Nonprofit Sector Compliance Conference

November 5, 2020

Charitable organizations face many compliance challenges, including unique tax-exemption issues, fundraising registration, privacy and data security, complex reporting requirements, and many more. Nonprofit organizations also find themselves vulnerable to unique fraud and conflict of interest concerns. This full-day virtual conference is dedicated to managing and understanding the unique and significant compliance issues faced by nonprofit organizations.

Register online
corporatecompliance.org/2020nonprofitcompliance

SCCE®
Society of Corporate
Compliance and Ethics

9:20 – 9:30 AM CST

Introduction

Gerry Zack, CEO, SCCE & HCCA

9:30 – 10:30 AM CST

General Session: Hot-Button Federal Tax Issues for Nonprofits

LEVEL: INTERMEDIATE

Jeffrey S. Tenenbaum, Esq., Managing Partner, Tenenbaum Law Group PLLC

- What are the most common and recurring UBIT pitfalls for nonprofits — and what are the best strategies for minimizing UBIT while maximizing revenues?
- What are best practices and trends in nonprofit executive compensation?
- Nonprofit and for-profit affiliates and subsidiaries — everything you need to know to protect the separate corporate and tax status of each member of the family
- Private inurement and private benefit — what's the difference between them, what are the leading pitfalls, and what are practical solutions for mitigating tax risk?

10:30 – 10:45 AM CST

Break

10:45 – 11:45 AM CST

Concurrent Sessions (2)

Session 1: Fraud Risks Are EVERYWHERE

LEVEL: INTERMEDIATE

Ken Dieffenbach, CFE, Special Agent in Charge, U.S. Department of Justice, Office of the Inspector General, Fraud Detection Office

- The three primary fraud risks for every nonprofit regardless of funding sources
- Emerging fraud and compliance topics in federal grant funding
- What nonprofits can do to mitigate the risks?

Session 2: Imagineering an Organization-Wide Conflict of Interest & Commitment Disclosure Process

LEVEL: INTERMEDIATE

Robert W. Gottesman, Executive Director, Institutional Compliance & Privacy, Auburn University

Kevin Robinson, AVP Audit Compliance & Privacy, Auburn University

- Readiness: Understand the legal impetus, culture, and administrative will, leading to creation of our disclosure procedure, including considerations on drafting policy, crafting a questionnaire, and selecting delivery method
- Roll-out: Prepare for the disclosure process including beta testing, messaging/marketing to employees, and developing resources. Hear lessons learned, surprising response rates, and how we dealt with the unexpected
- Review: Learn how we devised an efficient and consistent approach to review thousands of responses. Having template management plans available and determining when they are needed. How and when to communicate with respondents and other campus partners

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11:45 AM – 12:00 PM CST

Break

12:00 – 1:00 PM CST

Concurrent Sessions (2)

Session 1: Complying with Fundraising Legal Requirements: An Overview

LEVEL: INTERMEDIATE

Kristalyn Loson, Associate General Counsel, The Nature Conservancy

Daniel Sotelino, Senior Attorney, The Nature Conservancy

- Understand charitable registration responsibilities in different scenarios including when is the charity required to register, what does registration entail, and what types of fundraising relationships need to be reported to state regulators
- Know the legal considerations when working with for-profit entities to promote the mission of the charity
- Learn strategies of how to keep track of regulatory responsibilities in multi-state (or even multi-national) fundraising initiatives as well as key steps if a charity is found to be out of compliance

Session 2: Tricks and Triggers of Form 990 Compliance

LEVEL: INTERMEDIATE

Rebekuh Eley, Senior Manager, Exempt Organizations, RSM US LLP

- Identify the common errors in filling out the Form 990
- Understand how the disclosures on the Form 990 integrate into the IRS Priority Guidance Plan and analytical approach to the IRS examination selection process
- Provide the resources to assess the compliance with your own organization's 990 and other tax laws

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1:00 – 1:15 PM CST

Break

1:15 – 2:15 PM CST

General Session: Hot Topics in Privacy Law: 2020

LEVEL: INTERMEDIATE

Michelle W. Cohen, Member, Ifrah PLLC

- Review important federal and state laws and recent actions and developments relating to privacy and data breach laws
- Review and update privacy policies and procedures
- Lessons learned from a data breach case involving nonprofit organizations
- Implement best practices in privacy

2:15 PM CST

Main Conference Ends

2:30 – 3:30 PM CST

Optional/ Bonus Session

Understanding the Basics of Compliance and Ethics Programs

LEVEL: BASIC

Gerry Zack, CEO, SCCE & HCCA

- Learn the background to and benefits of implementing a comprehensive compliance and ethics program in a nonprofit organization
- Understand what comprises each of the key elements of an effective compliance and ethics program
- Apply elements of a program to specific compliance risks faced by nonprofit organizations

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November 5, 2020 • VIRTUAL CONFERENCE

Contact Information

○ Mr   ○ Mrs   ○ Ms   ○ Dr

_____

Member/Account ID (if known)

_____ _____ _____

First Name   MI   Last Name

_____

Credentials (CHC, CCEP, etc.)

_____

Job Title

_____

Organization (Name of Employer)

_____

Street Address

_____ _____

City/Town   State/Province

_____ _____

Zip/Postal Code   Country

_____

Work Phone

_____

Email (required)

Acknowledgements

By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at corporatecompliance.org/2020nonprofitcompliance.

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Registration Fees

☐	Member	$175
☐	Non-Member	$195
☐	Registration + First-Time Membership*	$395
☐	Non-attendees: Session Recordings**	$195
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Fax this form to +1 952.988.0146

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Continuing Education Units
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Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

Compliance Certification Board (CCB)®: CCB has approved a maximum of **6.0** CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance— Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC®), Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional—Fellow (CCEP-F)®, Certified Compliance & Ethics Professional— International (CCEP-I)®.

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