

TAMPA

Regional Compliance & Ethics Conference April 26, 2019



Learn, Network & Earn CEUs in Your Neighborhood

The Regional Compliance & Ethics Conference offers convenient opportunities for compliance and ethics training, updates on the latest news in regulatory requirements and enforcement, and strategies to develop effective compliance programs. Additionally, attendees will have ample opportunity to network with industry peers. Breakfast and lunch are also included in the conference price.

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Questions? katie.burk@corporatecompliance.org



7:30 – 8:25 AM

Registration & Continental Breakfast

8:25 AM

Opening Remarks

8:30 – 9:30 AM

GDPR Almost One Year In - What Have We Learned?



Robert Bond
Partner & Notary Public
Bristows LLP



Krista Muszak
Global Compliance Policy, Training
and Communications Manager
Tech Data Corp

- Appreciating the value of policies and procedures
- Increased data incidents, data subject requests, regulatory investigations, and fines
- Why GDPR is setting the tone for global privacy compliance

9:30 – 9:45 AM

Networking Break

9:45 – 10:45 AM

Employment Law and Compliance Matters Update



Lisa Griffin Hodgdon
Of Counsel
Nelson Mullins Broad and Cassel



Carrie B. Cherveney
Chief Compliance Officer and
SVP Strategic Client Solutions
HUB International South Region

- “Me Too,” where are we now?
- What can we learn in 2019 from recent employment law events?
- Takeaways, best practices and practical pointers

10:45 – 11:00 AM

Networking Break

11:00 AM – 12:00 PM

Professional Skepticism



Suri Surinder
Senior Director
Resiliti

- UNDERSTAND the sources of unconscious bias that get in the way of exercising appropriate professional skepticism;
- ASSESS our level of unconscious bias through exercises that reveal our mental skews and predispositions; and
- ANALYZE and mitigate the sources of unconscious bias to help sustain our professional skepticism.

12:00 – 1:00 PM

Lunch

1:00 – 2:00 PM

Data Analytics' Increasing Importance in Compliance



Paul R. DeMuro, PhD
Attorney
Nelson Mullins Broad & Cassel



Tanja Gromadzki, CCEP
Ethics & Compliance Analyst
Tech Data Corporation

- Just what is data analytics
- How and Why it is becoming increasingly pervasive in the compliance space
- What should every compliance professional know

2:00 – 2:15 PM

Networking Break

2:15 – 3:15 PM

Whistleblowers: Who Are They, Why Do They Blow the Whistle, and What Makes a Good Whistleblower Case?



Gabe Imperato, CHC
Partner
Nelson Mullins Broad and Cassel

Steve Grover
Owner/Director
Grover Whistleblower & Employee Rights

- Whistleblowers: Who are they and why do they file Qui Tam cases under the False Claims Act?
- What are typical organization responses to reports of non-compliance and the whistleblower threat?
- What are the most important features of compliance programs that are useful in managing compliance deficiencies and the whistleblower threat?
- How important is minimizing “retaliation” against a reporter and how does an organization achieve this goal?

3:15 – 3:30 PM

Networking Break

3:30 – 4:30 PM

Compliance Officer's Role in Crisis Management

Moderator:

James Green
Senior Consulting Manager
Strategic BCP



Ana-Paola (AP) Capaldo de Aoun
Director, Ethics & Compliance Officer
Tech Data Corp



Robert Bond, CCEP
Partner & Notary Public
Bristows LLP

Andrea Eklund, CHC, CHPC
VP/Chief Compliance Officer
UnityPoint Clinic

- Discuss why Compliance Officers should consider partnering or leading DRBCT (Disaster Recovery and Business Continuity Response Teams).
- Discuss best practices in crisis management programs.
- How to respond to a crisis in Health Care, Higher Ed, Tech and other industries.

REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

<input type="checkbox"/> SCCE Member	\$220
<input type="checkbox"/> Non-Member	\$270
<input type="checkbox"/> Registration & SCCE membership	\$440
<small>Save by joining SCCE today (first-time members only) Dues regularly \$325</small>	
TOTAL \$ _____	

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Mr. Mrs. Ms. Dr.

Member/Account Number (if applicable/known) _____

First Name _____ M.I. _____ Last Name _____

Credentials (CCEP, CPA, CFE, etc.) _____

Job Title _____

Organization (Name of Employer) _____

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City _____ Province/State _____ Postal Code/Zip _____

Country _____

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SPECIAL REQUEST DIETARY ACCOMODATION

Gluten free Kosher Vegetarian Vegan Other _____

PAYMENT METHOD

Mail check (including registration form) to:
SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952.988.0146

Check enclosed (payable to SCCE) Invoice me

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If your total is miscalculated, SCCE will charge your card the correct amount. Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCFL19)

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call SCCE at +1 952.933.4977 or 888.277.4977 with your credit card information, fax your registration to 952.988.0146, or visit corporatecompliance.org/regionals and register online

HOTEL/CONFERENCE LOCATION:

Grand Hyatt Tampa Bay

2900 Bayport Drive, Tampa, FL 33607 | 813.874.1234

A small block of rooms has been reserved for Thursday, April 25 at a rate of \$219 plus applicable state and local taxes, currently 12%. To make your reservation, please click here. Reservations must be received by the cutoff date which is Friday, March 29. After this date, reservations will be accepted on a space availability basis at prevailing rates. The hotel is located 2.5 miles from Tampa International Airport and offers both complimentary airport shuttle and complimentary self-parking.

NOTICE: Neither SCCE nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of SCCE or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

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GROUP DISCOUNT: 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

TAX DEDUCTIBILITY: Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

USE OF INFORMATION: By submitting this registration form, you agree to the use of your information and the terms and conditions stated on this form. To view our Privacy Statement visit corporatecompliance.org/privacy.aspx.

AGREEMENTS & ACKNOWLEDGEMENTS: I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs and/or video at this conference and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in this SCCE event, I grant SCCE the right to use my name, photograph, video, and biography for such purposes. As a participant of this event, my name, job title, organization, city, state, and country will be listed on the attendee list that will be distributed to attendees, speakers, and exhibitors of this event.

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Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to SCCE's website, corporatecompliance.org/all-conferences. Select your conference, and then select the "Continuing Education" option on the left hand menu.

COMPLIANCE CERTIFICATION BOARD (CCB): CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance– Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional–Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional–International (CCEP-I)[®].

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