

Alaska

Regional Compliance & Ethics Conference

February 21 – 22, 2019



Learn, Network & Earn CEUs in Your Neighborhood

The Regional Compliance & Ethics Conference offers convenient opportunities for compliance and ethics training, updates on the latest news in regulatory requirements and enforcement, and strategies to develop effective compliance programs. Additionally, attendees will have ample opportunity to network with industry peers. Breakfast and lunch are also included in the conference price.

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Questions? katie.burk@corporatecompliance.org



THURSDAY, FEBRUARY 21, 2019

7:30 – 8:25 AM	Registration and Continental Breakfast (provided)	
8:25 AM	Welcome and Opening Remarks	
8:30 – 9:30 AM	Healthcare Compliance Track Compliance Investigations Lea Fourkiller, CHC, Managing Director, Ankura Consulting Group <ul style="list-style-type: none"> • Exploring Fundamentals of Conducting an Investigation • Your Role as an Investigator • Quality and Consistency in Investigations 	General Compliance Track Lessons (Not) Learned Programs Mel Jessee, CCEP, Quality Programs Manager, Alyeska Pipeline Service Company <ul style="list-style-type: none"> • The business case for Knowledge Management (KM) • Understanding why most lessons learned programs fail • A quality process approach to “Learning Lessons” • Simplify for success by leveraging technology
9:30 – 9:45 AM	Networking Break	
9:45 – 10:45 AM	Accurately Assess Your Risk Landscape: Learn How to Design a Risk Assessment Framework and Methodology Marcie Swenson, VP & Senior Counsel, Skyda Consulting, Healthicity <ul style="list-style-type: none"> • Learn how to develop a risk assessment framework with an accurate scope that represents a complete view of your organization's risk environment. • Understand how to organize your risk assessment and ensure your complete risk profile is incorporated into a dynamic, functional, multi-tiered framework. • Discover risk assessment methodologies and approaches that elicit accurate and comprehensive information, gather critical data, and facilitate successful risk prioritization and mitigation. 	Incident Response: How You React Matters Kevin Feldis, Partner, Perkins Coie LLP <ul style="list-style-type: none"> • Data breaches, ransomware attacks and business email compromises • Employee misconduct • Environmental disasters • Government subpoenas • Dawn raids by federal agents
10:45 – 11:00 AM	Networking Break	
11:00 – 12:00 PM	Ethics is the Cornerstone and Foundation Dwight Claustre, CHC-F, CHPC, CHRC, Managing Director, Ankura Consulting <ul style="list-style-type: none"> • What has happened with Ethics in business today? • Where does ethics fit in an organization? • What are the benefits of an ethical culture? 	The Art and Science of Enterprise Risk Management (ERM) Jeffrey Driver, Faculty Associate, Arizona State University <ul style="list-style-type: none"> • Compare traditional risk management with enterprise risk management. • Identify hard and soft tactics to elevate ERM effectiveness. • Explore next generation ERM potential.
12:00 – 12:45 PM	Lunch (provided)	
12:45 – 1:45 PM	Incident To, Shared Visits and Other Encounters with Non-physician Practitioners David Glaser, Shareholder, Fredrikson & Byron PA <ul style="list-style-type: none"> • Understand why the belief “you can't bill for a new problem ‘incident to’” is wrong. • Learn what we know (and don't) about direct supervision, including the definition of “office suite.” • Receive practical advice about how the regulations and Manual instructions differ. 	Incentives and Creative Education Lea Fourkiller, CHC, Managing Director, Ankura Consulting Group <ul style="list-style-type: none"> • Common misconceptions and objections to incentives. • Where do incentives and education intersect? • Creative ideas that make education fun.
1:45 – 2:45 PM	The Alaska Healthcare Fraud Task Force Chris Gonzales, Special Agent, Federal Bureau of Investigation; John C. Cagle, Assistant Attorney General, Director – Alaska Medicaid Fraud Control Unit; Douglas W. Jones, Manager, Medicaid Program Integrity, Department of Health & Social Services; Kyle T. Mitchum, Assistant Special Agent in Charge, HHS, OIG <ul style="list-style-type: none"> • Health care fraud trends – Nationwide and in Alaska • Health care fraud – Damage, cost and penalty • Health care fraud reporting 	Leading Compliance: How to Gain Buy-in and Commitment Debbie Troklos, CCEP-F, CCEP-I, CHC-F, CHPC, CHRC, President, CCB, Board Member, SCCE/HCCA <ul style="list-style-type: none"> • Discuss the Compliance Officer's role in obtaining commitment for the Compliance Program • Discuss ways the Compliance Officer can employ to solicit buy-in from the Board and Senior Management. • Discuss why commitment to the Compliance Program is critical to its effectiveness.
2:45 – 3:00 PM	Networking Break	
3:00 – 4:00 PM	Understanding the Board's Role in Compliance Oversight Steve Ortquist, CCEP, CHC-F, CHRC, Senior Managing Director, Ankura Consulting Group <ul style="list-style-type: none"> • Foundations: The board's legal obligations and how they apply • Recent developments in compliance guidance and enforcement • Strategies to help your board meet its oversight obligations. 	Using Data Analytics to Mitigate Compliance Risk Gerry Zack, CEO, HCCA & SCCE <ul style="list-style-type: none"> • How analytics can be used to monitor compliance • Analytics for assessing allegations and performing investigations • Design of an analytics program using simple tools anyone can master

FRIDAY, FEBRUARY 22, 2019

8:30 – 9:30 AM	Continental Breakfast (provided)	
9:30 – 10:30 AM	Healthcare Compliance Track Preparing for Alaska's Medicaid Coverage and Payment Provider Reviews Lea Fourkiller , CHC, Managing Director, Ankura Consulting Group; Debbie Troklos , CCEP-F, CCEP-I, CHC-F, CHPC, CHRC, Sr. President, CCB, Board Member, SCCE/HCCA <ul style="list-style-type: none"> • Discuss Alaska's new regulation regarding Medicaid coverage and payment, audits and reviews. • Discuss how to plan for these provider audits. • Discuss how to prepare your providers for these audits. 	General Compliance Track Mobile Technology in the Workplace Mark Boutwell , Intelligence Analysis Technical Director, Department of Defense <ul style="list-style-type: none"> • Personal devices within the workplace introduce dynamic opportunities for technical and human threats. • Technical and non-technical controls and other considerations regarding personal devices in the workplace. • The human factor; employee intent to comply or not comply with organization policy and guidance.
10:30 – 10:45 AM	Networking Break	
10:45 – 11:45 AM	Provider Fraud from the Prosecution Perspective John C. Cagle , Assistant Attorney General, Director - Alaska Medicaid Fraud Control Unit; Katherine Matos, Senior Counsel, Office of Inspector General-U.S. Department of Health and Human Services <ul style="list-style-type: none"> • Overview of Alaska's state Medicaid provider criminal liability provisions. • Alaska Medical Assistance False Claim and Reporting Act (Civil False Claims Act) • What to do when OIG/MFCU Investigators make investigatory requests. 	Beyond The Investigation...What is the Root Cause Dwight Claustre , CHC-F, CHPC, CHRC, Managing Director, Ankura Consulting <ul style="list-style-type: none"> • Why it is important to look at the root cause. • Provide guidance on developing a root cause process. • Practical application of a root cause analysis.
11:45 – 12:45 PM	Lunch (provided)	
12:45 – 1:45 PM	Building a Culture and Network of Compliance David S. Lane , CHC, Chief Compliance Officer, Providence St. Joseph Health; Stephanie Tasker , Regional Compliance Director, Alaska Region, Providence St. Joseph Health <ul style="list-style-type: none"> • Learn the importance of adjusting your compliance program to your mission and values. • Discuss the importance of being proactive rather than reactive. • Recognize the culture of "know" is better than the culture of "no" • How to align with key partners and expand your network. 	What Problem Are You Solving? A Tactical Approach to Training Ricardo P. Pellafone , Founder, Broadcast <ul style="list-style-type: none"> • Focusing on function, not format, to take a problem-centered approach • How to know when training is appropriate—and when you should do something else • Practical questions you need to answer before you start making or buying training
1:45 – 2:00 PM	Networking Break	
2:00 – 3:00 PM	Information Technology and Data Challenges in Healthcare Mark Boutwell , Intelligence Analysis Technical Director, Department of Defense <ul style="list-style-type: none"> • Resiliency is key to maintain real-time services and data access. • Healthcare has greatly benefited from advances in information technology, revealing challenges that must be addressed while sustaining essential services. • Mobile and remote access technologies pose unique challenges to the healthcare industry. 	Compliance Officer's Round Table Debbie Troklos , CCEP-F, CCEP-I, CHC-F, CHPC, CHRC, President, CCB, Board Member, SCCE/HCCA; Steve Ortquist , CCEP, CHC-F, CHRC, Senior Managing Director, Ankura Consulting Group; Lea Fourkiller , CHC, Managing Director, Ankura Consulting Group; Dwight Claustre , CHC-F, CHPC, CHRC, Managing Director, Ankura Consulting <ul style="list-style-type: none"> • An interactive workshop discussing the value of an effective compliance program. • Explore with the audience on how to get buy in from compliance from leadership. • Audience will participate in a question and answer session with a compliance expert panel regarding compliance-related topics.
3:00 PM	Adjourn	

Conference Space WIFI

Network: Marriott_CONFERENCE

Password: HCCA

Learn, network, and earn Continuing Education Units (CEUs) close to home! The Alaska Regional Compliance & Ethics Conference provides attendees with a forum to interact with other local compliance professionals, exchange information and ideas, and learn from experts in the industry.

The 2019 Alaska conference combines the efforts of the Society of Corporate Compliance and Ethics (SCCE) and the Health Care Compliance Association (HCCA). Conference sessions are separated into two tracks: General Compliance and Healthcare Compliance. As an attendee you may choose to attend sessions from either track.

Who Should Attend?

- Compliance officers
- In house and outside general counsels
- Privacy and security officers
- Regulatory affairs
- VPs and directors
- Billing and coding professionals
- Government agency staff

Why Should You Attend?

- Learn the latest news in regulatory requirements and enforcement.
- Gain skills and strategies to help develop more effective compliance programs.
- Network with other compliance professionals who share similar challenges.
- Earn CEUs for attending the conference.

Speakers

**Mark Boutwell**

Intelligence Analysis Technical Director
Department of Defense

**John C. Cagle**

Assistant Attorney General
Director – Alaska Medicaid Fraud Control Unit



Dwight Claustre, CHC-F, CHPC, CHRC
Managing Director
Ankura Consulting

**Jeffrey Driver**

Faculty Associate
Arizona State University

**Kevin Feldis**

Partner
Perkins Coie LLP

**Lea Fourkiller, CHC**

Managing Director
Ankura Consulting Group

**David Glaser**

Shareholder
Fredrikson & Byron PA

**Chris Gonzales**

Special Agent
Federal Bureau of Investigation

**Mel Jessee, CCEP**

Quality Programs Manager
Alyeska Pipeline Service Company

**Douglas W. Jones**

Manager, Medicaid Program Integrity,
Department of Health & Social Services

**David S. Lane, CHC**

Chief Compliance Officer
Providence St. Joseph Health

**Katherine Matos**

Senior Counsel, Office of Inspector General-U.S. Department of Health and Human Services

**Kyle T. Mitchum**

Assistant Special Agent in Charge
HHS - Office of Inspector General

**Steve Ortquist, CCEP, CHC-F, CHRC**

Senior Managing Director
Ankura Consulting Group

**Ricardo P. Pellafone**

Founder
Broadcat

**Marcie Swenson**

VP & Senior Counsel
Skyda Consulting – Healthicity

**Stephanie Tasker**

Regional Compliance Director, Alaska Region
Providence St. Joseph Health

**Debbie Troklus, CCEP-F, CCEP-I, CHC-F, CHPC, CHRC**

Sr. Managing Director
Ankura Consulting Group

**Gerry Zack**

CEO
HCCA & SCCE

Registration

Anchorage, AK

February 21 – 22, 2019

REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.	
<input type="checkbox"/> SCCE Member	\$320
<input type="checkbox"/> Non-Member	\$370
<input type="checkbox"/> Registration plus SCCE membership	\$520 <i>Save by joining SCCE today (first-time members only) Dues regularly \$325</i>
TOTAL \$	

ATTENDEE INFORMATION (please type or print)

Mr. Mrs. Ms. Dr.

Member/Account Number (if applicable/known)

First Name M.I. Last Name

Credentials (CCEP, CPA, CFE, etc.)

Job Title

Organization (Name of Employer)

Address

City Province/State Postal Code/Zip

Country

Phone

Fax

E-mail (required for confirmation and conference information)

SPECIAL REQUEST DIETARY ACCOMODATION

Gluten free Vegetarian Vegan Other
 Kosher (no shellfish, pork or meat/dairy mixed) Kosher (Hechshered certified)

PAYMENT METHOD

Mail check (including registration form) to:

SCCE, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952.988.0146

Check enclosed (payable to SCCE) Invoice me

I authorize SCCE to charge my credit card (choose below)

American Express MasterCard Visa Discover

Credit Card Account Number

Credit Card Expiration Date

Cardholder's Name

Cardholder's Signature

If your total is miscalculated, SCCE will charge your card the correct amount.
Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCAK19)

Due to PCI compliance, please do not provide any credit card information via email.
You may email this form (without credit card information) and call SCCE at +1 952.933.4977 or 888.277.4977 with your credit card information, fax your registration to 952.988.0146, or visit corporatecompliance.org/regional and register online

HOTEL/CONFERENCE LOCATION: Anchorage Marriott Downtown

829 West 7th Avenue Anchorage, AK 99501

A small block of rooms has been reserved for Wednesday, February 20th and Thursday, February 21st at a rate of \$125.00 per night plus applicable state and local taxes (currently 12%). To make your reservation, please call Marriott Reservations directly at 800-228-9290 or 907-279-8000 and request the rate for SCCE and HCCA. Reservations must be received by the cutoff date which is Tuesday, January 30th, 2019 or when the block fills, whichever comes first.

NOTICE: Neither SCCE nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of SCCE or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

TERMS AND CONDITIONS

REGISTRATION PAYMENT TERMS: Checks are payable to SCCE. Credit cards accepted: American Express, MasterCard, Visa, or Discover. SCCE will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email helpteam@corporatecompliance.org for instructions.

CANCELLATIONS/SUBSTITUTIONS: Refunds will not be issued. You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any SCCE service or product. If a credit is applied toward an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email, sent to helpteam@corporatecompliance.org, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

GROUP DISCOUNT: 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

TAX DEDUCTIBILITY: Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

USE OF INFORMATION: By submitting this registration form, you agree to the use of your information and the terms and conditions stated on this form. To view our Privacy Statement visit corporatecompliance.org/privacy.aspx.

AGREEMENTS & ACKNOWLEDGEMENTS: I agree and acknowledge that I am undertaking participation in SCCE events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in SCCE events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that SCCE plans to take photographs and/or video at this conference and reproduce them in SCCE educational, news, or promotional material, whether in print, electronic, or other media, including the SCCE website. By participating in this SCCE event, I grant SCCE the right to use my name, photograph, video, and biography for such purposes. As a participant of this event, my name, job title, organization, city, state, and country will be listed on the attendee list that will be distributed to attendees, speakers, and exhibitors of this event.

CONTINUING EDUCATION: SCCE is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, SCCE may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1 952.933.4977 or 888.277.4977 or email cbb@compliancecertification.org. Visit SCCE's website, corporatecompliance.org, for up-to-date information.

COMPLIANCE CERTIFICATION BOARD (CCB): CCB has awarded a maximum of 12.0 CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance–Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional–Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional–International (CCEP-I)[®].

CONTINUING LEGAL EDUCATION (CLE): The Society of Corporate Compliance and Ethics is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, and the State Bar of Texas. An approximate maximum of 5.0 clock hours of CLE credit will be available to attendees of this conference licensed in these states. SCCE's practice is to apply for CLE credits to the state in which the event is being held, if that state has a CLE approval process for sponsors. Upon request, if there is sufficient time and if we are able to meet their CLE requirements, SCCE may submit this course to additional states for consideration. Only requests from registered attendees will be considered. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

NASBA/CPE: The Society of Corporate Compliance and Ethics is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: nasbaregistry.org. Sponsor Identification No: 143451. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 12.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.277.4977 or +1 952.933.4977.