Berlin

Still Time — *to* — **Register**

7ᵗʰ Annual
European
Compliance & Ethics Institute
10–13 March 2019 | *Berlin, Germany*

Learn from top compliance and ethics professionals and build your professional network at this conference dedicated to learning about the challenges facing the global compliance & ethics community. This is the place to find out about the latest solutions to your compliance and ethics issues, including anti-corruption, data protection, and risk management. The optional Certified Compliance & Ethics Professional-International (CCEP-I)® exam is offered on the last day of the conference. Separate application and fee required.

europeancomplianceethicsinstitute.org

Questions? beckie.smith@corporatecompliance.org

SCCE™
Society of Corporate
Compliance and Ethics

About the Institute

SCCE's European Compliance & Ethics Institute provides an invaluable opportunity to learn the latest practices for effectively addressing the wide range of challenges facing the European compliance & ethics community. Learn directly from experienced compliance and ethics professionals through both formal presentations and informal networking events. Help improve your compliance & ethics programme and develop your expertise in this growing and evolving profession.

Events & Opportunities in 2019

Advanced Discussions

Advanced Discussion sessions are designed to bring compliance professionals from across the world together for a professional exchange of ideas and increase communication among discussion participants.

These are not formal presentations, but are designed to involve everyone in the room.

Advanced Discussions are indicated with an "AD" before the session number and are limited to 50 participants.

These sessions are filled on a first-come, first-served basis onsite. Pre-registration is not available for these sessions.

Volunteer Project
Sunday, 10 March, 9:00 – 11:30

Come to the Institute early and help us give back to the community! SCCE will be partnering with a local organization.

Speed Networking
Sunday, 10 March, 11:30 – 12:30

The Speed Networking event is returning to the European Compliance & Ethics Institute and you won't want to miss this opportunity. This fun, interactive activity will help you build out your network of professional contacts.

A separate registration form is required, but the Speed Networking event is complimentary with your conference registration. You will fill out a brief profile when you sign up that prioritizes the types of compliance professionals you would like to meet. Then, during the event on 25 March, you will receive your lineup of appointments at the beginning of the session. That's all there is to it! Just bring your business card and let the networking begin.

Learn more and register at:

europeancomplianceethicsinstitute.org/ forattendees/speednetworking.aspx

Platinum Sponsors

Plan now to take the CCEP-I certification exam at the Institute

A few letters after your name can make a big difference

Why do people add LLM, CFE, or CPA after their name? They know those initials instantly identify them as experts in their field.

Now's the time to add CCEP-I after your name. Just a few initials will help show your colleagues that what you say isn't just your opinion, but a product of your expertise as a Certified Compliance & Ethics Professional-International (CCEP-I)®.

Apply now to take the CCEP-I exam at the 2018 European Compliance & Ethics Institute

Wednesday, 13 March 2019, 13:30 – 17:00

The CCEP-I exam is optional and requires advance registration and payment separate from the conference registration. To download the exam application, visit europeancomplianceethicsinstitute.org and click the "Certifications" tab. To qualify to sit for the exam, 20.0 CCB CEUs (a minimum of 10.0 live) are required. To obtain CEUs for this conference, fill out the CEU Application on-site at the conference, noting all sessions attended. This conference is worth a maximum of 22.2 CCB CEUs: one clock hour equals 1.2 CCB CEUs.

- Set the bar for your compliance team and demonstrate your current skill in the compliance profession

- Make yourself marketable

- Increase your value in the workplace and to future employers

- Showcase your compliance knowledge and experience

CCEP-I™

CERTIFIED COMPLIANCE & ETHICS PROFESSIONAL-INTERNATIONAL

Programme at a glance

Sunday, 10 March / PRE-CONFERENCE

Time			
9:00 – 11:30	**VOLUNTEER PROJECT**		
10:30 – 17:15	**REGISTRATION OPEN**		
11:30 – 12:30	**SPEED NETWORKING** Participate in Speed Networking to build out your network in just one hour. **Separate registration is required** and is complimentary with your conference registration.		
13:00 – 15:00 **SYNDICATE SESSIONS** 15-MINUTE BREAK	**P1** Overview of the Key Elements for Developing a Compliance Program – *Sheryl Vacca, SVP and Chief Risk Officer, Providence St. Joseph Health; Debbie Troklus, Senior Managing Director, Ankura Consulting Group*	**P2** Navigating Widespread Compliance Challenges Using Case Studies: Third Parties, Facilitating Payments, Gifts & Hospitality, Customs, and Other Blind Spots – *Alexandra Wrage, President, TRACE International, Inc; Gonzalo Guzman, General Counsel - Anti-Corruption, Unilever; Carolyn Lindsey, Head of Ethics and Compliance, Tate & Lyle PLC; Yvonne M. Hilst, Compliance Officer, VEON*	**P3** Corporate Responsibility and Liability: A Global Perspective – *Gabriel L. Imperato, Managing Partner, Nelson Mullins Broad and Cassel*
15:00 – 15:15	**NETWORKING BREAK** (in Exhibit Hall)		
15:15 – 17:15 **SYNDICATE SESSIONS** 15-MINUTE BREAK	**P4** Biases, Nudges, and Habits: Insights from Behavioral Science to Foster an Ethical Culture – *Richard T. Bistrong, CEO, Front-Line Anti-Bribery LLC; Enno Behrendt, Compliance Officer, Siemens Healthineers; Carsten Tams, Independent Consultant and Founder, Emagence*	**P5** Cultural Change That Sticks: How Compliance Can Shift Company Dynamics and Influence Human Behavior – *Kirsten E. Liston, Principal, Rethink Compliance; Paula Davis, Director, Waypoint GRC*	**P6** Compliance the European Way: How to Handle It in a Worldwide Company – *Maria Lancri, Attorney, GGV; Cecilia Fellouse-Guenkel, General Manager, Compliance for Good; Eduard Ivanov, Senior Lecturer, International Anti-Corruption Academy*
17:15 – 18:30	**WELCOME RECEPTION** (in Exhibit Hall)		

Monday, 11 March / CONFERENCE

Time			
8:00 – 18:30	**REGISTRATION OPEN**		
8:00 – 9:00	**CONTINENTAL BREAKFAST** (in Exhibit Hall)		
9:00 – 10:00	**COMMON SESSION** Successes and Failures in the Fight Against Bribery: The OECD Perspective – *Drago Kos, Chair - Working Group on Bribery, OECD*		
10:00 – 11:00	**COMMON SESSION** How to Build a Global Data Protection Plan – *Augusta Speiser, Ethics & Compliance Director and Global Data Protection Officer - Ethics & Compliance, Dentsply Sirona*		
11:00 – 11:30	**NETWORKING BREAK** (in Exhibit Hall)		
11:30 – 12:30 **SYNDICATE SESSIONS**	**101** How to Incentivize Mid-Sized Enterprises to Adopt Robust Compliance Programs: Lessons from Thailand, Africa, and Central Asia – *Anna Kompanek, Director - Global Programs, CIPE; Frank Brown, Director - Anti-Corruption & Governance Center, Center for International Private Enterprise*	**102** Data Breach: The Role of a Compliance Pro When It Goes Wrong – *Jonathan Armstrong, Partner, Cordery; Pete Wood, Retired, Former CEO, First Base Technologies LLP; Kevin Paterson, Senior EMEA Legal Counsel and DPO, Insight*	*ADVANCED DISCUSSION** **AD103** What Will Your Employees Say? The Importance of Cultural Assessments – *Art R. Weiss, Chief Compliance & Ethics Officer, TAMKO Building Products*
12:30 – 13:30	**NETWORKING LUNCH**		
13:30 – 14:30 **SYNDICATE SESSIONS**	**201** Becoming "Invited In": Creating Compliance "Addicts" Globally – *Nadège Rochel, CCEP-I, Global Compliance Manager, Hollister Incorporated; Susan A. Roberts, Chief Compliance Officer, Hollister Incorporated*	**202** The Code of Conduct and Its Communication Campaign: A Perfect Match! – *Lara Bonora, Head - Group Compliance Culture, Academy & Communication, UniCredit S.p.A.*	*ADVANCED DISCUSSION** **AD203** AMP IT UP: Move Your Compliance Program from Good to Great – *Jacki Cheslow, Director - Business Ethics and Compliance, Avis Budget Group*
14:30 – 15:00	**NETWORKING BREAK** (in Exhibit Hall)		
15:00 – 16:00 **SYNDICATE SESSIONS**	**301** Effective Communication After a Merge: The Highs and Lows – *Maaike Burger, Director - Ethics and Compliance, Coca-Cola European Partners; Catherine Foster, Associate Director - Ethics and Compliance, Coca-Cola European Partners*	**302** The Two Dangerous "Cs": Cartels and Corruption – *Christina Hummer, Partner, SCWP Schindhelm; Heiko Hellwege, Partner, SCWP Schindhelm*	*ADVANCED DISCUSSION** **AD303** Compliance Officer Dilemmas: Making Tough Decisions in the International Business Arena – *Louis J. Perold, Global Compliance Manager, Jabil*
16:00 – 16:15	**NETWORKING BREAK** (in Exhibit Hall)		
16:15 – 17:15 **SYNDICATE SESSIONS**	**401** Global Compliance Programs — Applying the Positive: How to Remove the Complexity, Mystery, and Negative Stigma Around Your Program – *Susan Du Becker, Global Compliance Enablement, Cisco Systems B.V.*	**402** The Risks in Assessing Risk – *Adam Turteltaub, Vice President - Strategic Initiatives and International Programs, SCCE & HCCA*	*ADVANCED DISCUSSION** **AD403** What Problem Are You Solving? A Tactical Approach to Training – *Ricardo P. Pellafone, Founder, Broadcat*
17:15 – 18:30	**NETWORKING RECEPTION** (in Exhibit Hall)		

(Agenda is subject to change.)

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Programme at a glance

Tuesday, 12 March / CONFERENCE

Time			
8:00 – 16:00	**REGISTRATION OPEN**		
8:00 – 9:00	**CONTINENTAL BREAKFAST** (in Exhibit Hall)		
9:00 – 10:00	**COMMON SESSION** How We Broke the Panama Papers – **Bastian Obermayer**, *Author*, The Panama Papers: Breaking the Story of How the Rich and Powerful Hide Their Money		
10:00 – 11:00	**COMMON SESSION** Current State of Compliance – **Philipp Klarmann**, *Vice President - Head of Investigations and Anti-Corruption, SAP;* **Arturo Hernandez**, *Senior Director of Global Compliance, RPM International, Inc;* **Cecilia Fellouse-Guenkel**, *General Manager, Compliance for Good;* **Gemma Aiolfi**, *Head of Compliance - Corporate Governance and Collective Action, Basel Institute on Governance*		
11:00 – 11:30	**NETWORKING BREAK** (in Exhibit Hall)		
11:30 – 12:30 **SYNDICATE SESSIONS**	**501** Emerging Practices in Addressing Modern Slavery Risks for Companies – **Sarah Carpenter**, *Manager - Business & Human Rights, Assent Compliance;* **Therese Deane**, *Senior Manager - Global Compliance & Quality Systems, Macom*	**502** Broccoli: The Art of Activating Rules and Norms in the Day-to-Day Practice of Employees – **Maarten Hoekstra**, *Senior Expert - Compliance Development, ABN AMRO Bank*	*ADVANCED DISCUSSION** **AD503** #WeToo: Is Your Organization Ready to Respond Properly to Allegations of Sexual Harassment? – **Cedric Bourgeois**, *Principal Investigator, UNESCO*
12:30 – 13:30	**NETWORKING LUNCH**		
13:30 – 14:30 **SYNDICATE SESSIONS**	**601** Development of Compliance Culture from Scratch in CIS: Based on a True Story – **Alexander Khaki**, *Executive Director, CSI Group;* **Rafael Zokhrabyan**, *Legal Director, Delegation Russia, Ukraine, and CIS, Saint-Gobain*	**602** Beyond GDPR Comes What? – **Robert J. Bond**, *Partner & Notary Public, Bristows LLP;* **Michael Bond**, *Head of Data Protection, News UK*	*ADVANCED DISCUSSION** **AD603** Decision-Making: The Secret Ingredient of True Leadership – **Jeffrey A. Thinnes**, *CEO, JTI, Inc.*
14:30 – 14:45	**NETWORKING BREAK** (in Exhibit Hall)		
14:45 – 15:45 **SYNDICATE SESSIONS**	**701** How to Use Rigorous Culture Diagnosis to Build and Maintain a Culture of Integrity – **Jane Mitchell**, *Director, JL&M Ltd, Karian and Box Ltd;* **James Tarbit**, *Senior Director, Karian and Box Ltd*	**702** Compliance Transformation in Central Europe: Will Integrity Management and Digital Compliance Bring Us the Future of Sustainable Compliance Programs? – **Andreas Pyrcek**, *Partner, Ernst & Young;* **Markus Juettner**, *Vice President - Compliance, E.ON SE*	*ADVANCED DISCUSSION** **AD703** Advanced Investigation Issues – **Gerry Zack**, *Incoming CEO, SCCE & HCCA*
15:45 – 16:00	**NETWORKING BREAK** (in Exhibit Hall)		
16:00 – 17:00 **SYNDICATE SESSIONS**	**801** A Mouse's Tale of a Whistleblower: Best Practices for Whistleblower Protection and Non-Retaliation Policies – **Ian Moolman**, *Compliance Officer;* **Paula Davis**, *Director, Waypoint GRC*	**802** The Reality of Speaking Up: An IBE Practical Tool for Managing Expectations of the Speak Up Journey – **Rozlyn Spinks**, *Head of Advisory Services, Institute of Business Ethics*	*ADVANCED DISCUSSION** **AD803** Solving Compliance Problems with LEGO®s – **Nichole M. Pitts**, *Founder & CEO, Ethintegrity*

Wednesday, 13 March / POST-CONFERENCE

Time		
8:00 – 12:00	**REGISTRATION OPEN**	
8:30 – 10:00 **SYNDICATE SESSIONS**	**W1** Launching Ladies into Senior Leadership: Part 1 – **Kristy Grant-Hart**, *Owner, Spark Compliance Consulting;* **Jenny O'Brien**, *Chief Compliance Officer, UnitedHealthcare;* **Kirsten E. Liston**, *Principal, Rethink Compliance*	**W2** The Establishment of the Compliance Function: The Framework and the Role of Internal Auditing – **Waheed H. Kahtani**, *Internal Auditing, Saudi Aramco*
10:00 – 10:15	**NETWORKING BREAK** (in Exhibit Hall)	
10:15 – 11:45 **SYNDICATE SESSIONS**	**W3** Launching Ladies into Senior Leadership: Part 2 – **Kristy Grant-Hart**, *Owner, Spark Compliance Consulting;* **Jenny O'Brien**, *Chief Compliance Officer, UnitedHealthcare;* **Kirsten E. Liston**, *Principal, Rethink Compliance*	**W4** Do You Really Know Who You're Doing Business With? – **Rossana Buzzi**, *Assistant General Counsel - Compliance and Leader - South Europe, Invensys PLC*
13:00 – 13:30	**CHECK-IN FOR THE CCEP-I EXAM**	
13:30 – 17:00	**CERTIFIED COMPLIANCE AND ETHICS PROFESSIONAL-INTERNATIONAL (CCEP-I)® EXAM**	

(Agenda is subject to change.)

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Agenda

Sunday, 10 March

9:00–11:30
VOLUNTEER PROJECT
(pre-registration required)

10:30–17:15
REGISTRATION OPEN

11:30–12:30
SPEED NETWORKING
(pre-registration required)

Participate in Speed Networking to build out your network in just one hour. Separate registration is required and can be found at:
europeancomplianceethicsinstitute.org/forattendees/speednetworking.aspx

13:00–15:00
SYNDICATE SESSIONS
(15-minute break included)

P1 Overview of the Key Elements for Developing a Compliance Program

Sheryl Vacca, *SVP and Chief Risk Officer, Providence St. Joseph Health*

Debbie Troklus, *Senior Managing Director, Ankura Consulting Group*

- Identify the key elements of developing a compliance program
- Identify tools to help with the program development
- Network with class participants in addressing challenges in developing a program

P2 Navigating Widespread Compliance Challenges Using Case Studies: Third Parties, Facilitating Payments, Gifts & Hospitality, Customs, and Other Blind Spots

Alexandra Wrage, *President, TRACE International, Inc*

Gonzalo Guzman, *General Counsel - Anti-Corruption, Unilever*

Carolyn Lindsey, *Head of Ethics and Compliance, Tate & Lyle PLC*

Yvonne M. Hilst, *Compliance Officer, VEON*

- How much due diligence is due? Making difficult decisions on high risk third parties
- Differentiating between genuine gift-giving, hospitality, and improper conduct
- When "routine" payments violate anti-bribery laws — handling tax, customs and other administrative payments and expediting fees

P3 Corporate Responsibility and Liability: A Global Perspective

Gabriel L. Imperato, *Managing Partner, Nelson Mullins Broad and Cassel*

- Responsibility and liability for global organizations in the United States, United Kingdom, and Europe
- Cooperation with government investigations — what it means and where
- Government enforcement & oversight and employee rights & obligations in global organizations

15:00–15:15
NETWORKING BREAK

15:15–17:15
SYNDICATE SESSIONS
(15-minute break included)

P4 Biases, Nudges, and Habits: Insights from Behavioral Science to Foster an Ethical Culture

Richard T. Bistrong, *CEO, Front-Line Anti-Bribery LLC*

Enno Behrendt, *Compliance Officer, Siemens Healthineers*

Carsten Tams, *Independent Consultant and Founder, Emagence*

- Nudging techniques — such as social norms, default rules, simplifications, and others — that participants can use to make ethical choices more likely in their organizations
- Insights into Siemens Healthineers' work towards a habit-based compliance culture — a culture that does not deny human behavior, but manages responsibility by putting human decision-making at the center of attention
- Some of the behavioral influences and financial pressures that impacted decisions of an International Sales VP, and how those issues can be surfaced and addressed to inspire ethical decision-making

P5 Cultural Change That Sticks: How Compliance Can Shift Company Dynamics and Influence Human Behavior

Kirsten E. Liston, *Principal, Rethink Compliance*

Paula Davis, *Director, Waypoint GRC*

- The traditional "prevent-detect-punish" model of compliance has its merits. Among other things, it allows companies to demonstrate that they are doing everything possible to identify and address unethical behavior.
- People and companies are complex and nuanced. While infrastructure and systems matter, real change can only come from a more sophisticated appreciation of the role of human psychology and organizational culture.
- Personal and cultural factors that can drive resistance to compliance controls and messages — and strategies designed to address each

P6 Compliance the European Way: How to Handle It in a Worldwide Company

Maria Lancri, *Attorney, GGV*

Cecilia Fellouse-Guenkel, *General Manager, Compliance for Good*

Eduard Ivanov, *Senior Lecturer, International Anti-Corruption Academy*

- How to convince the non-EU holding company to adapt programs and the worlwide documentation to local regulators guidelines
- How to deal with regulators when the compliance program follows foreign guidelines
- How to convince the management of a European company that compliance is more than documentation

17:15–18:30
WELCOME RECEPTION

(Agenda is subject to change.)

INTERNATIONAL BASIC COMPLIANCE & ETHICS ACADEMIES

2019

Dubai, UAE
13-16 January

Hong Kong
11-14 February

Amsterdam
6-9 May

Singapore
15-18 July

São Paulo
26-29 August

Madrid
23-26 September

ELEVATE YOUR COMPLIANCE KNOWLEDGE

Budgets are tight, governments around the world are looking to add new regulations, public trust in business is low, and employees are tempted to cut corners. Get the tools you need to face these challenges, and manage an effective compliance program by attending an International Basic Compliance & Ethics Academy. Our Academies are ideal for compliance professionals who are looking to elevate their skills and knowledge.

SCCE's academies are not a conference, but instead provide in-depth, classroom-style training in compliance and ethics. You will gain insight into topics that are at the heart of compliance practice.

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Agenda

Monday, 11 March

8:00–18:30
REGISTRATION OPEN

8:00–9:00
CONTINENTAL BREAKFAST

9:00–10:00
COMMON SESSION Successes and Failures in the Fight Against Bribery: The OECD Perspective

Drago Kos, *Chair - Working Group on Bribery, OECD*

- Achievements of countries in fighting foreign bribery
- Challenges undermining global anti-corruption efforts
- Future perspectives to have in order to motivate countries and companies to do more in enhancing compliance

10:00–11:00
COMMON SESSION How to Build a Global Data Protection Plan

Augusta Speiser, *Ethics & Compliance Director and Global Data Protection Officer - Ethics & Compliance, Dentsply Sirona*

- Challenges and lessons learned from the GDPR implementation
- Understanding other data protection and privacy risks in jurisdictions outside of Europe
- Creating one global program — is it possible?

11:00–11:30
NETWORKING BREAK

11:30–12:30
SYNDICATE SESSIONS

101 How to Incentivize Mid-Sized Enterprises to Adopt Robust Compliance Programs: Lessons from Thailand, Africa, and Central Asia

Anna Kompanek, *Director - Global Programs, CIPE*

Frank Brown, *Director - Anti-Corruption & Governance Center, Center for International Private Enterprise*

- How to motivate one of the risk-prone segments of global value chains, based on lessons learned by a trainer with experience from Africa to Eurasia
- What sets mid-sized businesses apart from large corporations and how to tailor your program to accommodate smaller firms' needs
- How access to credit and export control preferences have proven to be strong incentives in engaging mid-sized companies, which are generally not as sensitive to enforcement actions

102 Data Breach: The Role of a Compliance Pro When It Goes Wrong

Jonathan Armstrong, *Partner, Cordery*

Pete Wood, *Retired, Former CEO, First Base Technologies LLP*

Kevin Paterson, *Senior EMEA Legal Counsel and DPO, Insight*

- Data breaches are about the most challenging tasks that a compliance professional has to face. Under GDPR data breaches are truly "bet the company" events.
- Discussion of the role of the compliance officer when things go wrong
- A chronological walk-through of a breach, based on real-life events and the real-life expertise of handling more than 60 breaches

AD103* What Will Your Employees Say? The Importance of Cultural Assessments

Art R. Weiss, *Chief Compliance & Ethics Officer, TAMKO Building Products*

- Why a Cultural Assessment? One of the first things government agencies do after targeting an organization is to survey its employees. You need to know what your employees will tell the government before it happens.
- How are they used? Government agents and lawyers will interview your employees to get an idea of your culture. Does senior management really practice what it preaches? Do they have integrity? Do they behave ethically?
- How can you use your assessment to take corrective action. Is it too late? Are your employees going to help or hurt you?

12:30–13:30
NETWORKING LUNCH

13:30–14:30
SYNDICATE SESSIONS

201 Becoming "Invited In": Creating Compliance "Addicts" Globally

Nadège Rochel, *CCEP-I, Global Compliance Manager, Hollister Incorporated*

Susan A. Roberts, *Chief Compliance Officer, Hollister Incorporated*

- How to develop as individuals and as teams to enhance the perception of the Compliance Function — to be more approachable, trusted, and practical, while continuing to ensure compliance — and become "invited in" by the business
- See enhanced training, communication, and awareness activities that enabled a small team of Compliance professionals to create "Compliance Addicts" and help our teams to understand and follow applicable laws around the world
- Tips, tools, games, and instructions that can be adapted to fit your program

202 The Code of Conduct and Its Communication Campaign: A Perfect Match!

Lara Bonora, *Head - Group Compliance Culture, Academy & Communication, UniCredit S.p.A.*

- Learn how to encourage a culture of "doing the right thing" — with the ultimate goal of protecting the reputation and strengthening sustainability and competitiveness of business.
- The Code of Conduct's effectiveness greatly depends on its communication. No matter how good the document is, it will be meaningless unless fostered and spread out.
- It is fundamental to live and enjoy the Code of Conduct through different initiatives: tone from the top, brochure publication, dedicated events, videos, gamification, posters, gadgets, etc.

AD203* AMP IT UP: Move Your Compliance Program from Good to Great

Jacki Cheslow, *Director - Business Ethics and Compliance, Avis Budget Group*

- Now that the building blocks for a good compliance program — policies, training, assessments, remediation, and more — are in place, what more is needed to move your program from good to great?
- This session is designed to be a fun and interactive open discussion among peers. The goal is to get and keep your creative juices flowing and help you to identify best practices and strategies for elevating your program to the next level.
- Join us as we tap into the wisdom of the crowd rather than rely on a "sage on the stage".

14:30–15:00
NETWORKING BREAK

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Agenda

15:00–16:00
SYNDICATE SESSIONS

301 Effective Communication After a Merge: The Highs and Lows

Maaike Burger, *Director - Ethics and Compliance, Coca-Cola European Partners*

Catherine Foster, *Associate Director - Ethics and Compliance, Coca-Cola European Partners*

- How we integrated existing Ethics and Compliance Programmes after the merger of three EU companies (14 countries) — and the lessons learnt
- The highs and lows of integrating and embedding the various elements of the Ethics and Compliance Programme, including our campaign approach
- The challenges and opportunities in informing and consulting with the various Works Councils

302 The Two Dangerous "Cs": Cartels and Corruption

Christina Hummer, *Partner, SCWP Schindhelm*

Heiko Hellwege, *Partner, SCWP Schindhelm*

- Overview of the scope of the interpretation of a cartel, showing that this area almost turned into a strict liability tort claim with outrageous consequences
- How a simple marketing idea can turn into entanglement of numerous facets of corruption, fraud, and fiscial evasion
- Practical guidance on how not to get into such trouble — including stress tests of compliance programs, how to taylor and set up the perfect compliance program for a company, and internal amnesty programs

AD303* Compliance Officer Dilemmas: Making Tough Decisions in the International Business Arena

Louis J. Perold, *Global Compliance Manager, Jabil*

- Bring theory into practice with this interactive session, where you will be confronted with real-life examples of conflicts on the ground between business interests and anti-corruption compliance expectations.
- Put yourself in the shoes of the business in making tough decisions, and debate the different aspects and consequences of those decisions. Doing business with integrity is not easy; how can the compliance role prepare and assist businesses in tough decisions?
- How do you make a tough decision when the rules are black and white but reality is grey? What aspects do you weigh in on? Which stakeholders are important to include?

16:00–16:15
NETWORKING BREAK

16:15–17:15
SYNDICATE SESSIONS

401 Global Compliance Programs — Applying the Positive: How to Remove the Complexity, Mystery, and Negative Stigma Around Your Program

Susan Du Becker, *Global Compliance Enablement, Cisco Systems B.V.*

- Who *are* you? In order to build your program, you need to understand your company culture, the critical areas for focus, and what is important for *the business*. What does this really mean? And who do you need to garner support from?
- What is the real focus? Too many times we get caught up in the weeds of *only* looking at financial and regulatory practice. Start small, think tall. You cannot solve all the problems, but you can build on a strong foundation — how, when, and who should?
- People — how to get them on board, willing and able to help drive the program of compliance and ethics in the company; Relevancy — making it real for your business and the employees.

402 The Risks in Assessing Risk

Adam Turteltaub, *Vice President - Strategic Initiatives and International Programs, SCCE & HCCA*

- A look at behavioral research that shows how bad humans can be at assessing risk
- Interactive segments that will test the audience's ability to assess risk and probability
- How to avoid getting caught in the most common traps

AD403* What Problem Are You Solving? A Tactical Approach to Training

Ricardo P. Pellafone, *Founder, Broadcat*

- Focusing on function, not format, to take a problem-centered approach
- How to know when training is appropriate — and when you should do something else
- Practical questions you need to answer before you start making or buying training

17:15–18:30
NETWORKING RECEPTION

Tuesday, 12 March

8:00–16:00
REGISTRATION OPEN

8:00–9:00
CONTINENTAL BREAKFAST

9:00–10:00
COMMON SESSION How We Broke the Panama Papers

Bastian Obermayer, *Author,* The Panama Papers: Breaking the Story of How the Rich and Powerful Hide Their Money

- How a cryptic message turned into one of journalism's biggest scoops
- How a yearlong investigation — involving nearly 400 journalists — was kept secret

10:00–11:00
COMMON SESSION Current State of Compliance

MODERATOR

Philipp Klarmann, *Vice President - Head of Investigations and Anti-Corruption, SAP*

PANEL

Arturo Hernandez, *Senior Director of Global Compliance, RPM International, Inc*

Cecilia Fellouse-Guenkel, *General Manager, Compliance for Good*

Gemma Aiolfi, *Head of Compliance - Corporate Governance and Collective Action, Basel Institute on Governance*

11:00–11:30
NETWORKING BREAK

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Agenda

European Compliance & Ethics Institute | 10–13 March 2019 | *Berlin, Germany*

11:30–12:30
SYNDICATE SESSIONS

501 Emerging Practices in Addressing Modern Slavery Risks for Companies

Sarah Carpenter, *Manager - Business & Human Rights, Assent Compliance*

Therese Deane, *Senior Manager - Global Compliance & Quality Systems, Macom*

- New research on addressing modern slavery risk in your operations and supply chain
- Building corporate policies and procedures to address regulations
- New tools and leading practice on conducting due diligence

502 Broccoli: The Art of Activating Rules and Norms in the Day-to-Day Practice of Employees

Maarten Hoekstra, *Senior Expert - Compliance Development, ABN AMRO Bank*

- Regulation is becoming more complex and dynamic. Regulatory preassure and unintended consequences of regulation is seen as a key challenge by regulators. Yet, most compliance functions do not have an answer on how to deal with this.
- Most efforts to ensure compliance focus on simplifying or improving access to policies via better search or even artificial intelligence. However, there is opportunity in looking for simplicity and humanity in the rules via a simple, six-step process.
- The broccoli metaphor helps to recode existing rules by adding curiousity, engagement, relevance, and attention and thereby making it more human, closer to the spirit of the rules, and more intrinsically motivating.

AD503* #WeToo: Is Your Organization Ready to Respond Properly to Allegations of Sexual Harassment?

Cedric Bourgeois, *Principal Investigator, UNESCO*

- A harassment-free work environment is paramount to institutional integrity. How organizations handle allegations conveys the tone at the top, especially when they relate to sexual harassment.
- Your compliance program already has valuable elements to build a proper response to allegations of sexual harassment. Learn how to transfer those skills to the challenge the #MeToo campain has highlighted.
- This session will explore the specifics of investigations into allegations of harassment, including legal challenges and high scrutiny from a wide variety of stakeholders.

12:30–13:30
NETWORKING LUNCH

13:30–14:30
SYNDICATE SESSIONS

601 Development of Compliance Culture from Scratch in CIS: Based on a True Story

Alexander Khaki, *Executive Director, CSI Group*

Rafael Zokhrabyan, *Legal Director, Delegation Russia, Ukraine, and CIS, Saint-Gobain*

- Perception of compliance — CIS vs EU/US — and filling the gaps
- How to promote the compliance culture — avoiding pitfalls
- Compliance infrastructure — key tools to use

602 Beyond GDPR Comes What?

Robert J. Bond, *Partner & Notary Public, Bristows LLP*

Michael Bond, *Head of Data Protection, News UK*

- In almost a year since the General Data Protection Regulation came into force, what have we learned? Have there been major investigations and fines and have data subjects learned to exercise their privacy rights? Was it really as bad as we thought?
- Apart from GDPR, how have the NIS Directive and the Trade Marks Directive and the E-Privacy Regulation influenced our compliance programmes? Hasn't Cyberrisk and privacy become the No.1 C-Suite issue?
- How can global enterprises develop and maintain compliance around data privacy and cyber security in an ever-increasingly-complex digital environment for marketing, advertising, profiling, AI, robotics, and also legitimate business needs?

AD603* Decision-Making: The Secret Ingredient of True Leadership

Jeffrey A. Thinnes, *CEO, JTI, Inc.*

- Why the "how" to decision-making is so important for successful companies
- Considering the "people impact" of decisions
- Helping future leaders understand what this means for them and for building trust

14:30–14:45
NETWORKING BREAK

14:45–15:45
SYNDICATE SESSIONS

701 How to Use Rigorous Culture Diagnosis to Build and Maintain a Culture of Integrity

Jane Mitchell, *Director, JL&M Ltd, Karian and Box Ltd*

James Tarbit, *Senior Director, Karian and Box Ltd*

- In this session you will see how to assess the health of your 'culture of integrity' by looking at the interplay between individual and organisational behaviour, and critically, their impact on each other across 12 dimensions of culture.
- When the dimensions are combined, a clear picture emerges of the overall culture of your organisation, its impact on your ethics and compliance programme and, critically, what may be reinforcing or blocking ethical leadership and decision-making.
- You will see which dimensions *are* important to embedding an ethical culture, such as levels of *safety* in employees, whether they feel they have a voice and how *participation* and *communication* leave few places for unethical behaviours to hide.

702 Compliance Transformation in Central Europe: Will Integrity Management and Digital Compliance Bring Us the Future of Sustainable Compliance Programs?

Andreas Pyrcek, *Partner, Ernst & Young*

Markus Juettner, *Vice President - Compliance, E.ON SE*

- The future of compliance in Central Europe, especially the role of values and ethics; compliance needs more than good policies and procedures — will the future bring in more behavioral ethics and technology?
- How can employees experience integrity, ethics, and compliance as part of the daily business and not as a burden? What are the challenges and opportunities in building value-based compliance and ethics programs in a European context?
- How does the digital transformation enable organizations in a cultural change — but also impact the role of the compliance office, the compliance program, and the way we as professionals manage new and emerging risks?

AD703* Advanced Investigation Issues

Gerry Zack, *CEO, SCCE & HCCA*

- Managing complex investigations
- Use of electronic evidence
- Internal "politics" of investigations

15:45–16:00
NETWORKING BREAK

(Agenda is subject to change.)

* Advanced Discussions are limited to 50 attendees and will be filled on a first-come, first-served basis. (Pre-registration is not available.)

Agenda

16:00–17:00
SYNDICATE SESSIONS

801 A Mouse's Tale of a Whistleblower: Best Practices for Whistleblower Protection and Non-Retaliation Policies

Ian Moolman, *Compliance Officer*

Paula Davis, *Director, Waypoint GRC*

- The truth shall prevail — strategies for full commitment to non-retaliation policy
- Building decisive policies and procedures
- Practices that work — seeing is believing

802 The Reality of Speaking Up: An IBE Practical Tool for Managing Expectations of the Speak Up Journey

Rozlyn Spinks, *Head of Advisory Services, Institute of Business Ethics*

- Key risks and experiences within a Speak Up journey; group discussion
- IBE Speak Up App: a tool to manage expectations and encourage people to Speak Up
- Putting in place resources to support the tool

AD803* Solving Compliance Problems with LEGO®s

Nichole M. Pitts, *Founder & CEO, Ethintegrity*

- A new and innovative way to train your employees on compliance issues by using the LEGO® bricks we played with as kids
- A fun and exciting workshop where we will use LEGO®s to train on compliance topics and strategies
- The LEGO® Serious Play® method enables you to have fun while tackling real problems that compliance professionals face while discovering new and creative solutions

Wednesday, 13 March

8:00–12:00
REGISTRATION OPEN

8:30–10:00
SYNDICATE SESSIONS

W1 Launching Ladies into Senior Leadership: Part 1

Kristy Grant-Hart, *Owner, Spark Compliance Consulting*

Jenny O'Brien, *Chief Compliance Officer, UnitedHealthcare*

Kirsten E. Liston, *Principal, Rethink Compliance*

- Are you ready to launch into the highest levels of leadership? Joining the C-Suite? Being on the Board? Then this session is for you.
- Gain practical tips and tools on how to win champions and mentors, use verbal language and body language to zip your confidence.
- Workshop-style session focused on developing a strategic leadership development path.

W2 The Establishment of the Compliance Function: The Framework and the Role of Internal Auditing

Waheed H. Kahtani, *Internal Auditing, Saudi Aramco*

- An overview of the main steps to establish the corporate compliance function and the role of internal auditing in this process
- Identify and recognize the risks associated with the compliance function/the proper controls
- Address the merits of having two aligned functions and the potential of combining the corporate compliance and internal audit functions

10:00–10:15
NETWORKING BREAK

10:15–11:45
SYNDICATE SESSIONS

W3 Launching Ladies into Senior Leadership: Part 2

Kristy Grant-Hart, *Owner, Spark Compliance Consulting*

Jenny O'Brien, *Chief Compliance Officer, UnitedHealthcare*

Kirsten E. Liston, *Principal, Rethink Compliance*

- Get ready to apply the practical tools provided in Part 1 through interactive exercises such as negotiating skills.
- Learn why your network is your net worth and how to grow it strategically and raise your profile.
- Leave the session with concrete "next steps" that position you to move up the ladder.

W4 Do You Really Know Who You're Doing Business With?

Rossana Buzzi, *Assistant General Counsel - Compliance and Leader - South Europe, Invensys PLC*

- What are the third party risks in today's world? Identifying which are the areas of risks and regulations that your organization may face when dealing with third parties and counterparties
- Spot the unknown — keeping on top of ever-changing economic and trade sanctions is a real challenge for organizations; how to minimize your risks
- How to build an effective business approach to third-parties risks

13:00–13:30
CHECK-IN FOR THE CCEP-I EXAM

13:30–17:00**
CERTIFIED COMPLIANCE & ETHICS PROFESSIONAL–INTERNATIONAL (CCEP-I)® EXAM

The CCEP-I exam is optional and requires advance application and payment separate from the conference registration. The exam is offered only in English.

** *If you are not present at the start of the specified "Exam Time" (as determined by the exam proctor), you will not be allowed to sit for the exam. Actual exam duration is 150 minutes, per the Candidate Handbook. Time range includes mandatory exam procedures and proctor instructions.*

(Agenda is subject to change.)

* Advanced Discussions are limited to 50 attendees and will be filled on a first-come, first-served basis. (Pre-registration is not available.)

Register

YOUR FULL NAME _____
(please type or print)

1 DEMOGRAPHIC INFORMATION

Thank you for sharing your demographic information with SCCE. It will help us create better networking opportunities for you.

What is your functional job title? Please select one.

- ☐ Academic/Professor
- ☐ Administration
- ☐ Analyst
- ☐ Asst Compliance Officer
- ☐ Attorney (In-House Counsel)
- ☐ Attorney (Outside Counsel)
- ☐ Audit Analyst
- ☐ Audit Manager/Officer
- ☐ Billing Manager/Officer
- ☐ Charger Master
- ☐ Chief Compliance Officer
- ☐ Chief Executive Officer
- ☐ Chief Financial Officer
- ☐ Chief Information Officer
- ☐ Chief Medical Officer
- ☐ Chief Operating Officer
- ☐ Clinical
- ☐ Coder
- ☐ Compliance Analyst
- ☐ Compliance Coordinator
- ☐ Compliance Director
- ☐ Compliance Fraud Examiner
- ☐ Compliance Officer
- ☐ Compliance Specialist
- ☐ Consultant
- ☐ Controller
- ☐ Corporate Responsibility & Performance
- ☐ Ethics & Integrity Officer
- ☐ Executive Director
- ☐ General Corporate Counsel
- ☐ Human Resources
- ☐ Information Technology
- ☐ Nurse
- ☐ Privacy Officer
- ☐ President
- ☐ Quality Assurance
- ☐ Regulatory Affairs
- ☐ Reimbursement Coordinator
- ☐ Risk Management
- ☐ Security/Services Technology
- ☐ Trainer/Educator
- ☐ Vice President
- ☐ Other (please indicate below)

List others not listed here: _____

_____

_____

What credentials do you hold? Select all that apply.

- ☐ ACHE
- ☐ AIC
- ☐ APA
- ☐ BA
- ☐ BBA
- ☐ BS
- ☐ BSN
- ☐ CAMS
- ☐ CCEP
- ☐ CCEP-I
- ☐ CCS
- ☐ CCS-P
- ☐ CEM
- ☐ CFE
- ☐ CGMS
- ☐ CHC
- ☐ CHE
- ☐ CHP
- ☐ CHPC
- ☐ CHRC
- ☐ CIA
- ☐ CIP
- ☐ CIPP
- ☐ CPA
- ☐ CPC
- ☐ CPHQ
- ☐ CUSECO
- ☐ DDS
- ☐ ESQ
- ☐ FCA
- ☐ FHFMA
- ☐ ISS
- ☐ JD
- ☐ LLM
- ☐ MA
- ☐ MBA
- ☐ MHA
- ☐ MPA
- ☐ MPH
- ☐ MS
- ☐ MSHA
- ☐ MSN
- ☐ MT
- ☐ NHA
- ☐ PCI
- ☐ PhD
- ☐ PMP
- ☐ RHIA
- ☐ RHIT
- ☐ RN
- ☐ SADR
- ☐ SCLA

List others not listed here: _____

_____

_____

What best describes the industry you work for? Please select one.

- ☐ Accounting/Auditing
- ☐ Administrative and Support Services
- ☐ Advertising/Marketing/ Public Relations
- ☐ Aerospace/Aviation/Defense
- ☐ Agriculture
- ☐ Airlines
- ☐ Architectural Services
- ☐ Arts/Entertainment/Media
- ☐ Automotive/Motor Vehicles/Parts
- ☐ Banking
- ☐ Biotechnical and Pharmaceutical
- ☐ Chemical/Polymers/Fibers
- ☐ Computer Hardware
- ☐ Computer Services
- ☐ Computer Software
- ☐ Construction
- ☐ Consulting Services
- ☐ Consumer Products
- ☐ Customer Service/Call Center
- ☐ Education/Training/Library
- ☐ Electronics
- ☐ Energy
- ☐ Engineering
- ☐ Environmental Services
- ☐ Finance/Economics
- ☐ Financial Services
- ☐ Forest Products
- ☐ Government/Policy
- ☐ Healthcare
- ☐ Higher Education
- ☐ Hospitality/Tourism
- ☐ Human Resources/Recruiting
- ☐ Information Technology
- ☐ Installation/Maintenance/Repair
- ☐ Insurance
- ☐ Internet/E-Commerce
- ☐ Law Enforcement/Security Services
- ☐ Legal
- ☐ Manufacturing and Production
- ☐ Military
- ☐ Mining
- ☐ Operations Management
- ☐ Personal Care and Service
- ☐ Publishing/Printing
- ☐ Purchasing
- ☐ Real Estate/Mortgage
- ☐ Research & Development
- ☐ Restaurant and Food Service
- ☐ Retail/Wholesale
- ☐ Science
- ☐ Sports and Recreation/Fitness
- ☐ Supply Chain/Logistics
- ☐ Telecommunications
- ☐ Textiles
- ☐ Tobacco
- ☐ Transportation/Warehousing
- ☐ Veterinary Services
- ☐ Utilities
- ☐ Waste Management Services
- ☐ Other (please indicate below)

List others not listed here: _____

_____

_____

Are you a first-time attendee of this conference?

☐ This is my first European Compliance & Ethics Institute

(REGISTRATION CONTINUES ON NEXT PAGE)

Register

2 CONTACT INFORMATION *(Please type or print.)*

○ Mr. ○ Mrs. ○ Ms. ○ Dr.

_____
Member / Account Number *(if applicable / known)*

_____
First Name   MI

_____
Last Name

_____
Credentials *(CCEP, etc.)*

_____
Job Title

_____
Organization *(name of employer)*

_____
Street Address

_____
City / Town

_____
State / Province

_____
Country   Zip / Postal Code

_____
Phone

_____
Email *(required for registration confirmation & conference information)*

3 SESSION SELECTION

Please select only one session per time slot. Advanced Discussions (marked with ★) are limited to 50 attendees and will be filled on a first-come, first-served basis, first-served basis on-site at the conference.

SUN, 10 MARCH
SYNDICATE SESSIONS
(PRE-CONFERENCE)

13:00 – 15:00
○ P1
○ P2
○ P3

15:15 – 17:15
○ P4
○ P5
○ P6

MON, 11 MARCH
SYNDICATE SESSIONS

11:30 – 12:30
○ 101
○ 102
★ AD103

13:30 – 14:30
○ 201
○ 202
★ AD203

15:00 – 16:00
○ 301
○ 302
★ AD303

16:15 – 17:15
○ 401
○ 402
★ AD403

TUE, 12 MARCH
SYNDICATE SESSIONS

11:30 – 12:30
○ 501
○ 502
★ AD503

13:30 – 14:30
○ 601
○ 602
★ AD603

14:45 – 15:45
○ 701
○ 702
★ AD703

16:00 – 17:00
○ 801
○ 802
★ AD803

WED, 13 MARCH
SYNDICATE SESSIONS
(POST-CONFERENCE)

8:30 – 10:00
○ W1
○ W2

10:15 – 11:45
○ W3
○ W4

Registration for Sunday's Speed Networking session is separate. Register online at:
europeancomplianceethicsinstitute.org/forattendees/speednetworking.aspx

YOU MAY ALSO REGISTER ONLINE AT:

europeancomplianceethicsinstitute.org

SOCIETY OF CORPORATE COMPLIANCE AND ETHICS

6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358, USA
P +1 952 933 4977 or 888 277 4977 | F +1 952 988 0146
corporatecompliance.org | helpteam@corporatecompliance.org

4 REGISTRATION OPTIONS *(Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence. Prices include 19% VAT tax.)*

☐ SCCE Members $1,545.81
☐ Non-Members..$1,902.81
☐ New Membership & Registration*...$1,765.81
☐ Pre-Conference Registration Morning...................................... $232.05
☐ Pre-Conference Registration Afternoon $232.05
☐ Post-Conference Registration... $232.05
☐ Discount: 5 or more from the same company ....($100)
☐ Discount: 10 or more from the same company ....($150)

* NEW MEMBERS ONLY. DUES REGULARLY $325 ANNUALLY.

TOTAL $ _____

SPECIAL DIET? ○ Kosher *(Hechsher certified)* ○ Kosher-Style *(no shellfish, pork or meat/dairy mixed)*
○ Gluten Free ○ Vegeterian ○ Vegan ○ Other _____

5 PAYMENT OPTIONS

(If you wish to pay using wire transfer, please email helpteam@corporatecompliance.org for instructions.)

☐ Invoice me   ☐ Check enclosed (payable to SCCE)
☐ I authorize SCCE to charge my credit card (choose card below)

Due to PCI Compliance, please **DO NOT provide any credit card information via email**. You may email the application (without credit card information) to helpteam@corporatecompliance.org and call SCCE at +1 952 933 4977 or 888 277 4977 with your credit card information.

CREDIT CARD? ○ American Express ○ MasterCard ○ Visa ○ Discover

_____
Credit Card Account Number

_____
Credit Card Expiration Date

_____
Cardholder's Name

_____
Cardholder's Signature

By submitting this registration form you agree to the terms and conditions — including the use of your information as stated on page 15 — and our Privacy Statement, located at corporatecompliance.org/privacy.aspx.

HOW TO REGISTER

MAIL Include registration form with check payable to:
   SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358, USA
ONLINE europeancomplianceethicsinstitute.org
FAX +1 952 988 0146 (including billing information)
QUESTIONS? +1 952 933 4977 or helpteam@corporatecompliance.org

Details

CONFERENCE & HOTEL

Grand Hyatt Berlin
Marlene-Dietrich-Platz 2
10785 Berlin, Germany
+49 30 2553 1234

Online Reservations: berlin.grand.hyatt.com
(Use Group Code "G-HE19")

Phone Reservations: +49 30 2553 1212
(Make booking in the name of "7th Annual European Compliance & Ethics Institute (ECEI)")

A reduced rate of €215 for single occupancy (€245 for double occupancy) per room per night has been arranged for participants. These rates are inclusive of VAT and service charges, buffet breakfast, WLAN internet, and access to Club Olympus Spa & Fitness. Due to different taxation, breakfast included in the room rate will be shown separately on the bill, as €12 per person per day. The total room rate paid by guests, however, is as set forth above. Should VAT change, Grand Hyatt Berlin reserves the right to adjust prices accordingly (current 7% on accommodation and 19% on breakfast). City tax (currently 5%) may also apply to room nights where guests are there for leisure. This tax is not already included in the rates as listed because the purpose of this group is business and the city tax will only apply to nights that are additional for personal/leisure stay.

Reservations must be booked directly through the hotel, either via berlin.grand.hyatt.com using the group code "G-HE19" or by calling the reservations line at +49 30 2553 1212 and making the booking in the name of "7th Annual European Compliance & Ethics Institute (ECEI)". Reservations require a valid credit card at the time of booking. The cutoff date for the group rate is 18 February 2019 or when the group block is full (whichever comes first). Thereafter, rooms and rates are only offered based on availability.

PLEASE NOTE: Neither SCCE nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of SCCE or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact +1 952 933 4977 or 888 277 4977.

GROUP DISCOUNTS

Discounts take effect the day a group reaches the discount number of registrants. A separate registration form is required for each registrant. Please send registration forms together to ensure that the discount is applied. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. Group discounts are NOT available through online registration.
5 or more: $100 discount for each registrant
10 or more: $150 discount for each registrant

TAX DEDUCTIBILITY

All expenses incurred to maintain or improve skills in your profession may be tax deductible, including tuition, travel, lodging, and meals. Please consult your tax advisor.

MEALS

Continental breakfast, lunch, and refreshment breaks are provided on Monday and Tuesday only. Coffee will be served on Sunday and Wednesday.

DRESS CODE

Business casual dress is appropriate for conference attendees.

PREREQUISITES / ADVANCED PREPARATION

None.

RECORDING

Unauthorized audio or video recording of SCCE conferences is not allowed.

TERMS AND CONDITIONS

Registration Payment Terms
Checks are payable to SCCE. Credit cards accepted: American Express, MasterCard, Visa, or Discover. SCCE will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email helpteam@corporatecompliance.org for instructions.

Cancellations / Substitutions
Refunds will not be issued. You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any SCCE service or product. If a credit is applied toward an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email, sent to helpteam@corporatecompliance.org, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

Use of Information
Your information may be received by exhibitors at a conference as well as our affiliates and partners who we may share it with for marketing purposes. Please note that only postal address information is shared. To find out more about how we may use your information please read our Privacy Statement at www.corporatecompliance.org/privacy.aspx. If you wish to opt-out, please follow the process set out in the Privacy Statement.

Agreements & Acknowledgements
I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs and/or video at the European Compliance & Ethics Institute and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in the SCCE European Compliance & Ethics Institute, I grant SCCE the right to use my name, photograph, video, and biography for such purposes. As a participant of this event, your name, job title, organization, city, state, and country will be listed on the attendee list that will be distributed to attendees, speakers, and exhibitors of this event.

CONTINUING EDUCATION UNITS

SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email ccb@compliancecertification.org.

Visit SCCE's website, corporatecompliance.org, for up-to-date information

CCB: The Compliance Certification Board (CCB)® has awarded a maximum of 22.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance–Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC®), Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional–Fellow (CCEP-F)®, Certified Compliance & Ethics Professional–International (CCEP-I)®.

CLE: The Society of Corporate Compliance and Ethics is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, and the State Bar of Texas. An approximate maximum of 14.0 clock hours of U.S. Continuing Legal Education (CLE) credit will be available to attendees of this conference licensed in these states. SCCE's practice is to apply for CLE credits to the state in which the event is being held, if that state has a CLE approval process for sponsors. Upon request, if there is sufficient time and if we are able to meet their CLE requirements, SCCE may submit this course to additional states for consideration. Only requests from registered attendees will be considered. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

NASBA/CPE: The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org. Sponsor Identification No: 105638. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 22.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or +1 952.988.0141.

Still Time — to — Register

7th Annual
European
Compliance & Ethics Institute
10–13 March 2019 | *Berlin, Germany*

Still Time — to — Register