Assessment and Management Virtual Conference June 21 - 22, 2022

Complete and submit this application to receive a certificate of attendance or continuing education units (CEUs). Check the box(es) below corresponding to the credit type(s) you wish to receive.

Submit this application to the Compliance Certification Board (CCB)[®]:
email: ccb@compliancecertification.org | phone: 952.988.0141 | fax: 952.988.0146

 <p>CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F <i>(Upon receipt of this completed application, this CEU type is automatically assessed)</i></p> <p><input type="checkbox"/> Other Credit type not already listed. _____</p> <p><input type="checkbox"/> NASBA/CPE Individuals MUST participate in the active attendance monitoring.</p>	<p><input type="checkbox"/> FOR ATTORNEYS ONLY: Continuing Legal Education (CLE) Certain sessions may qualify for CLE credits. Submit this application <u>within seven days</u> to allow for state reporting, if required.</p> <p>Many states require active attendance monitoring. Please verify your CLE requirements with your state.</p> <p>State & license # _____</p> <p>State & license # _____</p> <p>State & license # _____</p>
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Active attendance monitoring will be conducted during this virtual conference.

CCB CEUs and certificate will be posted and available online in your account within two-four weeks.

CLE, NASBA, and Other: External credit certificates will be emailed within four weeks.

By signing below, I attest that I HAVE ATTENDED THE SESSION(S) I indicated on this application:

Print your name: _____

Email: _____ Phone: _____

Signature: _____ Date: _____

CONTINUED NEXT PAGE →

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- ★ **ATTENDEES** must indicate "*Attendee*" for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate "*Speaker*" for sessions presented and "*Attendee*" for sessions attended.
- ★ **NOTE** any session time missed if you dialed in late or left early.

Tuesday, June 21

- Attendee Speaker **9:00 – 10:15 AM CDT (1.25 clock hours or 75 minutes)**
Introduction
- Attendee Speaker **10:30 – 11:45 AM CDT (1.25 clock hours or 75 minutes)**
Identification of Compliance Risks
- Attendee Speaker **12:45 – 2:00 PM CDT (1.25 clock hours or 75 minutes)**
Risk Appetite and Tolerance
- Attendee Speaker **2:15 – 3:45 PM CDT (1.5 clock hours or 90 minutes)**
Assessing Severity

Wednesday, June 22

- Attendee Speaker **9:00 – 10:30 AM CDT (1.5 clock hours or 90 minutes)**
Assessing Internal Controls over Compliance
- Attendee Speaker **10:45 AM – 12:00 PM CDT (1.25 clock hours or 75 minutes)**
Risk Response and Mitigation
- Attendee Speaker **1:00 – 2:00 PM CDT (1.0 clock hour or 60 minutes)**
Completing the CRM Cycle
- Attendee Speaker **2:15 – 3:15 PM CDT (1.0 clock hour or 60 minutes)**
Final Considerations

Print your name: _____